	Cover Page for Proposal Submitted to the National Aeronautics and Space Administration	NASA Proposal Number
		09-ATP09-0189

NASA PROCEDURE FOR HANDLING PROPOSALS

This proposal shall be used and disclosed for evaluation purposes only, and a copy of this Government notice shall be applied to any reproduction or abstract thereof. Any authorized restrictive notices that the submitter places on this proposal shall also be strictly complied with. Disclosure of this proposal for any reason outside the Government evaluation purposes shall be made only to the extent authorized by the Government.

SECTION I - Proposal Information

Principal Investigator	E-mail Address	Phone Number
Joel Primack	**joel@scipp.ucsc.edu**	**831-459-2580**

Street Address (1)	Street Address (2)
1156 High St	**Physics Department**

City	State / Province	Postal Code	Country Code
Santa Cruz	**CA**	**95064-1077**	**US**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

Proposed Start Date	Proposed End Date	Total Budget	Year 1 Budget	Year 2 Budget	Year 3 Budget	Year 4 Budget
01 / 01 / 2010	**12 / 31 / 2012**	**577090.00**	**168877.00**	**200832.00**	**207381.00**	**0.00**

SECTION II - Application Information

NASA Program Announcement Number	NASA Program Announcement Title
NNH09ZDA001N-ATP	**Astrophysics Theory**

For Consideration By NASA Organization *(the soliciting organization, or the organization to which an unsolicited proposal is submitted)*
Astrophysics

Date Submitted	Submission Method	Grants.gov Application Identifier	Applicant Proposal Identifier
05 / 29 / 2009	**Electronic Submission Only**		**20091230**

Type of Application	Predecessor Award Number	Other Federal Agencies to Which Proposal Has Been Submitted
New		

International Participation	Type of International Participation
No	

SECTION III - Submitting Organization Information

DUNS Number	CAGE Code	Employer Identification Number (EIN or TIN)	Organization Type
125084723	**1CV82**	**941539563**	**2A**

Organization Name (Legal Name)	Company Division
UNIVERSITY OF CALIFORNIA, SANTA CRUZ	

Organization DBA Name	Division Number
CHANCELLOR'S OFFICE	

Street Address (1)	Street Address (2)
1156 HIGH ST	

City	State / Province	Postal Code	Country Code
SANTA CRUZ	**CA**	**950641077**	**USA**

SECTION IV - Proposal Point of Contact Information

Name	Email Address	Phone Number
Joel Primack	**joel@scipp.ucsc.edu**	**831-459-2580**

SECTION V - Certification and Authorization

Certification of Compliance with Applicable Executive Orders and U.S. Code

By submitting the proposal identified in the Cover Sheet/Proposal Summary in response to this Research Announcement, the Authorizing Official of the proposing organization (or the individual proposer if there is no proposing organization) as identified below:

- certifies that the statements made in this proposal are true and complete to the best of his/her knowledge;
- agrees to accept the obligations to comply with NASA award terms and conditions if an award is made as a result of this proposal; and
- confirms compliance with all provisions, rules, and stipulations set forth in the two Certifications and one Assurance contained in this NRA (namely, (i) the Assurance of Compliance with the NASA Regulations Pursuant to Nondiscrimination in Federally Assisted Programs, and (ii) Certifications, Disclosures, and Assurances Regarding Lobbying and Debarment and Suspension.

Willful provision of false information in this proposal and/or its supporting documents, or in reports required under an ensuing award, is a criminal offense (U.S. Code, Title 18, Section 1001).

Authorized Organizational Representative (AOR) Name	AOR E-mail Address	Phone Number
William Clark	**wfclark@ucsc.edu**	**831-459-5278**

AOR Signature *(Must have AOR's original signature. Do not sign "for" AOR.)*	Date

PI Name : **Joel Primack**	**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION VI - Team Members		
Team Member Name **Joel Primack**	E-mail Address **joel@scipp.ucsc.edu**	Phone Number **831-459-2580**
Organization Name **University of California, Santa Cruz**	Team Member Role **PI**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Anatoly Klypin**	E-mail Address **aklypin@nmsu.edu**	Phone Number **505-646-1400**
Organization Name **New Mexico State University**	Team Member Role **Co-I**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Avishai Dekel**	E-mail Address **dekel@phys.huji.ac.il**	Phone Number **972-2-6584100**
Organization Name **The Hebrew University of Jerusalem**	Team Member Role **Co-I**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **rachel somerville**	E-mail Address **somerville@stsci.edu**	Phone Number **410-338-4893**
Organization Name **Space Telescope Science Institute**	Team Member Role **Collaborator**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Sandra Faber**	E-mail Address **faber@ucolick.org**	Phone Number **831-459-2944**
Organization Name **University of California, Santa Cruz**	Team Member Role **Collaborator**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Jennifer Lotz**	E-mail Address **lotz@noao.edu**	Phone Number **520-318-8223**
Organization Name **National Optical Astronomy Observatory**	Team Member Role **Collaborator**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Risa Wechsler**	E-mail Address **rwechsler@stanford.edu**	Phone Number **650-704-6932**
Organization Name **Stanford University**	Team Member Role **Collaborator**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**
Team Member Name **Patrik Jonsson**	E-mail Address **patrik@ucolick.org**	Phone Number **831-459-3039**
Organization Name **Univ. of California**	Team Member Role **Collaborator**	International Participation **No**
U.S. Government Agency Participation **No**	U.S. Government Agency	Total Funds Requested **0.00**

Team Member Name	E-mail Address		Phone Number
James Bullock	**bullock@uci.edu**		**949-824-7727**

Organization Name		Team Member Role	International Participation
UC Irvine		**Collaborator**	**No**

U.S. Government Agency Participation	U.S. Government Agency	Total Funds Requested
No		**0.00**

Team Member Name	E-mail Address		Phone Number
Thomas Cox	**tcox@cfa.harvard.edu**		**617-384-7695**

Organization Name		Team Member Role	International Participation
Harvard-Smithsonian Center for Astrophysics		**Collaborator**	**No**

U.S. Government Agency Participation	U.S. Government Agency	Total Funds Requested
No		**0.00**

Team Member Name	E-mail Address		Phone Number
Mark Krumholz	**krumholz@ucolick.org**		**609-279-2747**

Organization Name		Team Member Role	International Participation
University of California, Santa Cruz		**Collaborator**	**No**

U.S. Government Agency Participation	U.S. Government Agency	Total Funds Requested
No		**0.00**

SECTION VII - Project Summary

The majority of the stellar mass in the universe lies in galactic spheroids, which also host supermassive black holes (SMBH). The origin of these key structures in the evolving population of galaxies can be clarified through the interplay of new observations including multiwavelength surveys such as AEGIS that are pushing to higher redshifts, and improving theory that will be essential for guiding and interpreting these new observations. Our proposed research focuses on the growth of cosmic structure and on the dominant mechanisms responsible for the two opposite major phenomena governing the formation of blue and red galaxies: early, efficient star formation, followed by a quenching of this process in massive dark-matter halos. We address galaxy spheroid buildup by cold gas inflows vs. major and minor mergers and disk instabilities. In our study of the processes that quench star formation and make glaxies red and keep them dead for cosmic times, we wish to identify the roles of AGN activity versus virial shock heating in halos above a threshold mass, distinguish satellite from central quenching, and understand the cross-talk between the shutdown of star formation and the development of spheroidal stellar components and SMBHs. We compare our detailed models of early-type galaxy formation with observations both of ongoing galaxy mergers and other galaxy formation processes and of nearby galaxies whose properties can be studied in detail.

This research is supported by a large program of computer simulations. These include our just-finished large "Bolshoi" dissipationless simulation, run with WMAP5 cosmological parameters and with considerably higher mass and spatial resolution than Millennium, in order to establish the LCDM gravitational backbone of structure formation, clarify dark matter halo properties, and support improved semi-analytic models. We are also doing high-resolution hydrodynamic simulations to clarify galaxy formation processes and to calculate kinematics, spectra, and images including dust scattering and absorption using our Sunrise code. It is crucial to observe our increasingly realistic simulations in ways that mimic accurately the observations of real galaxies, so that theory and observation can be properly compared. In particular, we are working to determine the rates of galaxy mergers from observations, using our simulations to estimate the timescales during which mergers would be observed by pair counts and various morphological measures.

This research is relevant to interpreting observations by NASA missions including Hubble, Spitzer, and Chandra, and to preparing for future missions including JWST and JDEM. It supports NASA's goal of discovering the structure and evolution of the universe. Broader impacts of this research include teaching students and involving them in our research, improving astrophysical theory and the ability to understand the implications of the latest observations, pushing the computational envelope, making our codes and outputs available to the astrophysical community, and communicating this scientific progress to the larger public. Computer visualizations help us understand our simulations and also help make them accessible to our astronomical colleagues and to the public. Observational astronomy provides snapshots of galaxies as they were when the light we see left them; the role of theory is to make the movies that fit these pictures into a coherent scientific framework.

