

# Electron spectroscopy on high temperature superconductors and other novel materials

Gey-Hong Gweon



# Credits

- Kazuo Mastuyama
- Jianqiao Meng
- Ahram Kim
- Greg Kaminsky
- Matthew Brunner
- Brandon McGuire
- James Hinton
- Jacob Stanley
- Sriram Shastry

- S.-I. Lee, K.-H. Lee, H.-G. Lee  
(POSTECH, Korea)
- Genda Gu, J. S. Wen, Z. J. Xu  
(Brookhaven N. Lab.)
- Takao Sasagawa  
(Tokyo institute of technology)
- Kai Rossnagel  
(Kiel, Germany)



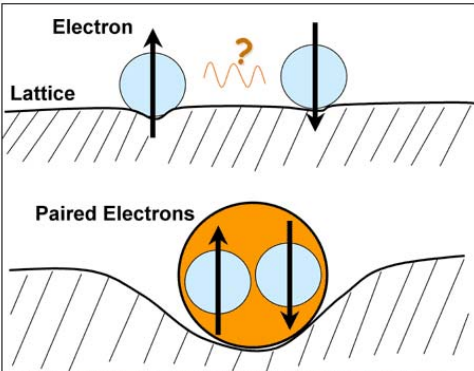
# ARPES on Novel Materials

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Press Release



A ceramic high temperature superconductor is actually a very poor metal, almost an insulator, at room temperature because electrons interact only slightly with the solid lattice (top), as represented by a slight depression in the crystal lattice. As the ceramic is cooled below a critical temperature, however, electrons pair up and are able to 'dance' with the vibrating lattice, stabilizing one another, as represented by a deep impression in the lattice. (Graphic by Gey-Hong Gweon/LBNL)

**Vibrations in crystal lattice play big role in high temperature superconductors**

By Robert Sanders, Media Relations | 16 August 2004

**BERKELEY** – An elegant experiment conducted by University of California, Berkeley, and Lawrence Berkeley National Laboratory (LBNL) scientists, in collaboration with a group of scientists at Tokyo University, shows clearly that in high temperature superconductors, vibrations in the crystal lattice play a significant though unconventional role.

Gweon et al., Nature 2004

Graf, Gweon et al., PRL 2007 (High-E kink)

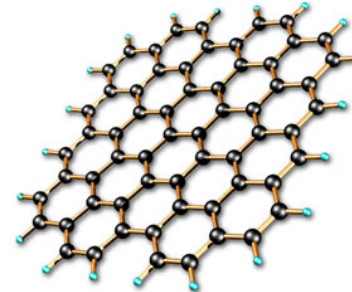
Jan 28, 2013

## Falling into the Gap

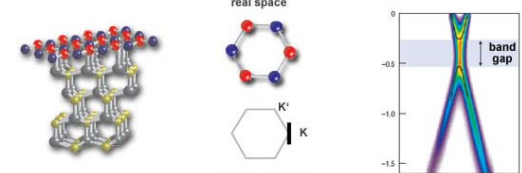
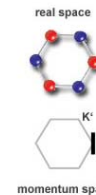
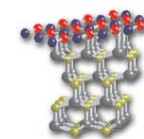
### Berkeley Lab Researchers Take a Critical First Step Toward Graphene Transistors

Contact: Lynn Yarris, lcyarris@lbl.gov

Graphene, a form of carbon whose existence was thought to be impossible until it was actually made in 2004, holds the promise of a new generation of faster, smaller, cheaper, and more durable computer chips. However, before graphene can be engineered into transistors or other electronic devices, a gap must be introduced into the electronic band structure of its two-dimensional crystal. This has now been done, by a multi-institutional collaboration under the leadership of researchers with Berkeley Lab and the University of California at Berkeley.



Graphene has been described as a carbon nanotube unrolled. Its two-dimensional sheet is made up of a single layer of carbon atoms arranged in a hexagonal pattern like a honeycomb. Electrons can move ballistically through these sheets even at room temperature, making graphene a prime target of the electronics industry.



sheet; it has been described as a carbon nanotube pencil leads, graphene is seen more as a diamond-i

When a graphene layer is grown on a silicon carbide substrate (left), their interaction breaks the symmetry between graphene's sublattices (indicated by alternating red and blue carbon atoms, left and top center). Broken symmetry separates the bands of the sublattices at K and K' in momentum space (bottom center) and opens a gap between the graphene's valence and conduction bands, as shown in the ARPES intensity map (right) representing the black line (bottom center). The band gap raises the possibility of using graphene in electronic devices.

Rollings, Gweon et al., JPCS 2006

Zhou, Gweon et al., Nature Materials 2007

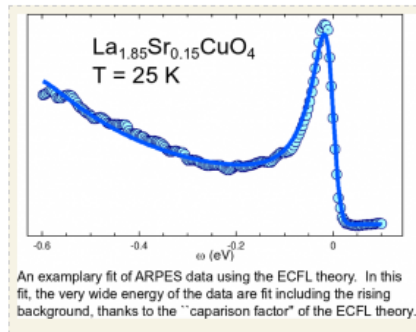
# ARPES on high Tc Cuprates

## New Work Solves Conundrum in High Temperature Superconductivity

SSRL Science Summary, 2011 - Kelen Tuttle

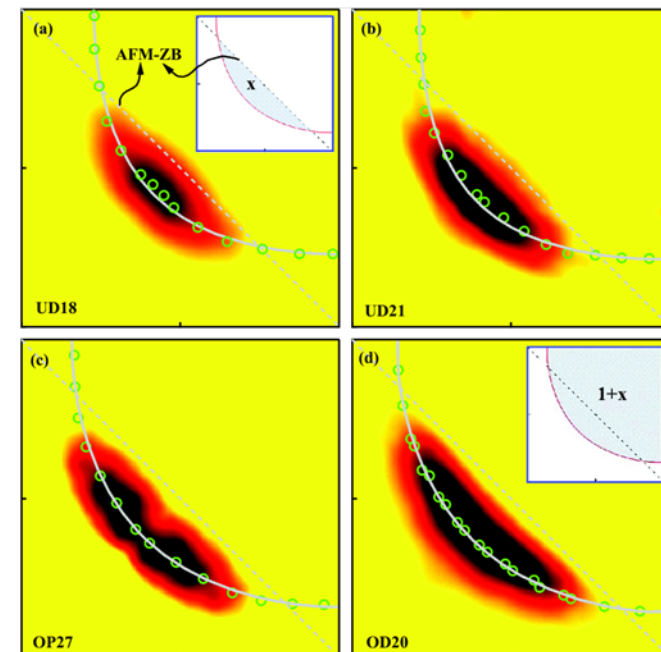
Angle-Resolved Photoelectron Spectroscopy Superconductors

High-temperature superconductors—which conduct electricity without energy loss at relatively high temperatures—are used in advanced technologies including MRI machines, yet their unusual properties are not well understood, preventing the realization of their full application potential. Many of these unusual properties lie in high-temperature superconductors' normal state, the so-called "strange metal phase." One of the puzzling characteristics of this strange metal phase is an anomalous line shape measured by angle resolved photoelectron spectroscopy (ARPES). ARPES—whether conducted with higher-energy synchrotron or lower-energy laser light—offers information about a material's underlying electronic structure by measuring the energy and trajectory of electrons ejected after the sample absorbs a photon. Yet the two photon sources yield two sets of data that, until now, could not both be described by a single theory.



Recently, the work led by UC Santa Cruz Physicist Gey-Hong Gweon, based on data obtained at the Stanford Synchrotron Radiation Lightsource, and the theoretical work by UC Santa Cruz Physicist Sriram Shastry, offer a single theory that describes the mathematical functions related to electron behavior in high-temperature superconductors and successfully predicts the experimental results seen in both synchrotron and laser ARPES. This work suggests that the two ARPES techniques are in fact consistent with one another, showing two different perspectives on the same story.

## Pseudo-gap and charge order in high temperature superconductors



Meng, Gweon et al., PRB RC 11

Jan 28, 2013

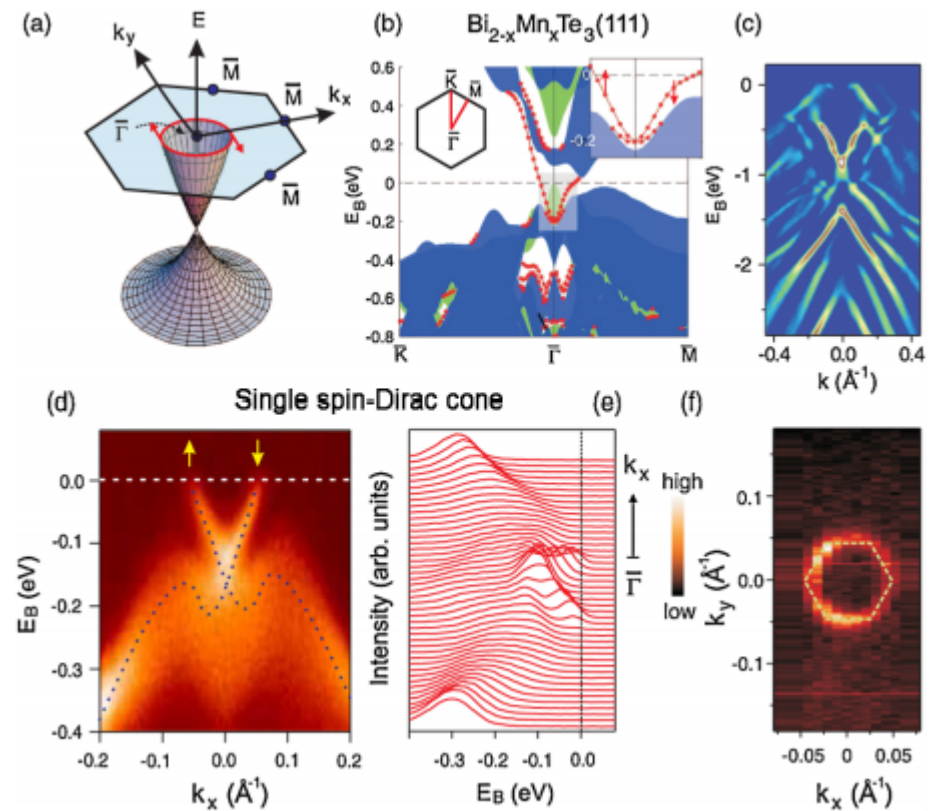
Gweon et al., PRL 11

# ARPES on New Quantum Materials

## Topological Insulator

Insulating bulk  
Conducting surface  
Robust conducting surface  
guaranteed by topology

Hsieh, Hasan, et al.  
PRL 2009



# On-going Research

- High T<sub>c</sub> superconductors
  - Strange normal state line shapes (“non-Fermi liquid”)
  - Fermi surface sum rule (“Luttinger sum rule”)
  - Line shapes of quasi-1D cuprates (“Luttinger liquid”)
- Topological insulators
  - Bulk carrier density
  - Normal line shapes
- TiTe<sub>2</sub> (reference to High T<sub>c</sub>), LaCoO<sub>3</sub>