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION VIII - Other Project Information

Proprietary Information

Is proprietary/privileged information included in this application?
Yes

International Collaboration

Does this project involve activities outside the U.S. or partnership with International Collaborators?
No

Principal Investigator	Co-Investigator	Collaborator	Equipment	Facilities
No	**No**	**No**	**No**	**No**

Explanation :

NASA Civil Servant Project Personnel

Are NASA civil servant personnel participating as team members on this project (include funded and unfunded)?
No

Fiscal Year	Fiscal Year	Fiscal Year	Fiscal Year	Fiscal Year
Number of FTEs	Number of FTEs	Number of FTEs	Number of FTEs	Number of FTEs

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION VIII - Other Project Information

Environmental Impact

Does this project have an actual or potential impact on the environment? **No**	Has an exemption been authorized or an environmental assessment (EA) or an environmental impact statement (EIS) been performed? **No**

Environmental Impact Explanation:

Exemption/EA/EIS Explanation:

PI Name : **Joel Primack**	**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION VIII - Other Project Information

Historical Site/Object Impact

Does this project have the potential to affect historic, archeological, or traditional cultural sites (such as Native American burial or ceremonial grounds) or historic objects (such as an historic aircraft or spacecraft)?

Explanation:

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION IX - Program Specific Data

Question 1 : Short Title:

Answer: Evolution of Structure and the of Galactic Spheroid Population

Question 2 : Type of institution:

Answer: Educational Organization

Question 3 : Will any funding be provided to a federal government organization including NASA Centers, JPL, other Federal agencies, government laboratories, or Federally Funded Research and Development Centers (FFRDCs)?

Answer: No

Question 4 : Is this Federal government organization a different organization from the proposing (PI) organization?

Answer: N/A

Question 5 : Does this proposal include the use of NASA-provided high end computing?

Answer: Yes

Question 6 : Research Category:

Answer: 1) Theory/computer modeling

Question 7 : Team Members Missing From Cover Page:

Answer:

Daniel Ceverino, Hebrew University, Jerusalem, Israel - postdoctoral galaxy formation simulationsDarren Croton, Swinburne University, Melbourne, Australia - Bolshoi simulation semi-analytic modelsGreg Novak, Princeton University, Princeton, NJ, USA - postdoctoral galaxy formation simulations

Question 8 : This proposal contains information and/or data that are subject to U.S. export control laws and regulations including Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR).

Answer: No

Question 9 : I have identified the export-controlled material in this proposal.

Answer: N/A

Question 10 : I acknowledge that the inclusion of such material in this proposal may complicate the government's ability to evaluate the proposal.

Answer: N/A

Question 11 : Topic Category:

Answers :

Large Scale Cosmic Structures and Dark Matter

| PI Name : **Joel Primack** | **NASA Proposal Number** |
| Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ** | **09-ATP09-0189** |

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Cumulative Budget

Budget Cost Category	Funds Requested ($)				
	Year 1 ($)	Year 2 ($)	Year 3 ($)	Year 4 ($)	Total Project ($)
A. Direct Labor - Key Personnel	46943.00	48352.00	49802.00	0.00	145097.00
B. Direct Labor - Other Personnel	44351.00	57822.00	59557.00	0.00	161730.00
Total Number Other Personnel	3	3	3	0	9
Total Direct Labor Costs (A+B)	91294.00	106174.00	109359.00	0.00	306827.00
C. Direct Costs - Equipment	0.00	0.00	0.00	0.00	0.00
D. Direct Costs - Travel	13000.00	13000.00	13000.00	0.00	39000.00
Domestic Travel	9000.00	9000.00	9000.00	0.00	27000.00
Foreign Travel	4000.00	4000.00	4000.00	0.00	12000.00
E. Direct Costs - Participant/Trainee Support Costs	0.00	0.00	0.00	0.00	0.00
Tuition/Fees/Health Insurance	0.00	0.00	0.00	0.00	0.00
Stipends	0.00	0.00	0.00	0.00	0.00
Travel	0.00	0.00	0.00	0.00	0.00
Subsistence	0.00	0.00	0.00	0.00	0.00
Other	0.00	0.00	0.00	0.00	0.00
Number of Participants/Trainees					0
F. Other Direct Costs	9842.00	19253.00	20977.00	0.00	50072.00
Materials and Supplies	0.00	0.00	0.00	0.00	0.00
Publication Costs	1000.00	1000.00	1000.00	0.00	3000.00
Consultant Services	0.00	0.00	0.00	0.00	0.00
ADP/Computer Services	0.00	0.00	0.00	0.00	0.00
Subawards/Consortium/Contractual Costs	0.00	0.00	0.00	0.00	0.00
Equipment or Facility Rental/User Fees	0.00	0.00	0.00	0.00	0.00
Alterations and Renovations	0.00	0.00	0.00	0.00	0.00
Other	8842.00	18253.00	19977.00	0.00	47072.00
G. Total Direct Costs (A+B+C+D+E+F)	114136.00	138427.00	143336.00	0.00	395899.00
H. Indirect Costs	54741.00	62405.00	64045.00	0.00	181191.00
I. Total Direct and Indirect Costs (G+H)	168877.00	200832.00	207381.00	0.00	577090.00
J. Fee	0.00	0.00	0.00	0.00	0.00
K. Total Cost (I+J)	168877.00	200832.00	207381.00	0.00	577090.00

Total Cumulative Budget | 577090.00

PI Name : **Joel Primack**		**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**		**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date : **01 / 01 / 2010**	End Date : **12 / 31 / 2010**	Budget Type : **Project**	Budget Period : **1**

A. Direct Labor - Key Personnel

Name	Project Role	Base Salary ($)	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
Primack , Joel	**PI_TYPE**	**0.00**			**1**	**17602.00**	**2376.00**	**19978.00**
Dekel , Avishai	**CO-I**	**0.00**			**1**	**13390.00**	**1875.00**	**15265.00**
Klypin , Anatoly	**CO-I**	**0.00**			**1**	**10000.00**	**1700.00**	**11700.00**
						Total Key Personnel Costs		**46943.00**

B. Direct Labor - Other Personnel

Number of Personnel	Project Role	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
3	**Graduate Students**		**3**	**9**	**43112.00**	**1239.00**	**44351.00**
3	**Total Number Other Personnel**				**Total Other Personnel Costs**		**44351.00**
	Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)						**91294.00**

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

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SECTION X - Budget

Start Date : **01 / 01 / 2010**	End Date : **12 / 31 / 2010**	Budget Type : **Project**	Budget Period : **1**

C. Direct Costs - Equipment

Item No.	Equipment Item Description	Funds Requested ($)
	Total Equipment Costs	**0.00**

D. Direct Costs - Travel

	Funds Requested ($)
1. Domestic Travel (Including Canada, Mexico, and U.S. Possessions)	**9000.00**
2. Foreign Travel	**4000.00**
Total Travel Costs	**13000.00**

E. Direct Costs - Participant/Trainee Support Costs

	Funds Requested ($)
1. Tuition/Fees/Health Insurance	**0.00**
2. Stipends	**0.00**
3. Travel	**0.00**
4. Subsistence	**0.00**
Number of Participants/Trainees: **Total Participant/Trainee Support Costs**	**0.00**

PI Name : **Joel Primack**	**NASA Proposal Number**
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SECTION X - Budget

Start Date : **01 / 01 / 2010**	End Date : **12 / 31 / 2010**	Budget Type : **Project**	Budget Period : **1**

F. Other Direct Costs

	Funds Requested ($)
1. Materials and Supplies	**0.00**
2. Publication Costs	**1000.00**
3. Consultant Services	**0.00**
4. ADP/Computer Services	**0.00**
5. Subawards/Consortium/Contractual Costs	**0.00**
6. Equipment or Facility Rental/User Fees	**0.00**
7. Alterations and Renovations	**0.00**
8 . Other: **Graduate student tuitions, fees, health insurance**	**7842.00**
9 . Other: **Equipment maintenance and e-shop charges**	**1000.00**
Total Other Direct Costs	**9842.00**

G. Total Direct Costs

	Funds Requested ($)
Total Direct Costs (A+B+C+D+E+F)	**114136.00**

H. Indirect Costs

	Indirect Cost Rate (%)	Indirect Cost Base ($)	Funds Requested ($)
Modified Total Direct Cost (MTDC)	**51.50**	**106294.00**	**54741.00**
Cognizant Federal Agency: DHHS, David S. Low (415) 437-7820		**Total Indirect Costs**	**54741.00**

I. Direct and Indirect Costs

	Funds Requested ($)
Total Direct and Indirect Costs (G+H)	**168877.00**

J. Fee

	Funds Requested ($)
Fee	**0.00**

K. Total Cost

	Funds Requested ($)
Total Cost with Fee (I+J)	**168877.00**

PI Name : **Joel Primack**		**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**		**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date : **01 / 01 / 2011**	End Date : **12 / 31 / 2011**	Budget Type : **Project**	Budget Period : **2**