# Superconductivity

- Novel state of matter

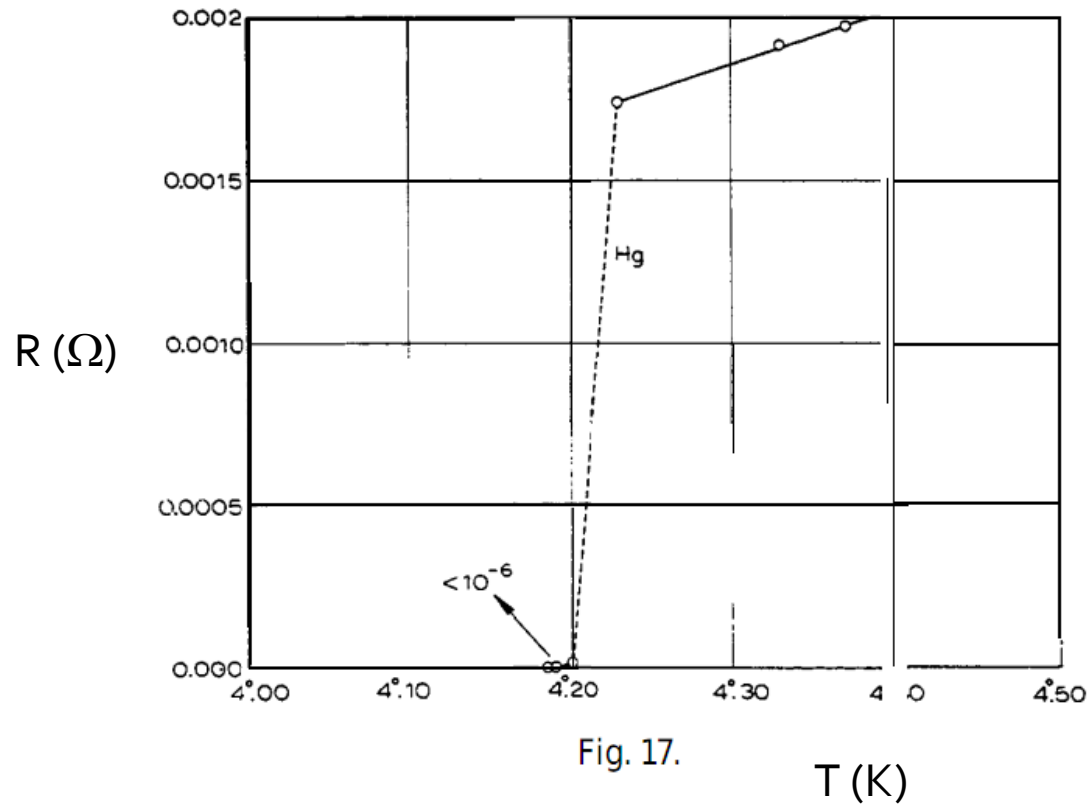


Fig. 17.

T (K)

H. Kamerlingh Onnes



1911

# Superconductivity

- Meissner effect (1933)

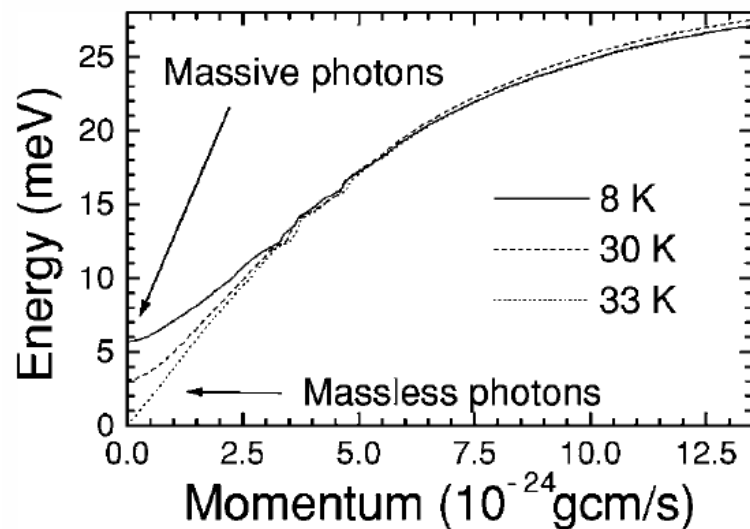


$$\vec{B} = \vec{H} + 4\pi\vec{M} = 0$$



# Superconductivity

- Exclusion of the EM field (massive photons)



**Fig. 4.** Energy-momentum dispersion of photons polarized along the  $c$ -direction in  $\text{La}_{1.85}\text{Sr}_{0.15}\text{CuO}_{4+\delta}$  for different temperatures.  $T_c$  of this sample is 33 K. The photons travelling inside the superconductor become massive, when the U(1) gauge symmetry is broken in the superconductor to which the photons are coupled.

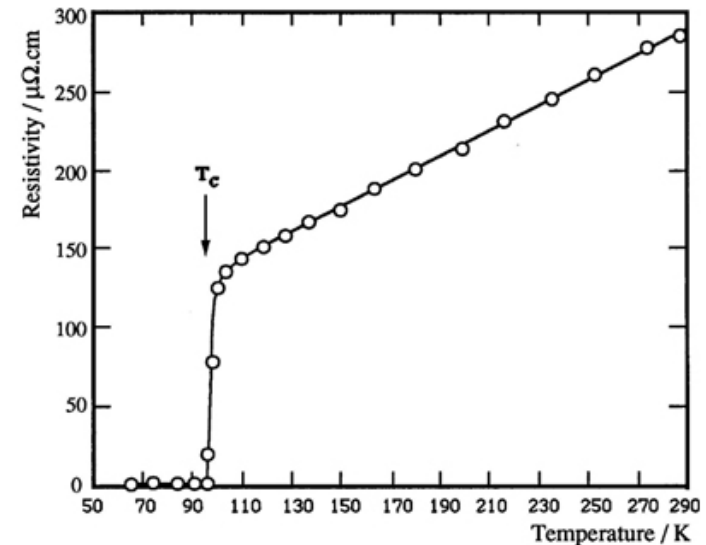
D. van Der Marel, J. Sc, vol. 17, 559 ('04)  
Also, see K. Kadowaki, et al., Phys. Rev. B vol. 56, 5617 (1997).

# High temperature superconductivity

- Bednorz and Müller



"for their important break-through in the discovery of superconductivity in ceramic materials" (1987)



[http://www-outreach.phy.cam.ac.uk/physics\\_at\\_work/2005/exhibit/irc.php](http://www-outreach.phy.cam.ac.uk/physics_at_work/2005/exhibit/irc.php)

# Superconductors fascinate

## 2. JANUARY 1987: THE CUPRATES CHANGE MY LIFE, TOO

It is not widely known that John Bardeen produced at least three wrong theories of superconductivity before BCS, the one which got it right. Two of his previous attempts were published, one, in 1951, with great fanfare. The difference between John and the many other brilliant physicists who attempted theories of superconductivity (among them Einstein, Feynman and Heisenberg ) was that he was willing to admit that he had been wrong, go back to the beginning and start over.

This at least I have in common with John; as I examine the 24 years that have ensued since the Mueller discovery, and look around the continual proliferation of “schools” emphasizing one or another special set of data



"for their important break-through in the discovery of superconductivity in ceramic materials" for Bednorz and Müller

to the high- $T_c$  cuprate

P. W. Anderson, 2010

# Conventional SC and High-T<sub>c</sub> SC (HTSC)

- Conventional SC – the normal state is well-understood. A **good metal** described by the Landau theory. Cool down a good metal – we get a superconductor!
- High T<sub>c</sub> – the normal state is a **bad metal**. Cool down a bad metal – we get a high T<sub>c</sub>!



# Why study strange normal phase?

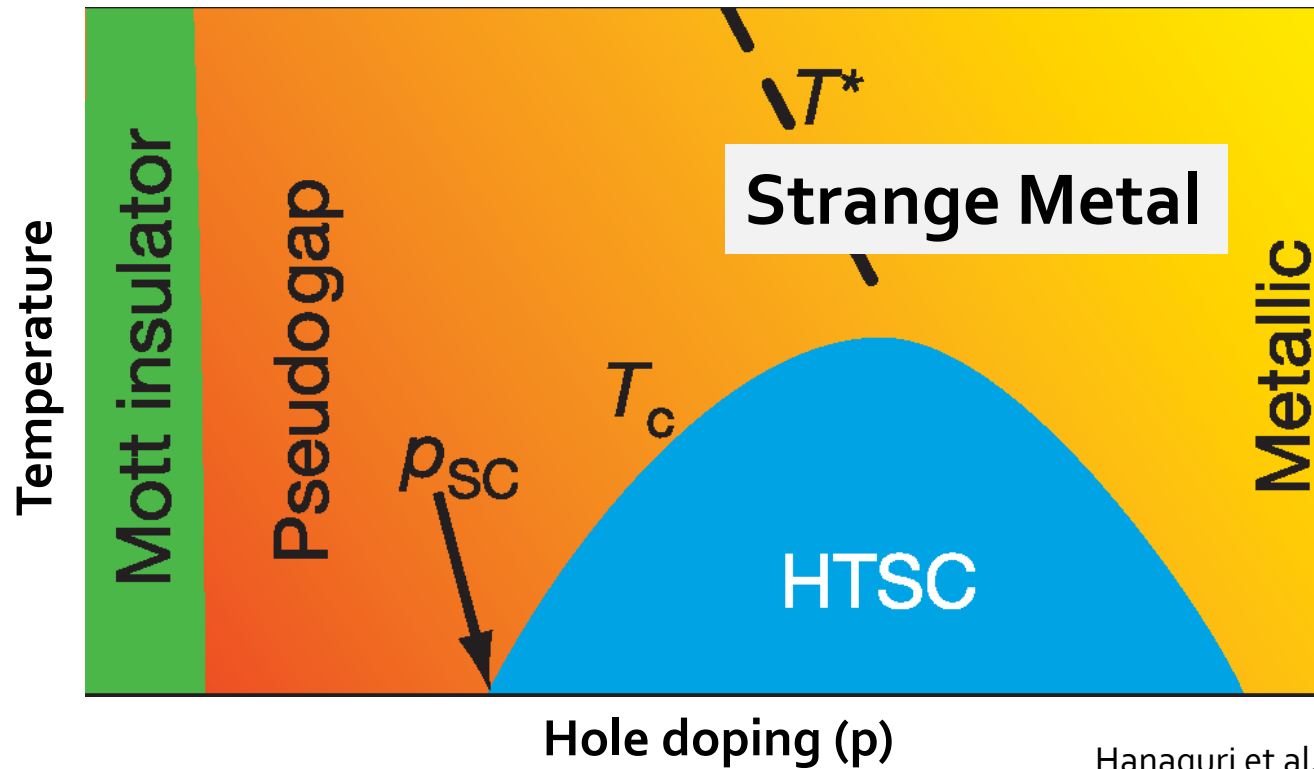
A few months after the discovery of the cuprate high- $T_c$  superconductors, it became clear that the ‘normal’ metal well above the superconducting transition temperature,  $T_c$ , was also very unusual. One of the soubriquets often applied to this phase is ‘strange metal’<sup>1</sup>; another is ‘marginal Fermi liquid’<sup>2</sup>.

Anderson, Nat Phys, 2006



This ‘strange metal’ phase continues to be of much theoretical interest. Here we show it is a consequence of projecting the doubly occupied amplitudes out of a conventional Fermi-sea wavefunction (Gutzwiller projection), requiring no exotica such as a mysterious quantum critical point.

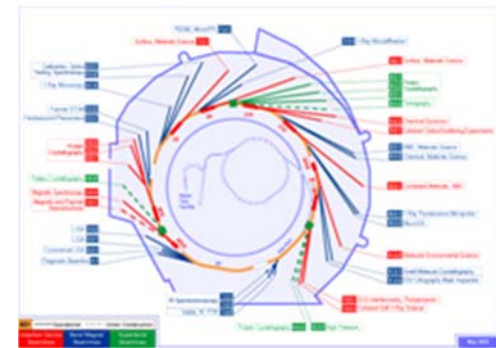
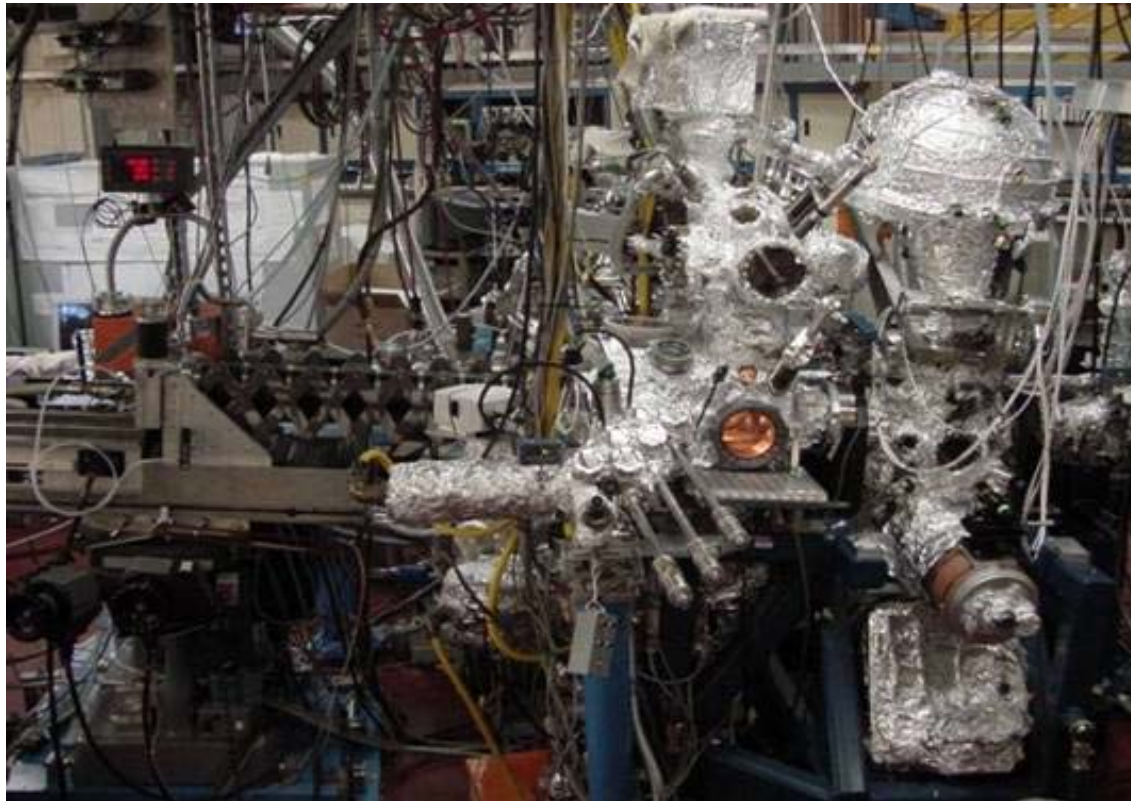
# HTSC Phase Diagram



Hanaguri et al., Nature 2004

# High Tc Superconductors and Electron Spectroscopy (ARPES)

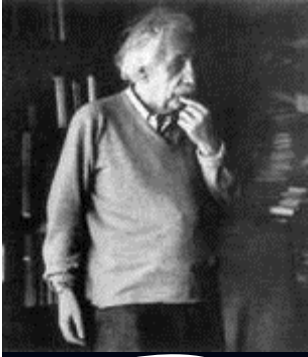
- Angle Resolved Photo-Electron Spectroscopy



Measures the  
k-resolved  
DOS  
 $A(k, \omega)$

“Finger prints” or “pictures” of electrons/holes

# Einstein and Photoelectric Effect



## Angle Resolved Photo-Electron Spectroscopy (ARPES)

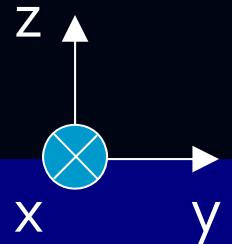
Vacuum

Momentum and Energy Conservation

Final

$e^-$

OUT



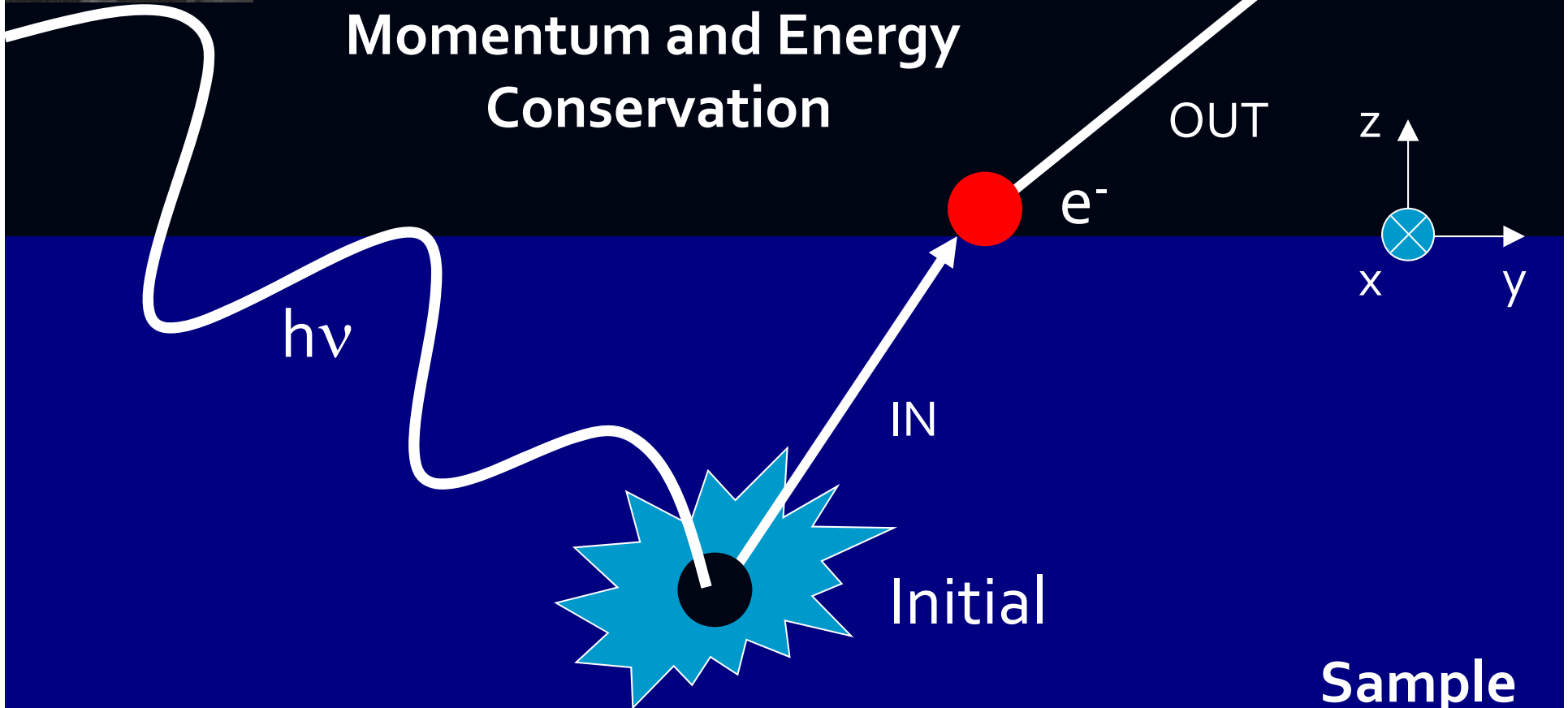
$e^-$

IN

Initial

Sample

$h\nu$





# Strange ARPES line shapes

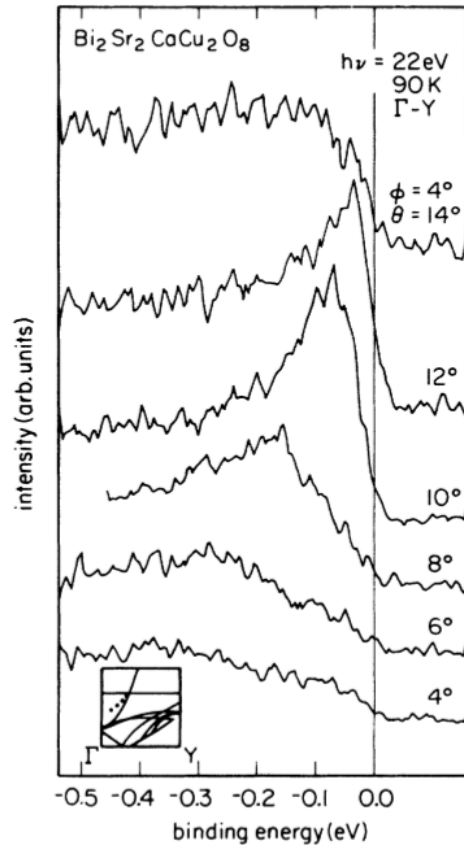


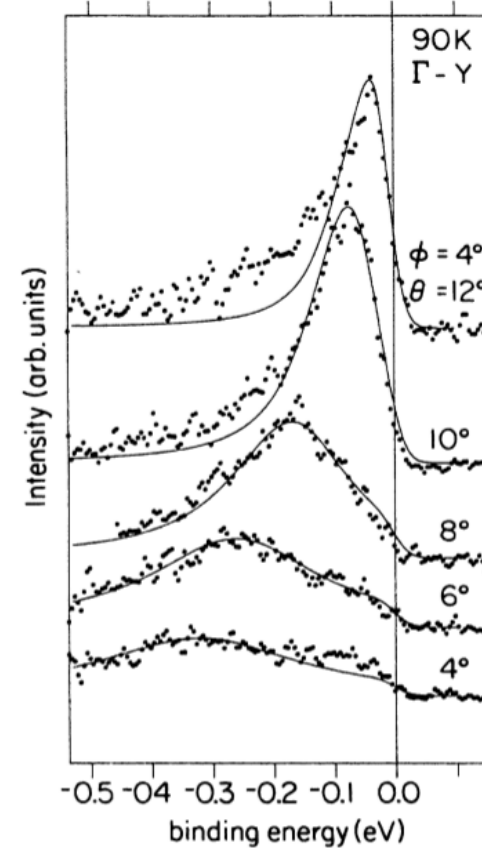
FIG. 1. Angle-resolved energy distribution curves for various angles along the  $\Gamma$ - $Y$  direction in the Brillouin zone at various energies. The inset shows the measured dispersion (dots) and the calculated bands from Ref. 8.