A. Direct Labor - Key Personnel								
Name	**Project Role**	**Base Salary ($)**	**Cal. Months**	**Acad. Months**	**Summ. Months**	**Requested Salary ($)**	**Fringe Benefits ($)**	**Funds Requested ($)**
Dekel, Avishai	CO-I	0.00			1	13792.00	1931.00	15723.00
Primack, Joel	PI_TYPE	0.00			1	18130.00	2448.00	20578.00
Klypin, Anatoly	CO-I	0.00			1	10300.00	1751.00	12051.00
						Total Key Personnel Costs		**48352.00**

B. Direct Labor - Other Personnel							
Number of Personnel	**Project Role**	**Cal. Months**	**Acad. Months**	**Summ. Months**	**Requested Salary ($)**	**Fringe Benefits ($)**	**Funds Requested ($)**
3	**Graduate Students**		6	9	56246.00	1576.00	57822.00
3	**Total Number Other Personnel**				**Total Other Personnel Costs**		**57822.00**
Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)							**106174.00**

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PI Name : **Joel Primack**	NASA Proposal Number
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SECTION X - Budget

Start Date : **01 / 01 / 2011**	End Date : **12 / 31 / 2011**	Budget Type : **Project**	Budget Period : **2**

C. Direct Costs - Equipment		
Item No.	**Equipment Item Description**	**Funds Requested ($)**
	Total Equipment Costs	**0.00**

D. Direct Costs - Travel	
	Funds Requested ($)
1. Domestic Travel (Including Canada, Mexico, and U.S. Possessions)	**9000.00**
2. Foreign Travel	**4000.00**
Total Travel Costs	**13000.00**

E. Direct Costs - Participant/Trainee Support Costs	
	Funds Requested ($)
1. Tuition/Fees/Health Insurance	**0.00**
2. Stipends	**0.00**
3. Travel	**0.00**
4. Subsistence	**0.00**
Number of Participants/Trainees:	**Total Participant/Trainee Support Costs** **0.00**

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date : **01 / 01 / 2011**	End Date : **12 / 31 / 2011**	Budget Type : **Project**	Budget Period : **2**

F. Other Direct Costs

	Funds Requested ($)
1. Materials and Supplies	**0.00**
2. Publication Costs	**1000.00**
3. Consultant Services	**0.00**
4. ADP/Computer Services	**0.00**
5. Subawards/Consortium/Contractual Costs	**0.00**
6. Equipment or Facility Rental/User Fees	**0.00**
7. Alterations and Renovations	**0.00**
8 . Other: **Graduate student tuitions, fees, health insurance**	**17253.00**
9 . Other: **Equipment maintenance and e-shop charges**	**1000.00**
Total Other Direct Costs	**19253.00**

G. Total Direct Costs

	Funds Requested ($)
Total Direct Costs (A+B+C+D+E+F)	**138427.00**

H. Indirect Costs

	Indirect Cost Rate (%)	Indirect Cost Base ($)	Funds Requested ($)
Modified Total Direct Cost (MTDC)	**51.50**	**121174.00**	**62405.00**
Cognizant Federal Agency: DHHS, David S. Low (415) 437-7820		Total Indirect Costs	**62405.00**

I. Direct and Indirect Costs

	Funds Requested ($)
Total Direct and Indirect Costs (G+H)	**200832.00**

J. Fee

	Funds Requested ($)
Fee	**0.00**

K. Total Cost

	Funds Requested ($)
Total Cost with Fee (I+J)	**200832.00**

PI Name : **Joel Primack**		**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**		**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date : **01 / 01 / 2012**	End Date : **12 / 31 / 2012**	Budget Type : **Project**	Budget Period : **3**

A. Direct Labor - Key Personnel

Name	Project Role	Base Salary ($)	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
Dekel, Avishai	CO-I	0.00			1	14205.00	1989.00	16194.00
Primack, Joel	PI_TYPE	0.00			1	18674.00	2521.00	21195.00
Klypin, Anatoly	CO-I	0.00			1	10609.00	1804.00	12413.00
						Total Key Personnel Costs		49802.00

B. Direct Labor - Other Personnel

Number of Personnel	Project Role	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
3	Graduate Students		6	9	57933.00	1624.00	59557.00
3	Total Number Other Personnel				Total Other Personnel Costs		59557.00
	Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)						109359.00

PI Name : **Joel Primack**		NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**		**09-ATP09-0189**
Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**		

SECTION X - Budget			
Start Date : **01 / 01 / 2012**	End Date : **12 / 31 / 2012**	Budget Type : **Project**	Budget Period : **3**

C. Direct Costs - Equipment		
Item No.	**Equipment Item Description**	**Funds Requested ($)**
	Total Equipment Costs	**0.00**

D. Direct Costs - Travel	
	Funds Requested ($)
1. Domestic Travel (Including Canada, Mexico, and U.S. Possessions)	**9000.00**
2. Foreign Travel	**4000.00**
Total Travel Costs	**13000.00**

E. Direct Costs - Participant/Trainee Support Costs	
	Funds Requested ($)
1. Tuition/Fees/Health Insurance	**0.00**
2. Stipends	**0.00**
3. Travel	**0.00**
4. Subsistence	**0.00**
Number of Participants/Trainees: **Total Participant/Trainee Support Costs**	**0.00**

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget			
Start Date : **01 / 01 / 2012**	End Date : **12 / 31 / 2012**	Budget Type : **Project**	Budget Period : **3**

F. Other Direct Costs	
	Funds Requested ($)
1. Materials and Supplies	**0.00**
2. Publication Costs	**1000.00**
3. Consultant Services	**0.00**
4. ADP/Computer Services	**0.00**
5. Subawards/Consortium/Contractual Costs	**0.00**
6. Equipment or Facility Rental/User Fees	**0.00**
7. Alterations and Renovations	**0.00**
8 . Other: **Graduate student tuitions, fees, health insurance**	**18977.00**
9 . Other: **Equipment maintenance and e-shop charges**	**1000.00**
Total Other Direct Costs	**20977.00**

G. Total Direct Costs	
	Funds Requested ($)
Total Direct Costs (A+B+C+D+E+F)	**143336.00**

H. Indirect Costs			
	Indirect Cost Rate (%)	**Indirect Cost Base ($)**	**Funds Requested ($)**
Modified Total Direct Cost (MTDC)	**51.50**	**124359.00**	**64045.00**
Cognizant Federal Agency: DHHS, David S. Low (415) 437-7820		**Total Indirect Costs**	**64045.00**

I. Direct and Indirect Costs	
	Funds Requested ($)
Total Direct and Indirect Costs (G+H)	**207381.00**

J. Fee	
	Funds Requested ($)
Fee	**0.00**

K. Total Cost	
	Funds Requested ($)
Total Cost with Fee (I+J)	**207381.00**

FORM NRESS-300 Version 2.0 Apr-06-05

PI Name : **Joel Primack**	**NASA Proposal Number**
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date :	End Date :	Budget Type : **Project**	Budget Period : **4**

A. Direct Labor - Key Personnel

Name	Project Role	Base Salary ($)	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
Primack, Joel	**PI_TYPE**	**0.00**				**0.00**	**0.00**	**0.00**
						Total Key Personnel Costs		**0.00**

B. Direct Labor - Other Personnel

Number of Personnel	Project Role	Cal. Months	Acad. Months	Summ. Months	Requested Salary ($)	Fringe Benefits ($)	Funds Requested ($)
0	**Total Number Other Personnel**				**Total Other Personnel Costs**		**0.00**
	Total Direct Labor Costs (Salary, Wages, Fringe Benefits) (A+B)						**0.00**

PI Name : **Joel Primack**	NASA Proposal Number
Organization Name : **UNIVERSITY OF CALIFORNIA, SANTA CRUZ**	**09-ATP09-0189**

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget			
Start Date :	End Date :	Budget Type : **Project**	Budget Period : **4**

C. Direct Costs - Equipment

Item No.	Equipment Item Description	Funds Requested ($)
	Total Equipment Costs	0.00

D. Direct Costs - Travel

	Funds Requested ($)
1. Domestic Travel (Including Canada, Mexico, and U.S. Possessions)	0.00
2. Foreign Travel	0.00
Total Travel Costs	0.00

E. Direct Costs - Participant/Trainee Support Costs

	Funds Requested ($)
1. Tuition/Fees/Health Insurance	0.00
2. Stipends	0.00
3. Travel	0.00
4. Subsistence	0.00
Number of Participants/Trainees: Total Participant/Trainee Support Costs	0.00

Proposal Title : **Evolution of Structure and of the Cosmic Population of Galactic Spheroids**