**Non-Lorentzian Line Shapes!**  
(Strong Asymmetry and large background)

Width is roughly linear in peak position

$$\text{Im}\Sigma \propto \omega?$$

**Marginal Fermi liquid (MFL) provided explanation and good fits** (Abrahams and Varma PNAS 2000, Kaminski et al. PRB 2001)



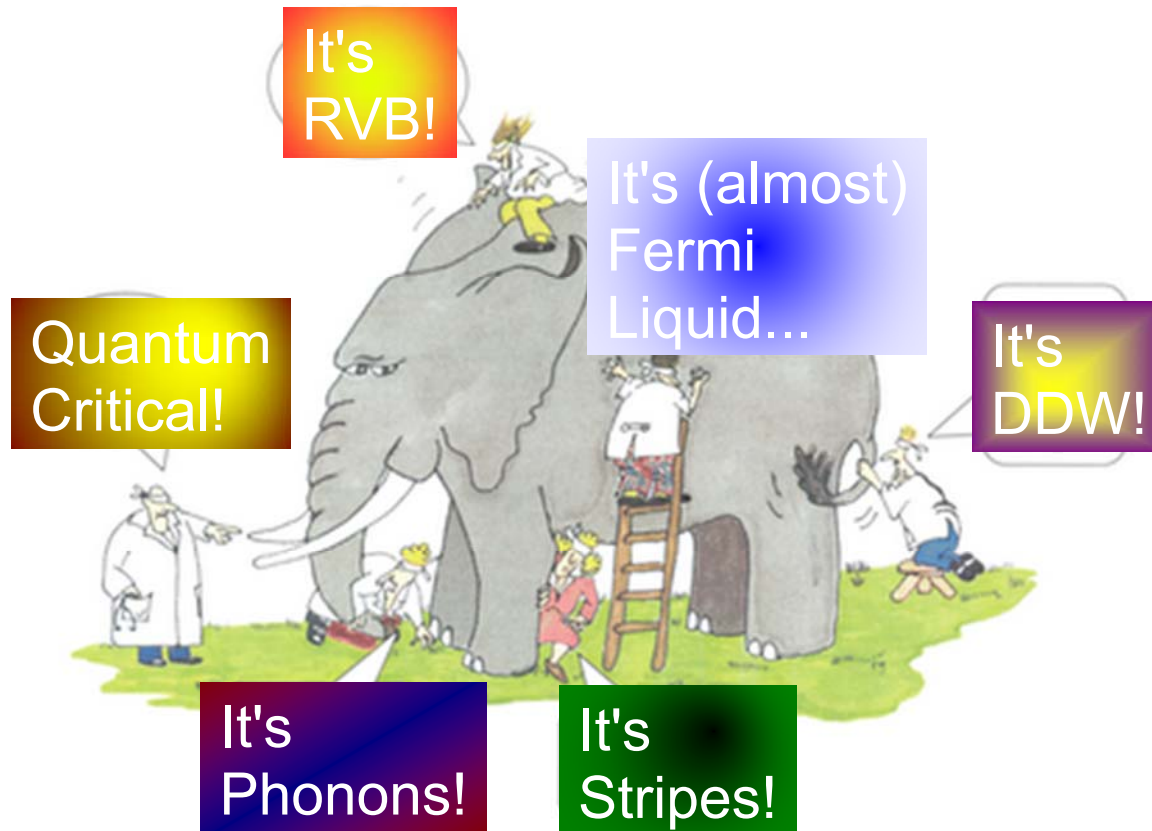
5. Spectra of Fig. 1 with background removed. The solid lines are the fits using a linear energy-dependent broadening as described in the text.

Olson, PRB '90

# How much do we understand?

- Many theories have been, and are being, proposed to crack the mystery.
  - Marginal Fermi liquid theory (Varma '89)
  - Fermi liquid theory (Olson '90)
  - Hidden Fermi liquid theory (Anderson '11)
  - Extremely correlated Fermi liquid theory (Shastry '11)

# High temperature superconductivity



<http://middleschooladvisory101.blogspot.com/2009/04/blind-man-and-elephant.html>

Jan 28, 2013

# The New ECFL Theory

- Extremely Correlated Fermi Liquid Theory  
(t-J model solution)

Shastry, Phys. Rev. Lett. 107, 056403 (2011)

- What is new? The ECFL solution is a novel solution to the t-J model.



# t-J Hamiltonian

$$H = P t \sum_{\langle i,j \rangle} c_{i,\sigma}^\dagger c_{j,\sigma} P + J \sum_{\langle i,j \rangle} \vec{S}_i \cdot \vec{S}_j$$

$\langle i, j \rangle$  = nearest neighbor site indices

$$P = \prod_i (1 - n_{i,\uparrow} n_{i,\downarrow}) \quad (\text{Gutzwiller projection})$$

$$n_{i,\sigma} = c_{i,\sigma}^\dagger c_{i,\sigma}$$

**Physics for the parameter regime that flows  
to the  $U = \infty$  fixed point**

Rice 1988  
Anderson  
Phys 205

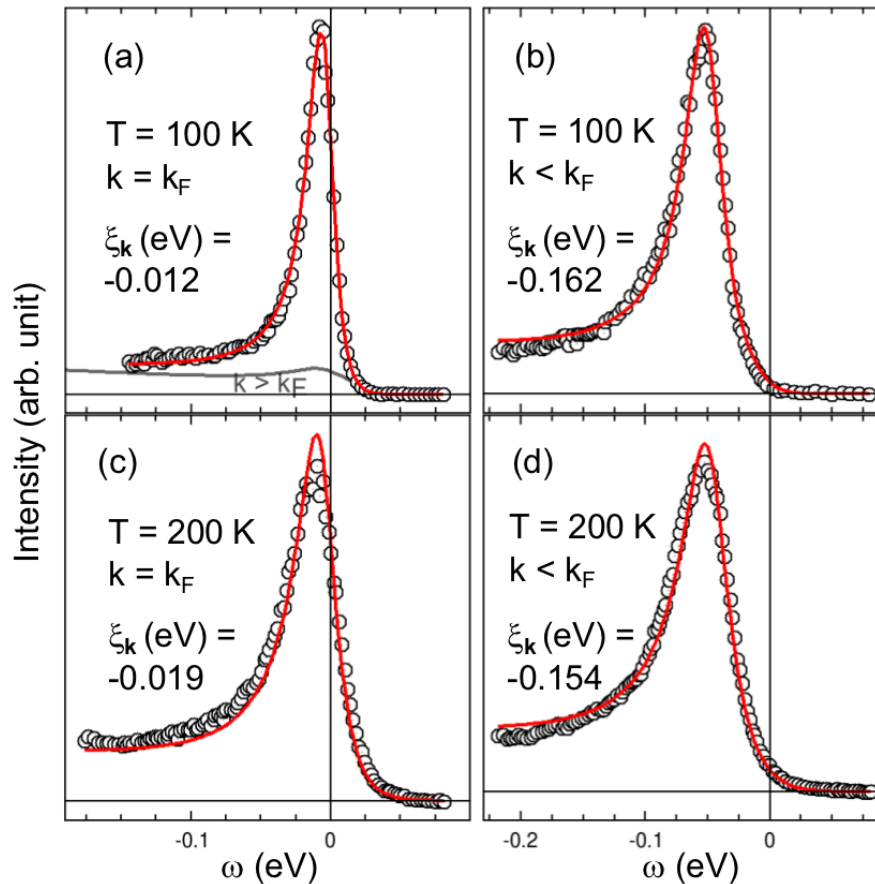
# sECFL

Jan 28, 2013

GHG, Shastry, Gu, PRL, '2011

Phys 205

# How well does the theory work?

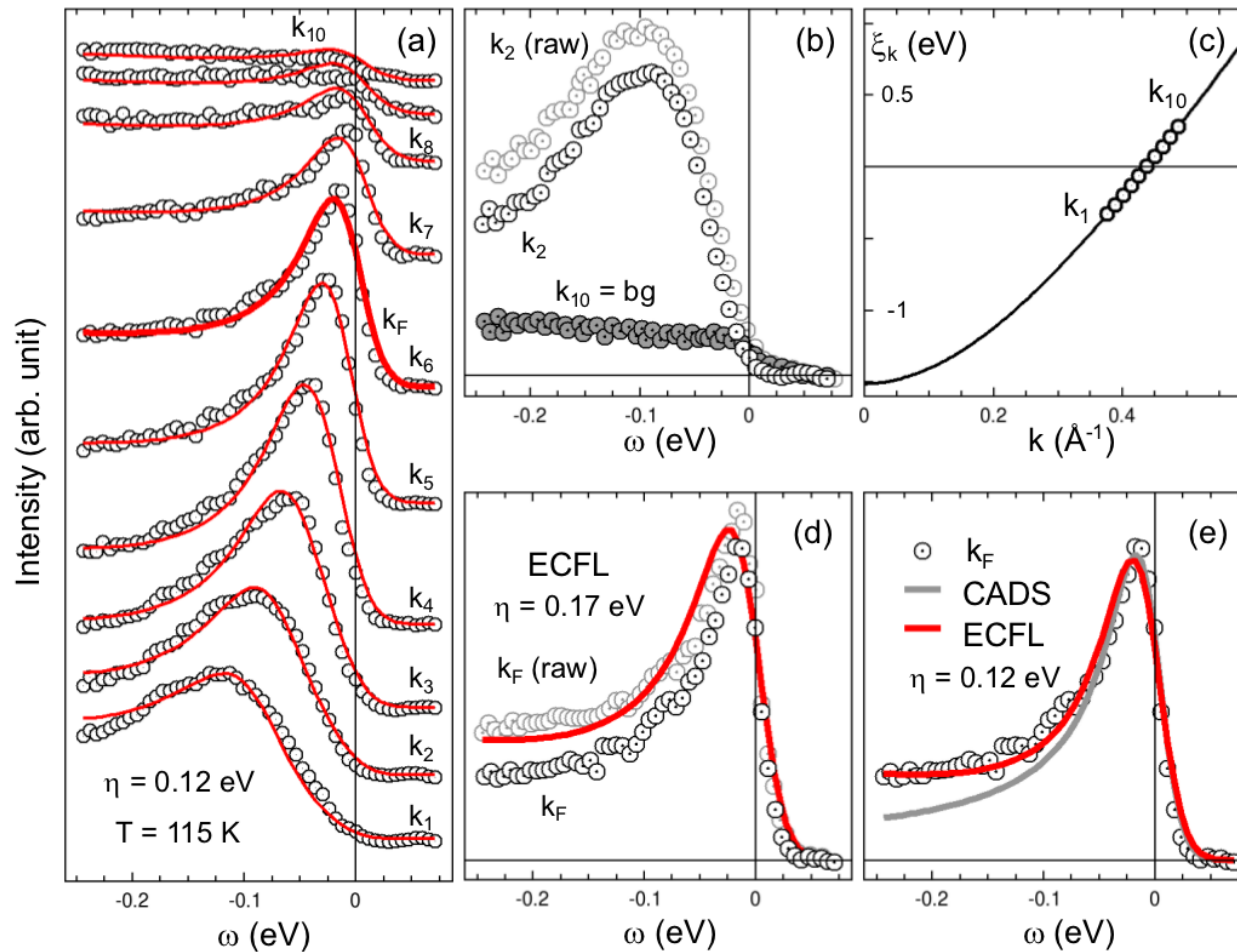


Laser ARPES Data  
Photon energy = 6 eV  
Sharp peaks

$\omega_0 = 0.5 \text{ eV}$   
is the main  
fit parameter  
outcome

# Conventional ARPES data (Kaminski, 01)

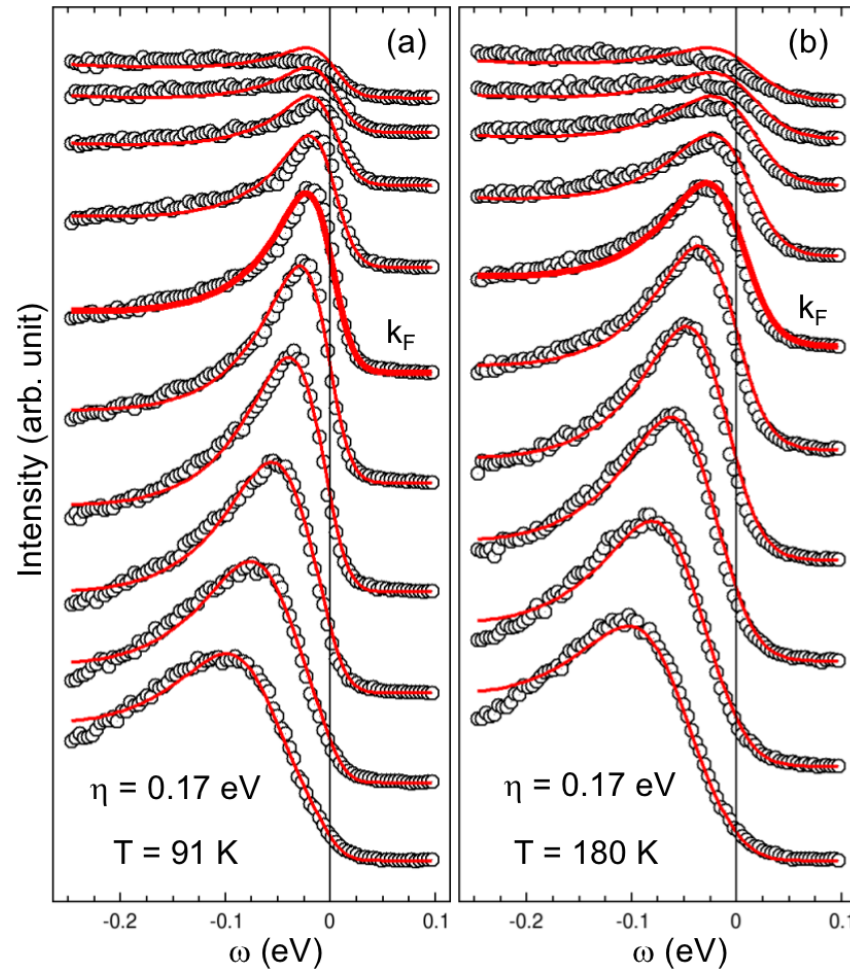
## The only free fit parameter is $\eta$ !





# Conventional ARPES data (GHG, 11)

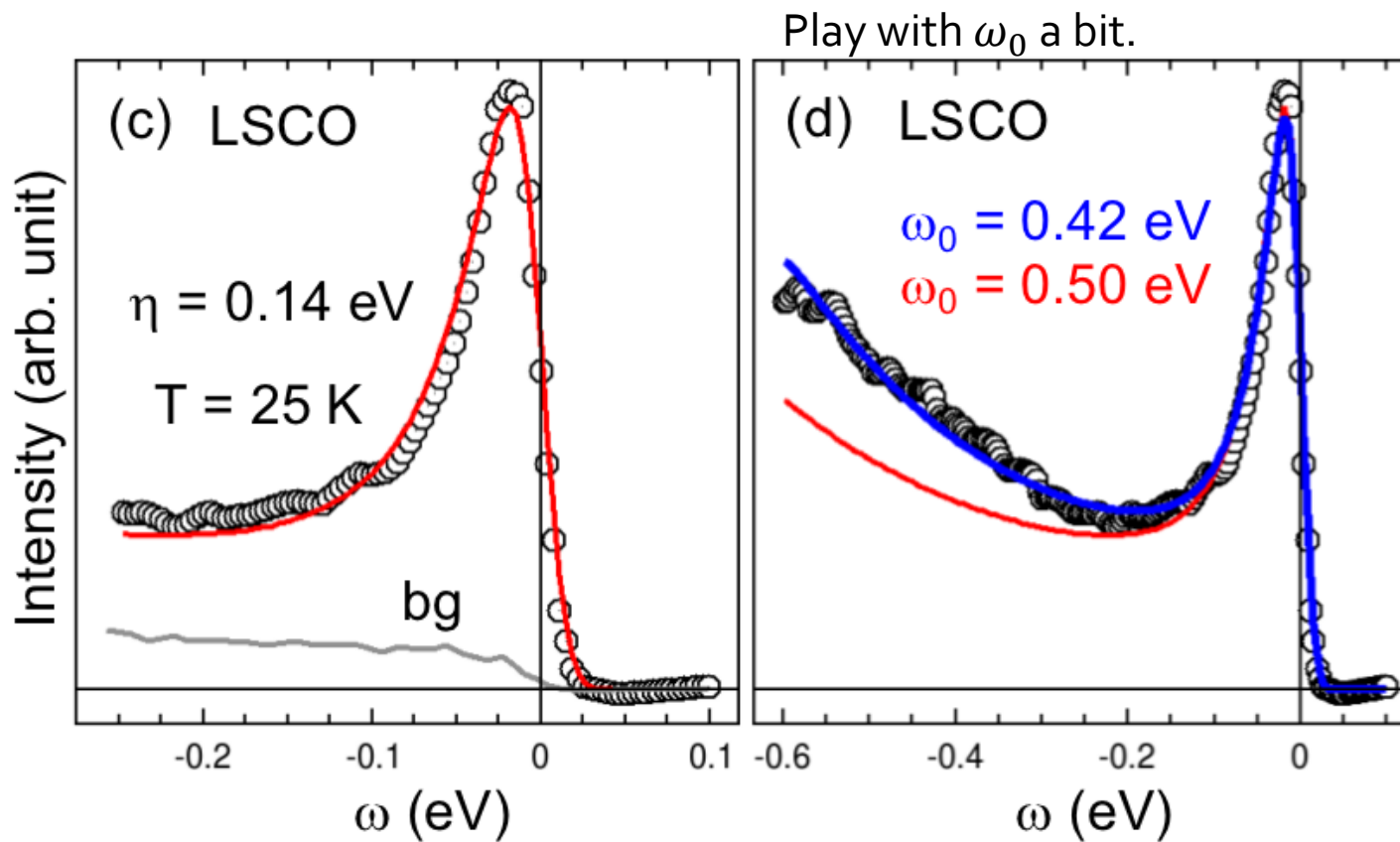
## The only free fit parameter is $\eta$ !



GHG, Shastry, Gu, Phys. Rev. Lett., 107, 056404 (2011)

# Conventional ARPES data (Yoshida, 07)

## The only free fit parameter is $\eta$ !

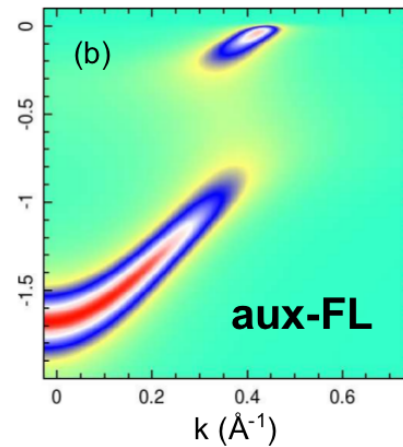
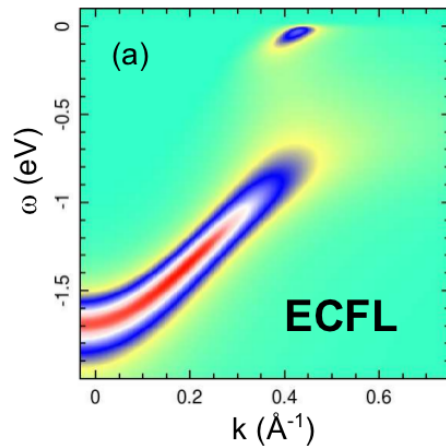


# Success of the Theory

- For the first time, **EDCs** are explained well for different experiments (laser ARPES, conventional ARPES), and different samples (BISCO, LSCO).
- Satisfies the global particle sum rule.
- Energy scales are not arbitrary, but locked with respect to each other.
- The energy scales are natural candidates for explaining what we call “ARPES kinks.”