SECTION X - Budget

Start Date :	End Date :	Budget Type : **Project**	Budget Period : **4**

F. Other Direct Costs

	Funds Requested ($)
1. Materials and Supplies	**0.00**
2. Publication Costs	**0.00**
3. Consultant Services	**0.00**
4. ADP/Computer Services	**0.00**
5. Subawards/Consortium/Contractual Costs	**0.00**
6. Equipment or Facility Rental/User Fees	**0.00**
7. Alterations and Renovations	**0.00**
Total Other Direct Costs	**0.00**

G. Total Direct Costs

	Funds Requested ($)
Total Direct Costs (A+B+C+D+E+F)	**0.00**

H. Indirect Costs

	Indirect Cost Rate (%)	Indirect Cost Base ($)	Funds Requested ($)
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
	0.00	**0.00**	**0.00**
Cognizant Federal Agency:		**Total Indirect Costs**	**0.00**

I. Direct and Indirect Costs

	Funds Requested ($)
Total Direct and Indirect Costs (G+H)	**0.00**

J. Fee

	Funds Requested ($)
Fee	**0.00**

K. Total Cost

	Funds Requested ($)
Total Cost with Fee (I+J)	**0.00**

FORM NRESS-300 Version 2.0 Apr-06-05

Evolution of Structure and of the Cosmic Population of Galactic Spheroids

PI: Joel Primack, Co-Is: Avishai Dekel and Anatoly Klypin
Co-Is: James Bullock, T. J. Cox, Sandra Faber, Patrik Jonsson, Mark Krumholz,
Jennifer Lotz, Rachel Somerville, and Risa Wechsler

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1 Background and Scientific Motivation

The evolution of structure in the universe on all scales larger than the central regions of galaxies appears to be governed by the dark matter distribution predicted by ΛCDM (e.g., Conroy et al. 2006), although there are issues concerning possible disagreements with ΛCDM on smaller scales (Primack 2009a,b). The Millennium simulation, (Springel et al. 2006) based on the WMAP1 cosmological parameters, has been the basis of many studies of dark matter halo properties and distribution, and for semi-analytic models (SAMs) that have allowed very useful comparisons with observations (e.g., Croton et al. 2006; De Lucia et al. 2006; Bower et al. 2006). We have just finished a new simulation that we are calling "Bolshoi" (Russian for "big"), based on the WMAP5 parameters. and with nearly an order of magnitude better mass and force resolution than Millennium. **We propose to make the Bolshoi simulation the basis for better understanding of the dark matter backbone of structure formation and for a new generation of improved semi-analytic models (SAMs).**

The origin and evolution of galactic spheroids, which comprise approximately three-fourths of the stellar mass in the Universe (Fukugita & Peebles 2004), **is a key problem that is at last ripe for solution.** Although it is widely believed that many elliptical galaxies (E's) and most classical galactic bulges formed via galaxy mergers (Kormendy & Kennicutt 2004), many aspects remain unclear. These are the questions that the proposed research aims to answer:

- Which spheroids formed from mergers of two gas-rich disk galaxies, and which formed in other ways?
- What was the nature of the progenitor galaxies that merged, when did these mergers occur, and how much gas dissipation and star formation was involved?
- What are the differences, for example in shapes and kinematics, between spheroids that formed via mergers vs. those that formed via instabilities in cold gas inflows?
- When did the supermassive black holes (SMBHs) associated with galactic spheroids grow by gas accretion producing bright AGN, and how did the AGN in turn affect the evolution of the resulting galaxies?
- What was the relative importance of AGN feedback vs. the truncation of cold flows into galaxies in quenching star formation and producing the observed color bimodality of galaxies?
- What were the processes responsible for the changing properties of elliptical galaxies as a function of redshift, in particular for higher redshift ellipticals being smaller and denser?
- How are these high-redshift spheroids transformed into those that we see nearby?
- What are the key processes that produced the entire evolving population and spatial distribution of early-type galaxies?

It is well established that, locally, major interactions between galaxies are responsible for impressive bursts of star formation in Ultraluminous Infrared Galaxies (ULIRGs) such as Arp 220. However, their contribution to the total density of star formation nearby is small. At higher redshifts, the fraction of galaxies that emit the bulk of their energy at infrared wavelengths increases (e.g., Papovich et al. 2004; Le Floc'h et al. 2004). This was believed to be the result of an expected rapid increase in the merger rate of galaxies towards higher redshifts, which appeared to be confirmed by observations showing an increased fraction of morphologically disturbed galaxies at higher redshift (e.g. Brinchmann & Ellis 2000; Conselice et al. 2003). However, Spitzer studies with much larger data sets indicate that the majority of the infrared-emitting galaxies at $z \sim 0.7$ are morphologically *normal* spiral galaxies (Bell et al. 2005; Melbourne et al. 2005). There is also evidence that the pair fraction does *not* evolve strongly out to $z \sim 1.2$ (Lin et al. 2004, 2007),

and that the fraction of morphologically disturbed galaxies also does *not* evolve strongly out to $z \sim 1$ (Lotz et al. 2008a) or even $z \sim 4$ (Lotz et al. 2006), although the trends may be different for IR-selected galaxies (Shi et al. 2009). Observations also seem to imply that star formation at $z \sim 1$ is predominantly not "bursty" but rather proceeds at a rate that is fairly constant in time (Noeske et al. 2007), in apparent contradiction with a merger-driven starburst picture. Whether the increase in star formation with redshift is driven by frequent minor mergers, which may induce star formation without strong morphological disturbances or strong bursts, or by quiescent star formation in galaxies that are constantly being resupplied with new gas, is an outstanding question.

Perhaps the strongest evidence of the importance of major galaxy mergers is the rapid increase in the mass density of spheroids from $z \sim 1$ to the present (Bell et al. 2004; Faber et al. 2007). The observed merger rate (Lin et al. 2008; Lotz et al. 2008b) suggests that these spheroids plausibly were created by the merging of galaxies, but the fraction that happened mainly as dissipationless rather than as gas-rich mergers is uncertain. Only mergers involving disk galaxies can increase the total mass density of spheroids, although simulations show that disks can be regrown in gas-rich mergers (e.g., Springel & Hernquist 2005; Robertson et al. 2006). Mergers are also likely to be a key ingredient in the growing of SMBHs and in producing the observed M_{BH}-σ relation (e.g. Tremaine et al. 2002).

At long last, cosmological hydrodynamic simulations of galaxy formation, with higher resolution and better implementations of supernova feedback, are beginning to make realistic disk galaxies (Governato et al. 2007; Mayer et al. 2008; Ceverino & Klypin 2009). Hydrodynamic simulations of major mergers of gas-rich disk galaxies reproduce some key features of observed early-type galaxies (e.g., Barnes & Hernquist 1992; Cox et al. 2006a). Star formation in massive galaxies at high redshifts appears to convert gas into stars very efficiently (Genel et al. 2008). Major mergers may be responsible for the very luminous submillimeter galaxies, but infrared IFU observations suggest that much of the star formation at $z \gtrsim 2$ occurs in thick gaseous disks (Genzel et al. 2008; Shapiro et al. 2008). New hydrodynamic simulations of high-redshift galaxy formation are showing that cold streams of gas can enter even rather massive galaxies at $z \gtrsim 2$, that these forming disks are unstable to clumping, and that such star-forming clumps merge to form stellar spheroids in such systems (Dekel et al. 2008, 2009). An important question is the relative importance of this mode of spheroid formation compared to binary mergers of gas-rich disks.

A related question is the nature of the mechanism that shuts down star formation in galaxies and creates their bimodal color distribution (Bell et al. 2004; Baldry et al. 2006; Faber et al. 2007). Hydrodynamic simulations of binary galaxy mergers including a model for AGN accretion and feedback (Springel et al. 2005b), in which the black hole accretes rapidly during the final coalescence of the galaxies and expels all gas from the system, reproduce the observed M_{BH}-σ relation (Di Matteo et al. 2005). They also naturally result in a shutdown of star formation after the merger and the formation of a red remnant (Springel et al. 2005a) and appear to be consistent with observations of the QSO luminosity function (Hopkins et al. 2005 and subsequent papers, reviewed in Hopkins et al. 2008b,a).

Despite its successes, it is not clear that the Springel-Hernquist-Hopkins AGN model is fully consistent with the observations. In this model, the intrinsic luminosity of the AGN is strongly peaked at the time the galactic nuclei merge. At that time, feedback from the accreting black hole blows out the gas from the merging galaxies and terminates most growth of both M_{SMBH} and M_{spheroid}. This cannot be the complete story. SDSS data on nearby galaxies (Schawinski et al. 2007) and AEGIS data on $z \sim 1$ galaxies (Nandra et al. 2007; Georgakakis et al. 2008) show that much of the AGN activity occurs *after* the spheroid is already turning red. Furthermore, X-ray emission from AGN in galaxies at $z \sim 1$ seems to come mostly from early-type galaxies,

not mergers (Pierce et al. 2007; Pierce 2009); and LINER-type emission, presumably connected to low-level AGN activity, is observed to originate from post-starburst galaxies whose gas and dust appear not to have been cleared out during the starburst phase (Graves et al. 2007). The DEEP2 survey has turned up a two multiple AGN and 35 velocity-offset AGN (Comerford et al. 2008) in post-starburst galaxies, favoring a merger origin for these galaxies but suggesting that many of the SMBHs in the merging galaxies have not yet themselves merged.