# Success of the Theory

## ■ ARPES “kinks”

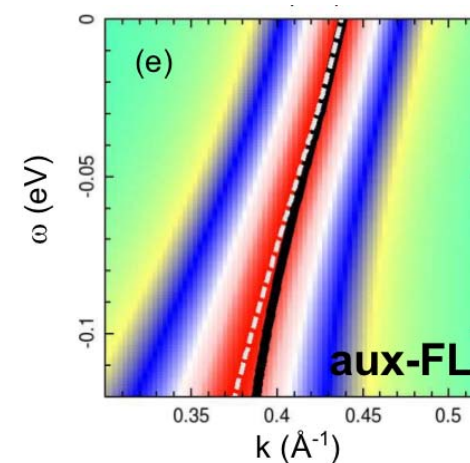
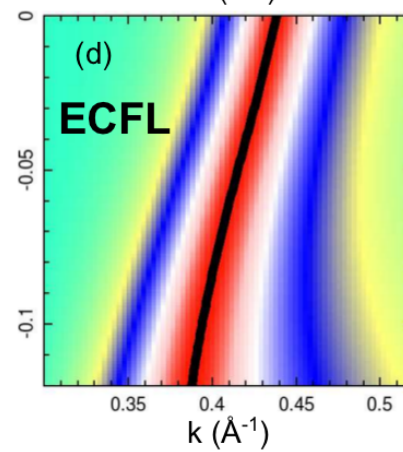
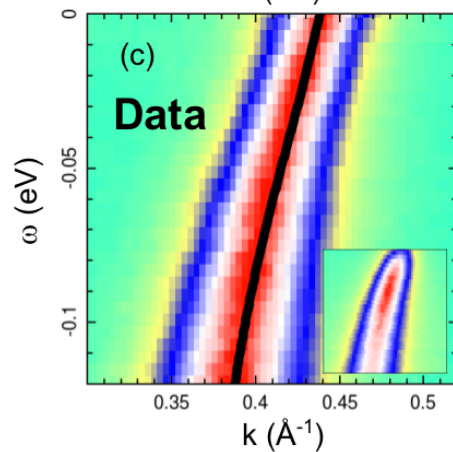


High Energy kink

Graf, GHG et al., PRL 07

GHG et al., PRL 06

Low Energy kink



# pECFL

K Matsuyma, GHG, under review in PRL



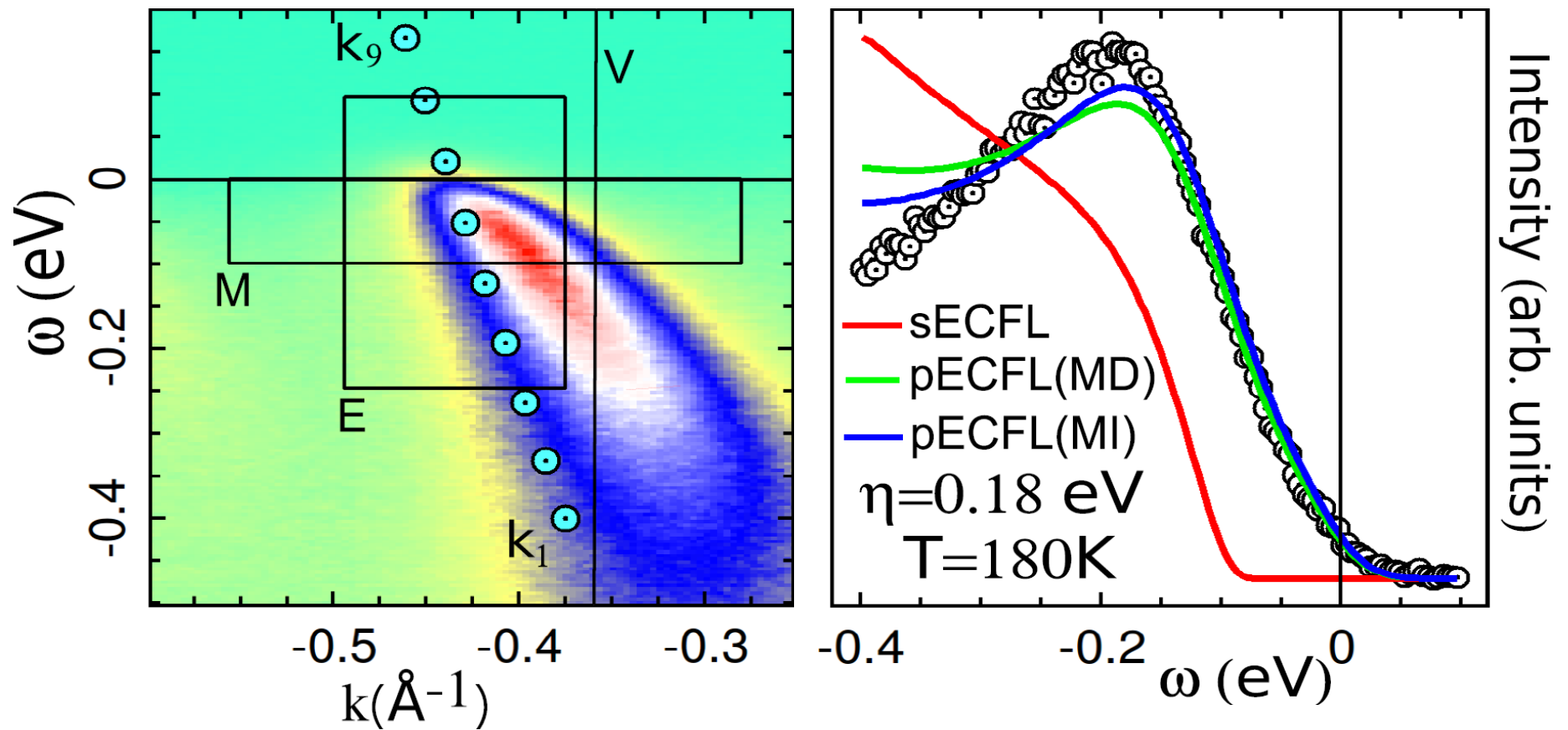
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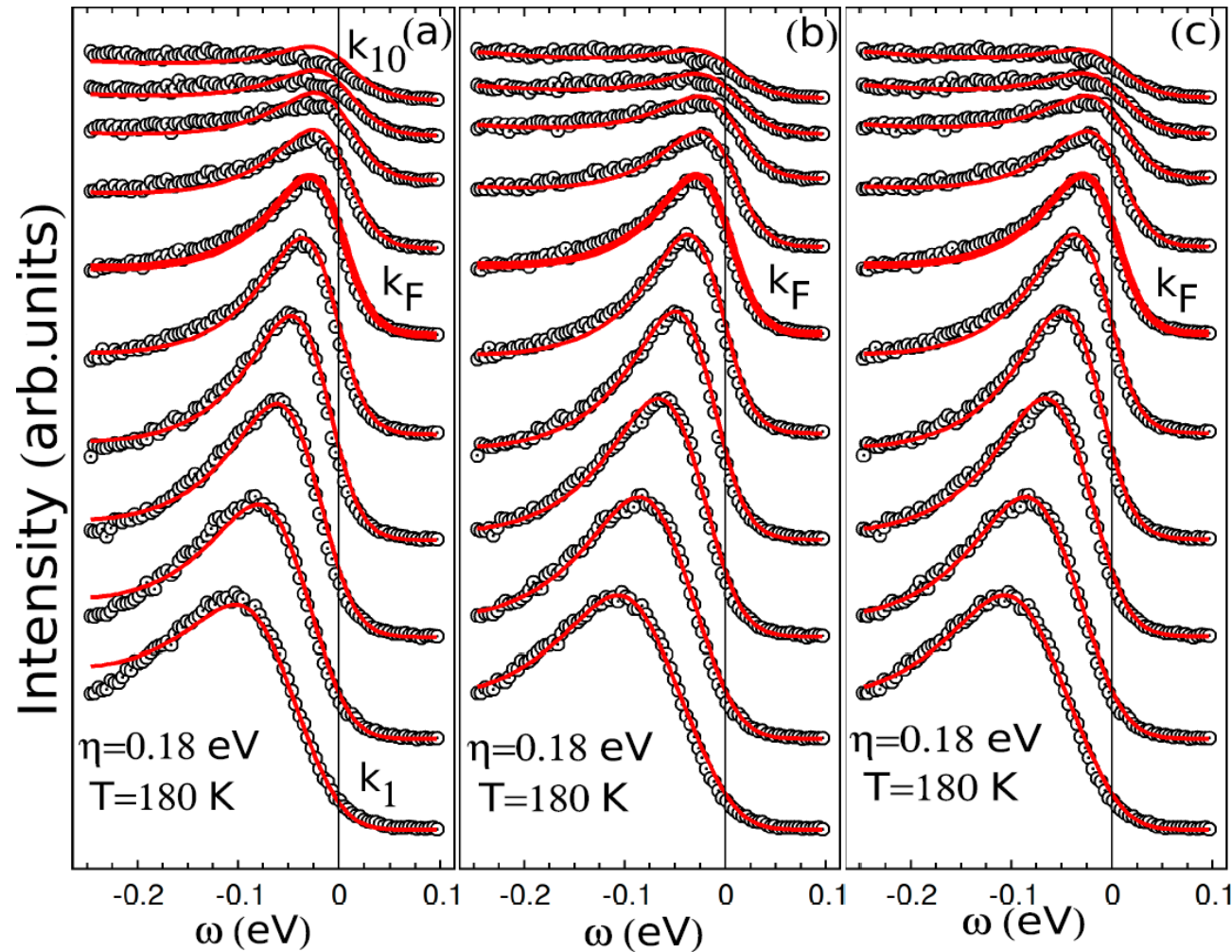
# Phenomenological ECFL

- Simplified ECFL (sECFL) and phenomenological ECFL (pECFL) are both phenomenological efforts (pECFL more so) that parallel more first principles approach (Shastry, Hansen).
- The goal is to recognize features of the theory and the experiment that agree (or not), while paying attention to global sum rules, energy scales, analyticity, causality, etc.
- Keep the strengths of sECFL.
- Extend the sECFL to make it more powerful.

# Line shape fits (MDC)



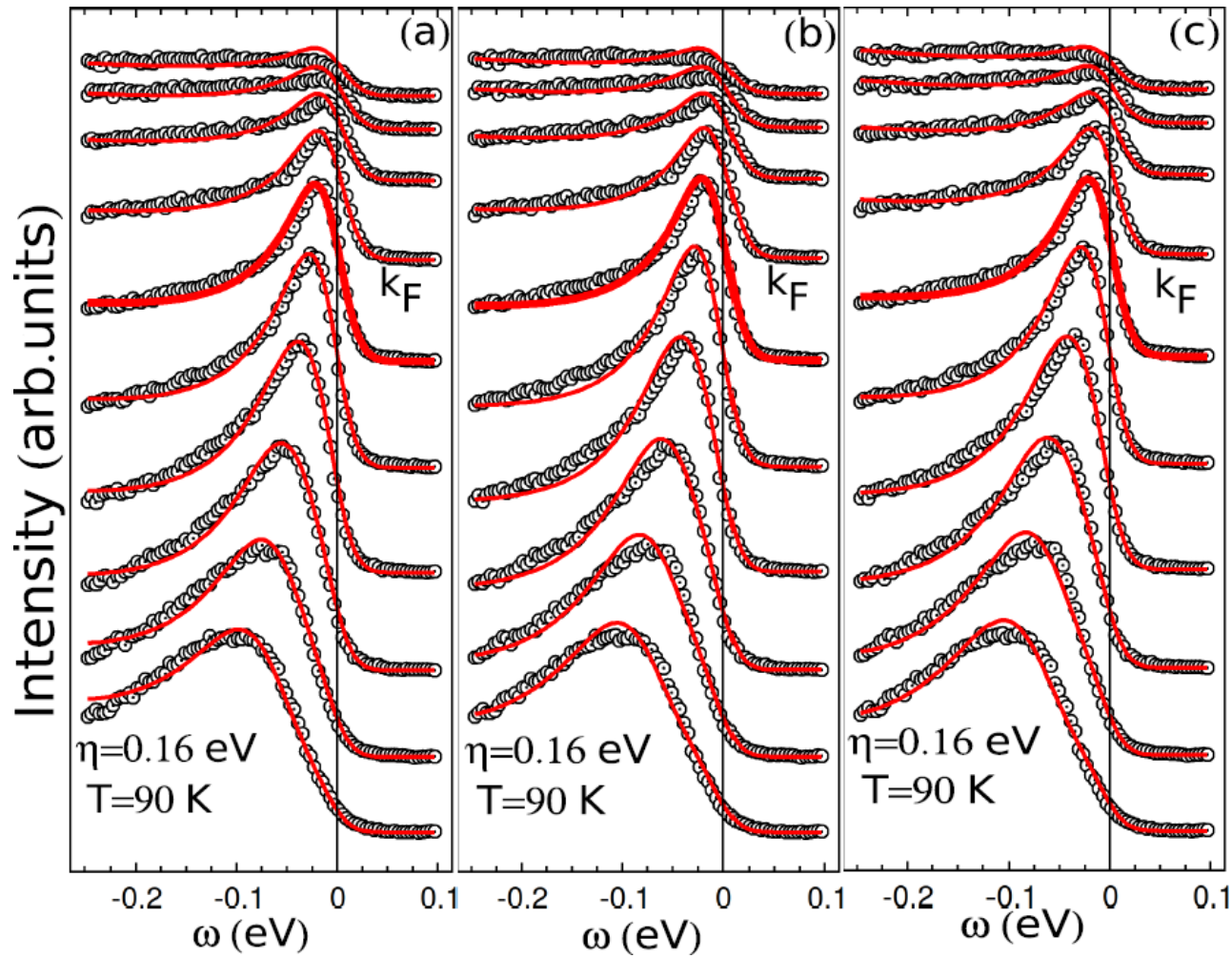
# Line shape fits (EDC)