A different mechanism must be responsible for preventing star formation over longer periods. Heating of the IGM by sustained low-level AGN activity ("radio-mode" AGN feedback (Croton et al. 2006)) is one possibility; the shutting down of cold gas inflow due to a virial shock when the halo mass grows larger than approximately $10^{12}\,\mathrm{M}_\odot$ is another (Birnboim & Dekel 2003; Kereš et al. 2005; Birnboim et al. 2007; Dekel & Birnboim 2007).

The comparison between theory and observations is complicated by the difficulty of finding and identifying not only merging galaxies at high redshift, but also highly obscured AGN. The X-ray emission from these sources can be attenuated to the point that it becomes difficult to detect with current X-ray telescopes, but NuSTAR, scheduled for launch in 2011, should detect higher energy X-rays from even Compton-thick AGN. The infrared dust emission, on the other hand, is readily detected but can also originate in the highly obscured starbursts predicted by the merger picture.

In recent years, true multi-wavelength capabilities have become reality. The wavelength coverage of large galaxy surveys is increasing, and the AEGIS survey (Davis et al. 2007) has accumulated essentially panchromatic coverage including X-ray, far-ultraviolet, optical, near-infrared, mid-infrared, and radio wavelengths, with DEEP2 spectra of galaxies to $z \sim 1.4$. This will be extended to higher redshifts by the DEEP3 survey. The Herschel space telescope will extend wavelength coverage at far-infrared wavelengths out to redshifts around 2, and projects like ALMA out to the epoch of reionization. Studies of galaxies that focus on single observables, like the luminosity function, are rapidly becoming outdated.

The challenge now is to measure the full multi-dimensional distribution of galaxies in *all* observables, and to develop a unified model of galaxy formation that can predict these quantities. To determine the role of galaxy mergers in fueling AGN and the buildup of spheroids compared to alternative mechanisms, more sophisticated methods for identifying merging galaxies in surveys are needed, utilizing all available observables. Creating such models and methods is a major goal of the research proposed here. We aim in particular to provide the main theoretical support for the DEEP3 and AEGIS surveys.

The research proposed here would accomplish the following:

- **Determine the ΛCDM gravitational backbone for structure formation** with current cosmological parameters by analyzing our Bolshoi simulation, running higher-resolution simulations of subregions, and determining halo properties and the halo merger tree.

- Develop improved semi-analytic models (SAMs) based on the Bolshoi simulations and on our new analytic model of spheroid formation in order to **predict the properties of the evolving galaxy population**.

- Develop improved hydrodynamic simulations of galaxy formation appropriate for higher redshifts, including **AGN, gas flow into galaxies, and environmental effects of large-scale structure**.

- Use our improved Sunrise radiation transfer model and our new hydrodynamic simulations of galaxy formation to develop sophisticated **algorithms for identification and multiwavelength characterization of spheroid formation processes** in galaxy surveys.

- Use **scientific visualization** to understand and illustrate the processes that govern galaxy formation and the evolution of structure in the universe.

3

- All our models and outputs and all software developed will be **freely available**.

Our approach of running and analyzing simulations in close collaboration with observers builds on and extends our currently funded research, detailed in Section 2. The proposed new research is described in more detail in Section 3, and the technical plan is in Section 4.

2 Our Recent and Current Work, and Proposed Continuations

This section describes our completed and ongoing research relevant to the present proposal, to provide a context for this proposal and to show that we are in a position to accomplish the proposed new work. We also describe proposed continuations of our current research.

2.1 Simulations of Early-type Galaxy Formation

For the past several years, our group has been studying mergers of galaxies through high resolution hydrodynamic simulations of binary galaxy encounters, including star formation and supernova feedback. In our simulations, galaxies are modeled as a stellar disk and bulge, a gas disk, and a dark-matter halo. Two galaxies are then placed on an approaching orbit, and the simulation is started. The simulations have typically used 170,000 particles per galaxy, with a smaller number of simulations using up to $10\times$ as many particles per galaxy. They were run using the current version of the GADGET N-body/hydrodynamics code, including star formation and feedback. Using this method, a spatial resolution of $\sim 100\,\mathrm{pc}$ and a mass resolution of $\sim 10^6\,\mathrm{M_\odot}$ are attained.

We have run a large suite of galaxy merger simulations (Cox 2004; Cox et al. 2006b, 2008). In particular, we have modeled the galaxies based on observational properties of local disk galaxies. The metallicity gradients of the isolated progenitor galaxies were tuned to have dust attenuation consistent with that of real spiral galaxies (Rocha et al. 2008). We have also done an extensive study of the effects of different supernova feedback parameterizations (Cox et al. 2006b) and for the first time conducted an extensive study of minor as well as major mergers, investigating how the properties of the merger-induced starbursts depend on the mass ratios of the merging galaxies (Cox et al. 2008).

The merger simulations have also been extensively compared to observations of merging galaxies, and the properties of the merger remnants compared with elliptical galaxies. One project concerned the radial dependence of the velocity dispersion in elliptical galaxies produced by mergers. A study led by Co-I Dekel refuted the argument that a low observed velocity dispersion in the outskirts of elliptical galaxies rules out the presence of a dark matter halo (Romanowsky et al. 2003). The low velocity dispersion is a natural outcome of the merging process, which naturally puts stars in the outer regions of the remnants on highly radial orbits via gravitational interactions with the merging galactic nuclei (Dekel et al. 2005).

Greg Novak (who finished his PhD with Primack in September 2008 and is now a postdoc at Princeton) studied the shapes of the merger remnants and their dark halos and found that the stellar minor axis and the halo major axis are almost always close to perpendicular. The elongation is along the merger axis, and the stellar minor axis is oriented close to the angular momentum axis, with much of this angular momentum typically arising from the orbital angular momentum of the merging galaxies. These predictions (Novak et al. 2006) are being tested by observations of weak gravitational lensing (Parker et al. 2007).

We have also compared with new strong lensing data on elliptical galaxies (Bolton et al. 2007), finding that our binary merger remnants agree with the data on Es with lower velocity dispersion σ_v but that Es with higher $\sigma_v \gtrsim 350$ km s^{-1} have higher central densities than are attained in binary mergers (Novak et al., in prep.). In agreement with the new SLACS lensing data (Bolton

et al. 2008), we find that the total density in our simulations is very close to isothermal (r^{-2}) (Novak 2008).[1]

Novak also did a detailed kinemetry comparison of the binary merger remnants with integral field unit observations of early-type galaxies in the SAURON survey (Emsellem et al. 2004, 2007). Prelminary results reported in Novak's PhD thesis (Novak 2008) indicate that our binary, gas-rich major mergers result in remnants that are very similar to the approximately 75% of SAURON ellipticals that are classified as "fast rotators" (Emsellem et al. 2007; Cappellari et al. 2007; Falcón-Barroso et al. 2008). However, the remaining ∼25% are slowly rotating, nearly spherical elliptical galaxies that are not produced in binary merger simulations.

A possible formation mechanism for these galaxies is multiple major or minor mergers (Weil & Hernquist 1996; Bournaud et al. 2007; Burkert et al. 2008; Naab et al. 2007; Novak 2008), that are expected to occur in dense regions at high redshifts. Novak ran an ambitious new series of simulations of multiple mergers to test this hypothesis (Novak 2008). A paper based on these and ongoing simulations will soon be submitted for publication. These simulations included both fully cosmological initial conditions and also simplified cases of interactions between many individual galaxies. The cosmological simulations were based on Andrey Kravtsov and Doug Rudd's $80h^{-1}$ Mpc Adaptive Refinement Tree (ART) hydrodynamic ΛCDM simulation with star formation, feedback, and ∼ 1.6 kpc resolution run on Primack's allocation on NASA's Columbia supercomputer. Novak identified groups in this simulation at $z \sim 2$. The galaxies in these groups were then replaced with higher-resolution versions with better-defined disks while retaining the full hydrodynamic group environment and the intergalactic gas density field. This approach has the advantage that it accounts in a cosmologically realistic way for gas inflows to galaxies. Although gaseous disks formed in at least three of the six cosmological cases run, the stellar systems formed were predominantly spheroidal, partly because of mergers of dense clumps and partly because the changing stream configuration caused the disk orientation to change with time. Thus the results of these ∼ 100 parsec resolution simulations appear to be similar to those from cosmological ∼ kpc resolution simulations (Dekel et al. 2008). Galactic winds typically emerge from simulated galaxy mergers, driven by shocks and energy input from supernovas and AGN, and adiabatically expand. When galaxy mergers are simulated in realistic cosmological environments, these outflowing winds shock against the surrounding inflowing gas. We will see whether this may realistically account for the X-ray halos of observed galaxy groups containing elliptical galaxies.