Bi2212  
OPT91

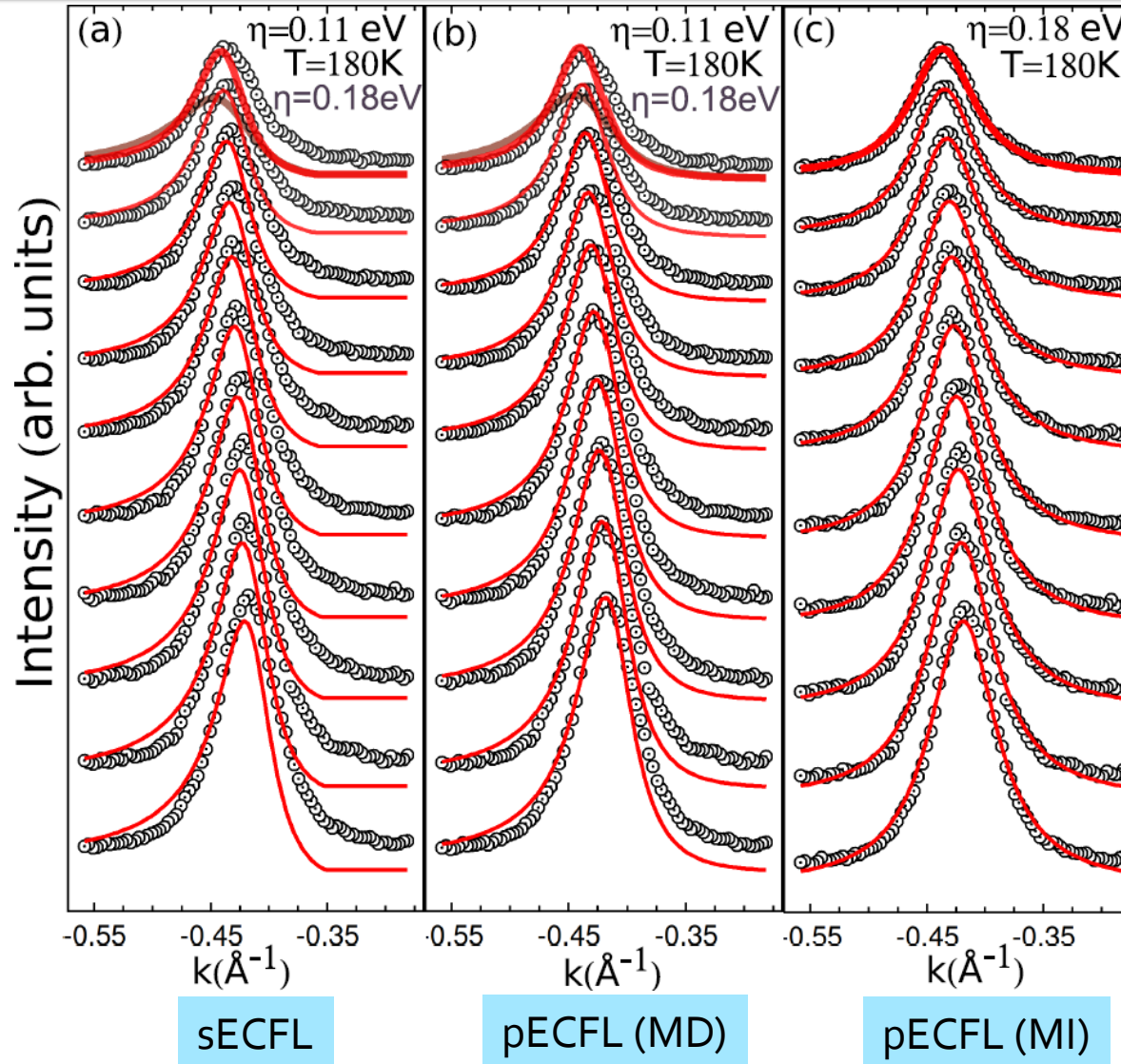


# Line shape fits (EDC)



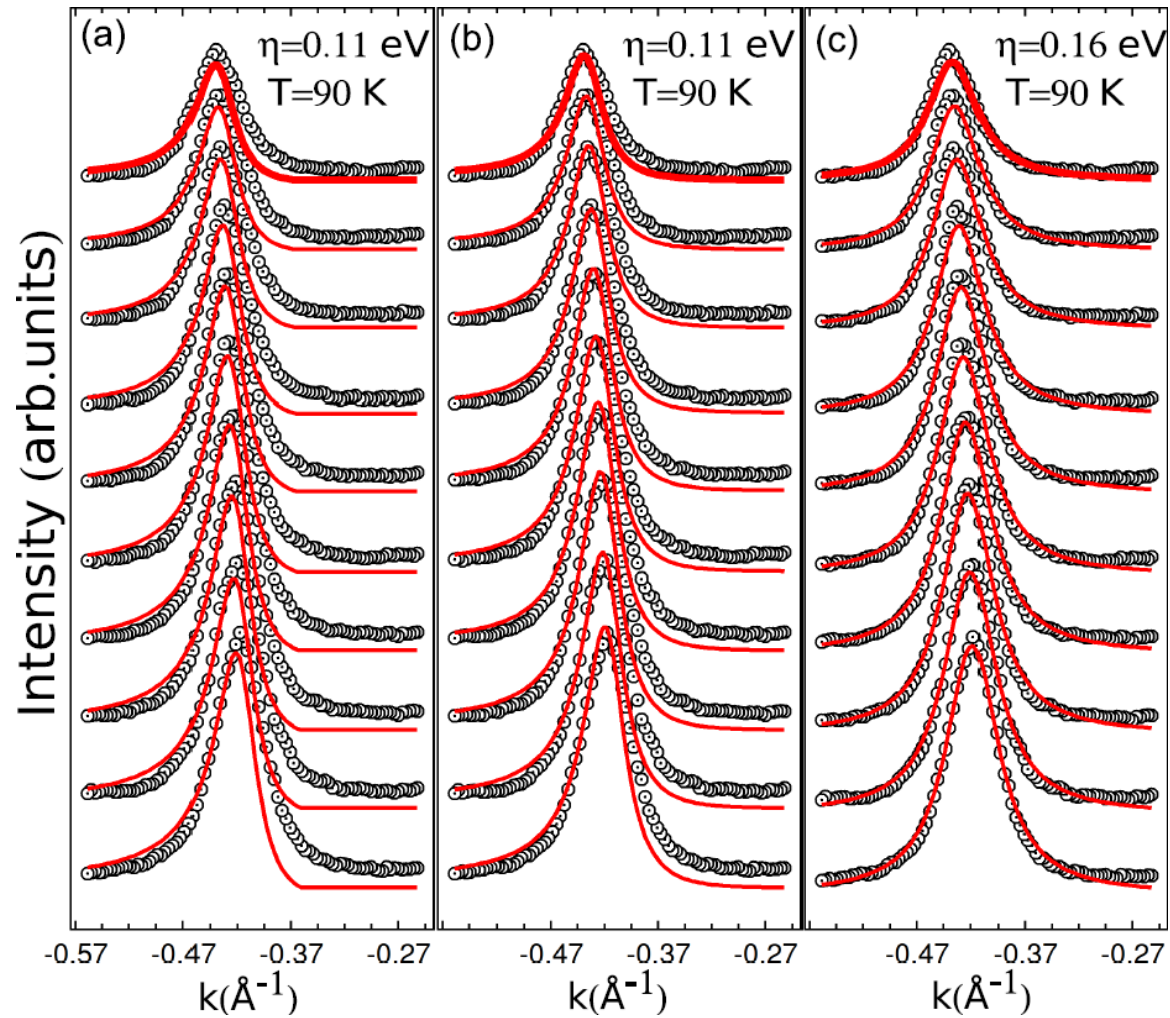
Bi2212  
OPT91

# Line shape fits (MDC)



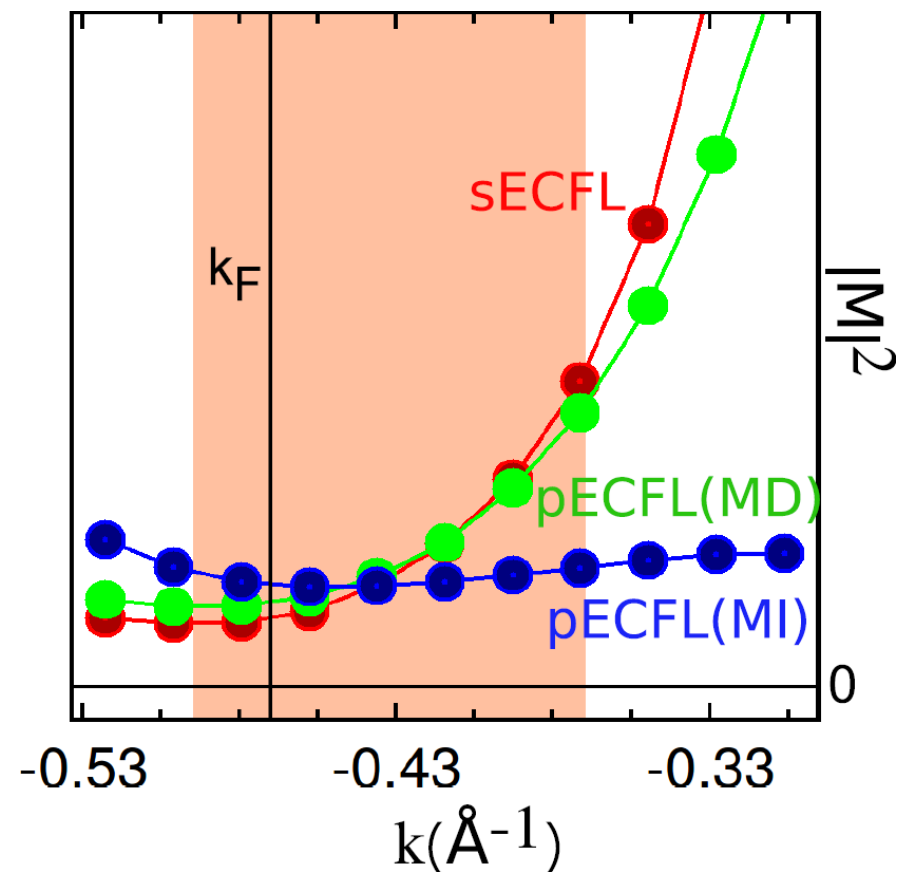
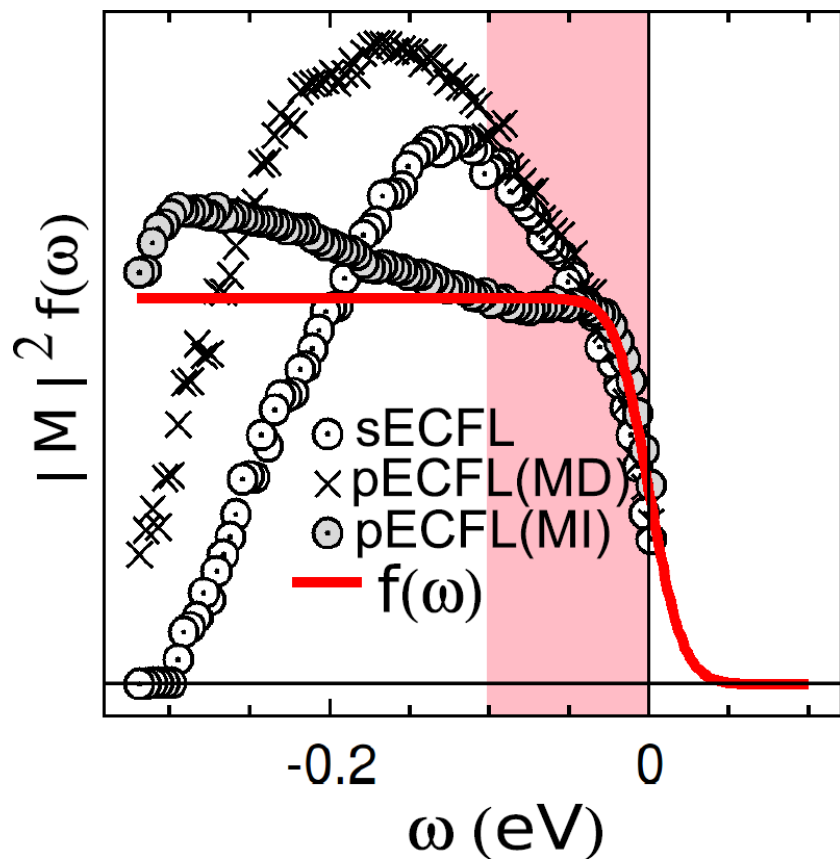
Bi2212  
OPT91

# Line shape fits (MDC)

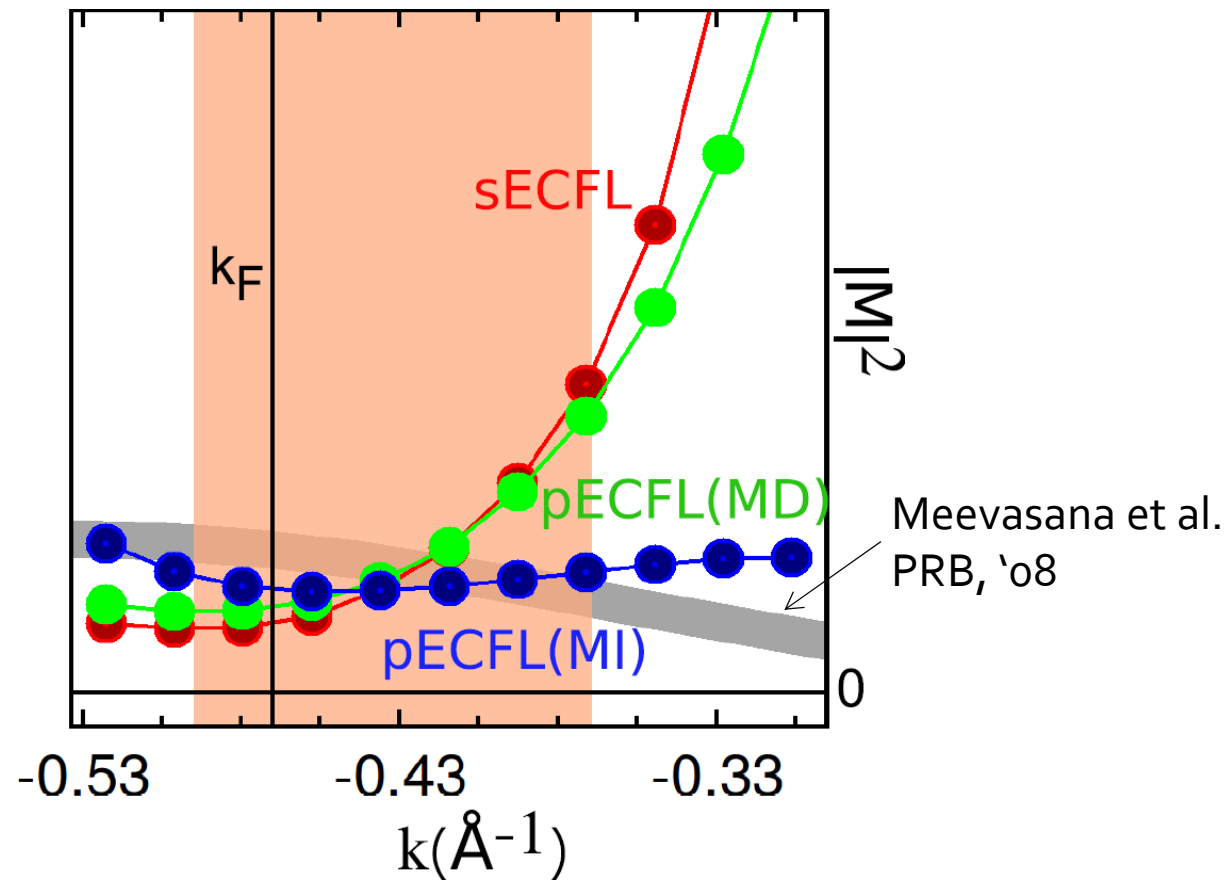


Bi2212  
OPT91

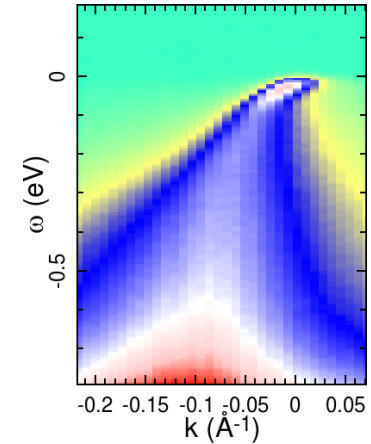
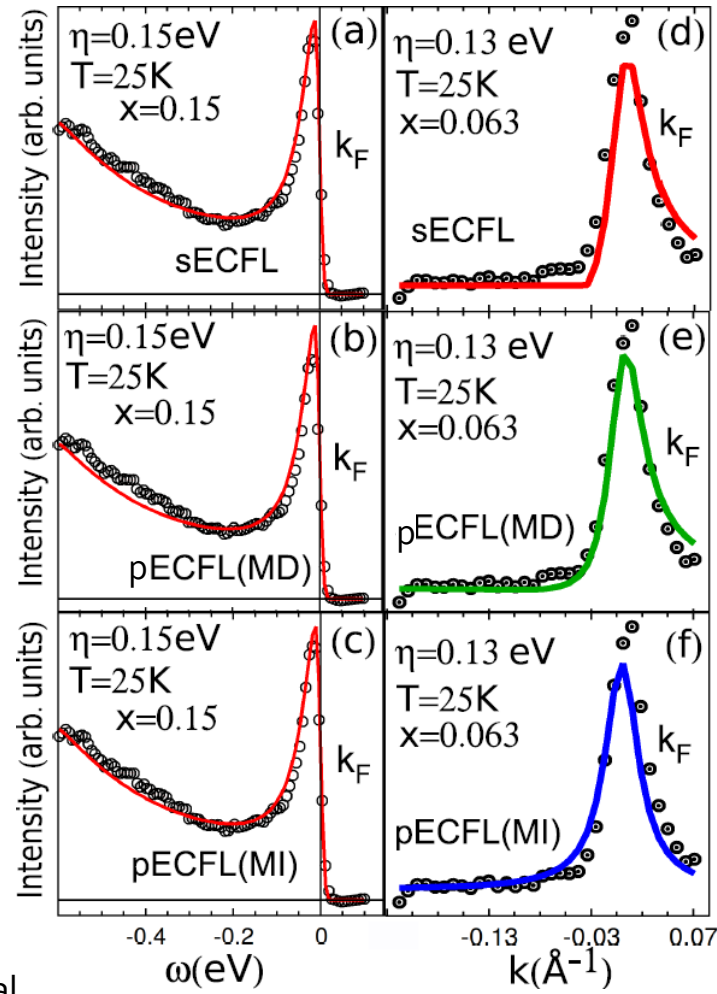
# "Weight" parameters



# "Weight" parameters



# Line shape fits (LSCO; EDC, MDC)



Data by Zhou, Yoshida, et al.

# Conclusion

We are cracking the hardest part of the high  $T_c$  puzzle in Santa Cruz!!

# Conclusions

The ECFL works extremely well!

- The asymmetry in the energy distribution curves (EDC) is described well by the ECFL model.
- The momentum distribution curves (MDCs) are described well by a phenomenological extension of the sECFL model.
- Unprecedented degree of success to understand the ARPES using a strongly correlated electron model.
- Universal features and non-universal features – future work.