Daniel Ceverino (who finished his PhD with Co-I Klypin in 2008 and is now a postdoc with Co-I Dekel) did pathbreaking hydrodynamical simulations of processes in the galactic interstellar medium (ISM) using the Eulerian hydrodynamics + N-body Adaptive Refinement Tree (ART) code developed by Klypin in collaboration with his former PhD student Andrey Kravtsov (Kravtsov et al. 1997; Kravtsov 1999, 2003). Their code properly models the multicomponent ISM. Since it includes the heating and cooling rates from radiative processes and molecular as well as line cooling, it can simulate temperatures $T \sim 100$ K, densities $n_H > 10^{-3}$ cm^{-3}, and reach the thermodynamic conditions of molecular clouds. Instead of using a sub-resolution model of a multiphase medium as in (Springel & Hernquist 2003; Cox et al. 2006c), their code resolves it, and naturally produces hot bubbles, chimneys, and galactic winds. Another important ingredient is runaway stars: massive stars ejected from the molecular clouds where they form. (Although most massive stars are found in stellar clusters and OB associations, 10-30% are found in the field with velocities consistent with such an ejection scenario.) Such runaway stars become supernovas 10-100 pc from molecular clouds, which greatly facilitates feedback. Having understood key physical processes in (4 kpc)^3 simulations of the ISM of a disk galaxy with resolution of a few pc, Ceverino and Klypin next checked that the same processes occurred in simulations with the ∼ 35 pc resolution
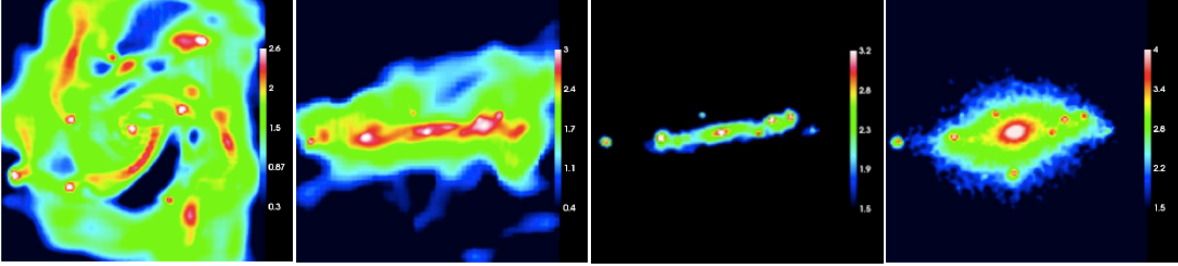
---

[1] `http://physics.ucsc.edu/~joel/Novak-thesis.pdf`

Figure 1: Gas surface density of a galaxy at $z \sim 2.3$ from a high-resolution cosmological simulation led by Daniel Ceverino. These images are 10×10 kpc; the color code is log suface density in units of $M_\odot \, \mathrm{pc}^{-2}$. The face-on view (a) shows shows an extended disk broken into several giant clumps. The edge-on view (b) demonstrates that this is a well-defined gas disk, while (c) the edge-on surface density of new (< 100 Myr) stars resembles observed "chain" galaxies (Elmegreen & Elmegreen 2006). The edge-on view of surface density of all stars (d) shows a large bulge formed by merging clumps that comprises about half of the stars.

that their cosmological simulations could achieve. They found that the classic problems of disk galaxy formation – overcooling and loss of angular momentum resulting in unrealistically massive bulge formation – are avoided, with their simulated galaxies having nearly flat rotation curves (Ceverino & Klypin 2009). Finally they studied the effect of stellar feedback in galaxy formation at high redshift.

In ongoing cosmological simulations of the formation of the progenitors of massive elliptical galaxies at high redshift, being run using Primack's allocations of supercomputer time, Ceverino has seen cold flows of gas into galaxies feeding unstable dense gas-rich disks that form giant clumps, each a few percent of the disk mass, in which stars form rapidly. The clumps migrate into a central bulge in ~ 0.5 Gyr, but cold gas inflow can maintain this phenomenon for up to several Gyr. Figure 1 shows such a clumpy disk galaxy. The resulting unstable gaseous disks resemble those found by Genzel and collaborators (Genzel et al. 2008), but the merging clumps can build a massive stellar spheroid. A sufficiently large stellar spheroid can then stabilize the disk and largely prevent further star formation. The model thus predicts a galaxy bimodality already by $z \sim 3$, with star-forming disks and reddening spheroids (Dekel et al. 2009). The large gaseous disks in these simulations resemble those found in Novak's simulations discussed above, but those simulations had much less clump formation because these GADGET simulations could not cool to molecular cloud temperatures.

Rudy Gilmore (who just finished his PhD with Primack in June 2009 and will be a postdoc at SISSA) did an extensive study of the extragalactic background light (EBL) and gamma-ray attenuation using SAMs, in collaboration with Rachel Somerville (Primack et al. 2008; Gilmore 2009). He also calculated the evolving UV EBL using SAMs and models of the evolving AGN contribution, including processing of the ionizing radiation by the IGM in collaboration with Haardt and Madau (Gilmore et al. 2009). We have already mentioned results on AGN in AEGIS galaxies led by **Christy Pierce** (who finished her PhD with Primack in March 2009 and will be a postdoc at Georgia Tech). Christy's dissertation (Pierce 2009) and current research with Primack and the AEGIS team will result in papers to be submitted soon.

Matt Covington (who finished his PhD with Primack in September 2008 and is now a postdoc at the University of Minnesota) created a simple analytic model of the properties of remnants from our galaxy merger simulations. Covington's physical merger model accurately predicts the stellar half-mass radius and velocity dispersion of the stellar spheroids produced by gas-rich binary mergers based on energy transfer from orbital to internal kinetic energy in the first close pass of

the merger (Covington et al. 2008). The model works for a wide range of merger mass ratios, and it also accurately predicts the properties of spheroids formed in gas-poor ("dry") mergers. The only inputs required are the properties of the progenitor galaxies and their orbits, and work is in progress with Covington to create a simplified model that effectively integrates over cosmologically representative orbits.

Such analytic models are useful for gaining an intuitive understanding of which physical processes are important, and also for use in conjunction with semi-analytic models (SAMs). In order to predict the properties of the evolving population of spheroidal galaxies, we combined this analytic model with two different SAMs, Somerville's new one (Somerville et al. 2008b) and one based on the Millennium simulation (Croton et al. 2006). We used the SAMs to predict the properties of the disk galaxies involved in all the mergers (mass, disk size, bulge to disk ratio, and gas content) and we summed with proper weighting over the orbits from cosmological simulations (Benson 2005). Somerville's SAM has disks with size-mass relation evolution in good agreement (Somerville et al. 2008a) with observations from low redshifts (Shen et al. 2003) out to high redshifts (Trujillo et al. 2006). The resulting stellar spheroids have a size-mass relation evolution with nearly the observed slope and zero point, both for SDSS spheroids and those out to $z \sim 3$. The dispersion in the spheroid sizes is smaller than that of the disks because the larger disk galaxies were also more gas rich, and in mergers with increasing gas fraction more gas is driven to the center and forms smaller radius stellar systems. The increasing gas content and smaller sizes of higher redshift disks accounts for the smaller radius of the spheroids resulting from their mergers. Similar results were obtained for the Millennium SAM; the slope of the spheroid size-mass relation was an even better match to the observations, although the Millenium SAM's unrealistically large disks resulted in a zero-point offset that was corrected when the observed sizes were instead used in the model. Covington also found in his PhD thesis[2] that the merger-produced stellar spheroids lie in a Fundamental Plane offset from the virial plane by the observed "tilt," because of an increased central star/dark matter ratio in more massive galaxies. These encouraging results, which will soon be submitted for publication, suggest that mergers of gas-rich disks form a significant fraction of elliptical galaxies, at least the lower-mass ones. They also suggest that the scaling relations of stellar spheroids are a consequence of those of the disk galaxies from which they form. The most massive elliptical galaxies cannot be produced by binary mergers of disks because of the absence of sufficiently massive and metal-rich disks (Naab & Ostriker 2009). As we have already discussed, they are probably produced by multiple overlapping mergers and/or cold flows producing unstable clumpy disks. However, an important question is why these more massive early-type galaxies also obey scaling relations like those of the less massive early-type galaxies that plausibly formed from binary major mergers.

In another project, Covington compared the kinematics of intermediate stages of our simulations with the Keck Observatory DEIMOS spectra of galaxies in the AEGIS survey. Although these AEGIS galaxies often had far lower rotation velocities for their stellar masses than the Tully-Fisher relation would predict, when their rotation velocities were added in quadrature to their velocity dispersions they were found to lie on a Tully-Fisher-like relation with remarkably little scatter (Kassin et al. 2007). When we mimic the AEGIS spectra by observing our simulations similarly, including seeing and using the same code to measure $V_{\rm rot}$ and σ as used to analyze the observed spectra, we find that intermediate stages of our galaxy mergers have kinematic properties very much like those observed (Covington PhD dissertation 2008; Covington et al., in prep.)– see Figure 2. Our simulations are helping us to interpret the observations in terms of the depth of the potentials in these galaxies. In particular, we have found that there is a close correlation between $S_{0.5} = (\sigma^2 + 0.5V_{\rm rot}^2)^{1/2}$ and the total enclosed mass if they are both evaluated at (for example) the

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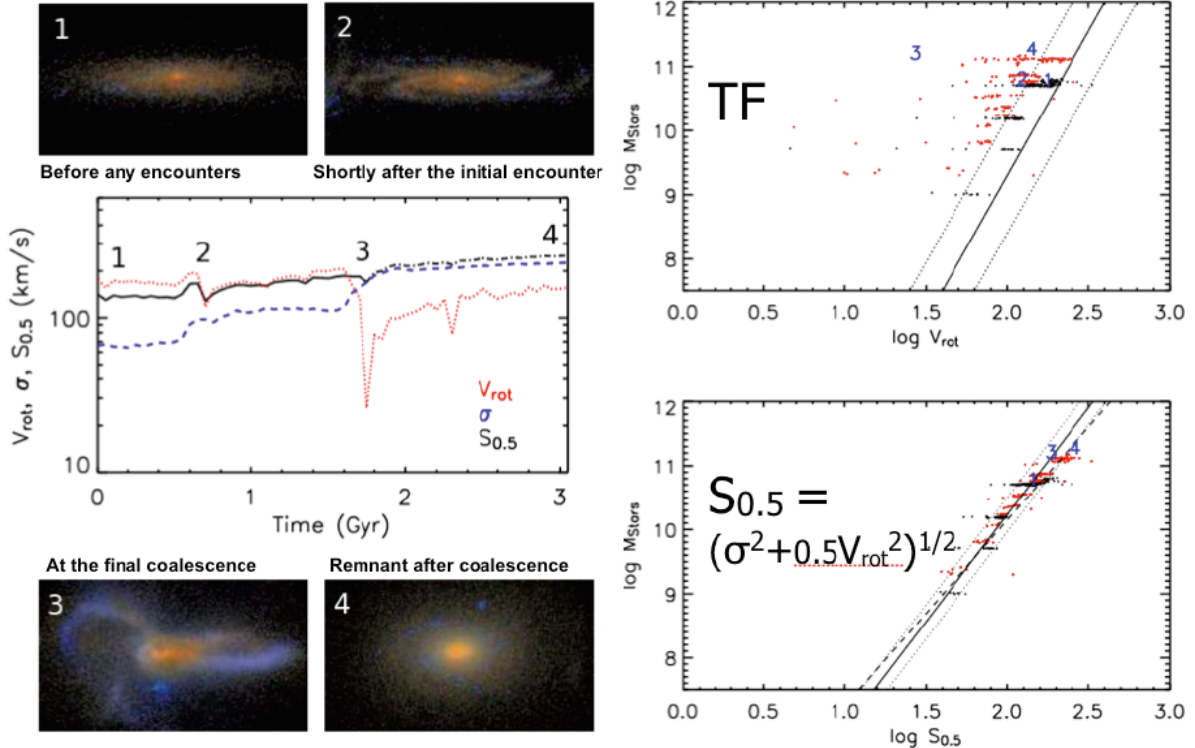[2]http://physics.ucsc.edu/~joel/Covington-PhD-thesis.pdf

Figure 2: *Left.* Images of four merger stages (1 and 2 show one of the two merging galaxies, 3 and 4 show the merger and remnant). These are realistic images produced by our *Sunrise* code, including evolving stellar SEDs and radiative transfer through dust. The rotation velocity, velocity dispersion, and $S_{0.5}$ are plotted vs. time, with the four merger stages indicated. *Right.* The upper panel is a Tully-Fisher-type plot of stellar mass vs. rotation velocity for our merger simulations observed including seeing effects, and the lower panel shows that adding σ and $V_{\rm rot}$ in quadrature greatly reduces the scatter as was found observationally. Black points represent individual galaxies, and red points represent cases where both galaxies are merged or lie in the same slit. The numbers indicate the four merger stages shown at left. (From Covington et al. 2009, submitted to MNRAS.)

radius that encloses 80% of the stellar mass. Moreover, we found that the kinematics can help to determine the merger stage; for example, the rotation velocity often drops dramatically for about 100 Myr just after the coalescence of the galaxy nuclei, as shown in Figure 2. However, to truly mimic the observations we now need to include the effects of dust in calculating these simulated spectra, which will be possible with the new version of *Sunrise*.

2.2 The Radiation Transfer Model *Sunrise*

Hydrodynamic simulations alone cannot predict what the systems would look like when observed. Merger-driven starbursts, which are some of the most luminous galaxies in the local universe, are invariably highly extinguished by dust (Sanders & Mirabel 1996), so any attempt to compare the simulated galaxies with observations must take this into account. In order to calculate the effects of dust, we have developed the Monte-Carlo radiative-transfer code *Sunrise* (Jonsson 2004, 2006). Snapshots of the geometry of stars and gas in the hydro simulations, along with the star-formation history of the system, are saved at least 50 times throughout the merger. These are then used as inputs to the radiative-transfer calculation. Spectral energy distributions are calculated for pre-existing stars and for stars formed during the interaction, and this radiation is then propagated

through the dusty interstellar medium of the simulated galaxies.

Using our *Sunrise* radiative-transfer code we have generated images of each simulated merger from many different viewpoints, in many wavelength bands, throughout the merger event. These images cover wavelengths from far-ultraviolet GALEX bands through optical ACS and ground-based filters and near-infrared NICMOS and the short-wavelength IRAC bands. Our simulations seem to replicate the properties of observed local starburst galaxies well (Jonsson et al. 2006). In particular, the simulations follow observed correlations between the IR/UV flux ratio and the ultraviolet spectral slope (Meurer et al. 1999; Goldader et al. 2002). Images and spectra of our simulations are available on a public web site[3], and our intent is to provide access to the science data as well so that other researchers can use the simulations for their own analyses.

The current version of *Sunrise* uses a "polychromatic" algorithm, where every Monte Carlo ray samples every wavelength (Jonsson 2006). This new algorithm makes it possible to calculate spectra of unprecedented spectral resolution. *Sunrise* has been adapted to use sub-resolution models of star-forming regions from the photoionization/dust code MAPPINGS III (Dopita et al. 2005). The emission from these star-forming regions includes emission lines, hot dust and PAH emission. Emission from diffuse dust is calculated self-consistently from the local radiation field. Taking all these features into account, *Sunrise* can generate realistic spectra of the galaxy simulations (Jonsson, Groves, et. al, in preparation). Collaborator Jonsson will continue working to improve *Sunrise*.

2.3   Merger Identification Algorithms

For the purpose of comparing simulations to observations, we have developed non-parametric measures quantifying galaxy morphology (Lotz et al. 2004). These measures separate local "normal" galaxies and ULIRGs remarkably well, and an analysis of ACS images of the EGS indicates that the local distribution of morphologies is present also at higher redshift (Lotz et al. 2008a). This method was used to estimate the merger fraction and merger rates in the AEGIS data (Lotz et al. 2008c) However, a key obstacle to understanding the galaxy merger rate and its role in galaxy evolution is the difficulty in constraining the timescales of mergers. Theoretical estimates for galaxy merger timescales are quite crude, and it is difficult to quantify the efficiency of various observational methods in selecting mergers. The combination of galaxy merger simulations and a realistic radiative transfer model is essential for calibrating these timescales. In work with Collaborator Jennifer Lotz (NOAO), we have applied morphological merger detection algorithms to simulated images of equal-mass mergers. This study shows that the timescales during which mergers may be identified are strongly dependent on the methods used (Lotz et al. 2008d). We are finding, for example, that Close Pairs and Asymmetry are primarily sensitive to gas-rich major mergers, while Gini-M_{20} can detect even gas-poor minor mergers that produce small morphological disturbances and little induced star formation. The good news is that this will help to reconcile apparently divergent estimates of the galaxy merger rate as a function of redshift, and that it will allow us to estimate the rates of various types of mergers (Lotz et al., in prep.).

3   Proposed New Research

The goals of this project are ambitious, and our approach is correspondingly multi-pronged. We are analyzing our just-completed high-resolution Bolshoi cosmological simulation, in order to understand better the details of ΛCDM structure formation

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[3] http://governator.ucsc.edu/simulations

and to provide the basis for better semi-analytic models of the evolving galaxy population. Continuing the research just discussed, we are running and analyzing high-resolution hydrodynamical simulations to clarify key phenomena in star formation and its quenching in galaxy formation. We are developing models, tools, and algorithms for predicting the detailed appearance and panchromatic spectral energy distributions of galaxies from these simulations, including highly obscured AGN. And we are using these models to gain an understanding of galaxy mergers, cold gas inflows leading to unstable disks, the interplay between AGN feeding and feedback, and how these processes shape the evolution of the galaxy population, especially by comparing with observations like the DEEP2/3 and AEGIS galaxy surveys. The specific questions we hope to answer were summarized in Section 1, Background and Scientific Motivation. Broadly, we aim to clarify the processes governing star formation and its quenching in the formation of galactic spheroids, in order to understand the evolving population of early-type galaxies. Here are details of the proposed research:

Bolshoi cosmological simulation. The Bolshoi simulation was run using the Adaptive Refinement Tree (ART) dissipationless code with 2048^3 particles in a comoving volume $250\ h^{-1}$ Mpc on a side. The cosmological parameters were $h = 0.73$ and $\sigma_8 = 0.83$, consistent with WMAP5. The dynamic range was 262,000 and there were 400,000 major time steps. This simulation requred 6 million cpu-hours on13824 cores and 12 Tb of RAM using early user time on the new Pleiades machine at NASA Ames Research Center. The $1\ h^1 M_\odot$ mass per particle and the force resolution of $1\ h^{-1}$ kpc are almost an order of magntude better than the Millennium run, and the 150 timesteps saved (representing 75 Tb of data) are three times greater than for the Millennium run. A mini-Bolshoi simulation was also run with 1024^3 particles in 1/8 the volume with the same resolution and cosmological parameters.

We are now in the process of analyzing these simulations. Halos are being found at all timesteps using both Klypin's BDM halo-finder and the Subfind halo-finder (Springel et al. 2001). Merger trees will then be constructed with our Collaborator Risa Wechsler and her postdoc Michael Busha. The Bolshoi simulation will provide information about halo properties (such as radial density profiles, concentrations, shapes, and angular momenta) and halo mass accretion and merger rates in different cosmological environments, which will be useful for many astrophysical purposes including halo occupation distribution (HOD) analyses. To give just one example: in dissertation research on the shapes of dark matter halos led by Primack's former grad student Brandon Allgood (Allgood et al. 2006), we found that to determine halo shapes accurately at several radii $N_p > 7000$ particles are requred within the virial radius of the halo, which was achieved for halos with mass $> 9.3 \times 10^{11} h^{-1} M_\odot$ in a resimulated subregion of a larger simulation. The Bolshoi simulation has achieved better mass and force resolution in a volume 1000 times larger, and with the current best-fit cosmological parameters!

Among the applications of the Bolshoi simulation will be populating the backward lightcone and the creation of improved mock catalogs for redshift surveys. Brian Gerke has agreed to prepare mock catalogs for the DEEP3 and AEGIS surveys from our Bolshoi halo catalogs.

To study the distribution and evolution of satellite and dwarf galaxy halos in both typical and low-density environments, we plan to simulate a number of subregions of the Bolshoi simulation with 64 times the mass resolution and correspondingly better force resolution, using Primack's 2009-2010 allocation of more than 3 million cpu-hours on the NASA Ames Pleiades machine, currently the fastest unclassified supercomputer in the world. Initial analyses of the Bolshoi simulations will be done by the PI and Co-Is and their grad students together with Collaborators James Bullock and Risa Wechsler and their groups. Bullock and Wechsler are former PhD students of Primack whose dissertation research established fundamental properties of dark matter halo concentrations

(Bullock et al. 2001b), angular momenta (Bullock et al. 2001a; Vitvitska et al. 2002), and mass accretion history (Wechsler et al. 2002), and who have often subsequently collaborated with him with and Co-Is Dekel and Klypin on such issues. We plan to make the Bolshoi outputs available through the National Virtual Observatory so that the entire astronomical community can use them.

Predicting galactic spheroid properties within semi-analytic models. There are two aspects of this project. One is to incorporate our analytic merger model (Covington et al. 2008) into SAMs, and the other is to improve the model itself. As we discussed above, we can now use the analytic model together with SAM outputs of merging galaxy properties (sizes, masses, bulge-to-total mass ratios, and gas content) to predict the stellar mass, age, and metallicity, stellar half-light radius, stellar velocity dispersion, and other properties of the resulting spheroids at various redshifts. The great advantage of incorporating the analytic model into SAMs is that this will allow prediction of correlations of spheroid properties, for example with environment, and also allow us to determine the evolution of individual objects – thus seeing, for example, what compact ellipticals at high redshift evolve into at lower redshifts. This project is a high priority of our collaboration with Collaborators Rachel Somerville and Darren Croton who are working with Primack and his grad students, especially Lauren Porter, to include our analytic model in their SAMs.

In SAMs based on the Millennium run, most mergers involve "orphan galaxies," dark matter halos that have lost so many particles after they fall into a larger halo that they can no longer be identified by the halo finder. Such galaxies are assumed in these SAMs to merge onto the central galaxies in the larger halo after a residual merging time that is calculated by some variant of the classical dynamical friction formula, possibly including a model of stripping due to tidal interaction with the larger halo. The uncertainties due to this approximate treatment will be largely avoided by the better mass and force resolution and the many saved timesteps of the Bolshoi simulation. We anticipate that the merger orbits will depend on the environment of the dark matter halo and on redshift. At any redshift, the rare halos that are much higher in mass than the typical mass of collapsing halos are fed from several (often three) filaments, while the more typical halos lie along filaments and infall into them occurs primarily along the filament axis (Dekel et al. 2008). We will test whether this is the main reason why lower-mass elliptical galaxies are typically elongated (along the filament) and rotating (perpendicular to the long axis), properties that we showed are predicted by binary major merger simulations (Novak et al. 2006), while more massive ellipticals are typically more spherical and non-rotating.

Lauren Porter, a UCSC grad student working with Primack, has used Covington's analytic merger model together with outputs from the Croton and Somerville SAMs to model the entire early-type galaxy population produced by major mergs of gas-rich disk galaxies. She has been comparing the results with the beautiful analysis of SDSS data on early-type galaxies in Genevieve Graves's just-finished PhD dissertation supervised by Collaborator Sandra Faber. By analyzing coadded SDSS spectra of elliptical galaxies binned by stellar half-light radius R_e and velocity dispersion σ_v, Graves has found that their light-weighted ages and metallicities are mainly functions of σ_v rather than R_e (Graves et al. 2009b,a). Porter is finding a similar behavior of metallicity, but she finds that stellar age is a function of R_e as well as σ_v since – as we mentioned above in discussing Covington's dissertation research (Covington 2008), now being prepared for publication – merging the smaller and more gas-rich disks typical at higher redshift produces smaller spheroids. Since our analytic merger model correctly predicts the results of dry as well as wet and minor as well as major mergers, Porter plans to extend this work by including all mergers predicted by the SAMs to see whether doing this will reproduce the observed trends in elliptical galaxy stellar age and metallicity. Recent simulations suggest that several minor mergers can increase R_e by a factor of ~ 3 while only slightly decreasing σ_v (Naab et al. 2009).

By including the merger model in SAMs, we can also predict environmental effects and compare

to observations such as those indicating somewhat greater stellar ages of ellipticals in clusters compared to the field. We will also try to extend our analytic merger model to include the results of our new cosmologically based multi-merger and cold-flow hydrodynamnical simulations. Such analytic treatments are crucial to understand the simulations, rather than just treating them as black boxes, as well as to interpolate and extrapolate beyond specific cases simulated. Preliminary attempts at such an analytic understanding (Dekel et al. 2009) are encouraging. That will also allow these important galaxy-formation processes to be treated by SAMs, allowing prediction of the properties of the entire evolving galaxy population and comparisons to the growing observational data at higher redshifts.

Running and analyzing additional galaxy merger simulations. There are two directions that seem especially useful to pursue in analyzing and expanding our large suite of galaxy merger simulations. One is to compare with kinematics of stars and globular clusters (GCs) at larger radii; another is to model specific merging systems in order to constrain better the feedback and other uncertain parameters in the simulations.

Chris Moody, a UCSC grad student working with Primack, has been analyzing our binary and multiple galaxy merger remnants out to $\sim 5R_e$. We are working with our UCSC colleague Aaron Romanowsky to compare our simulated remnants with the stellar and GC kinematics data that are being obtained using powerful integral field unit spectrographs and multiobject spectrographs on large telescopes (e.g., Noordermeer et al. 2008). Keck/DEIMOS observations, for example, have not only provided kinematics of many GCs in nearby elliptical galaxies, but the residual starlight has allowed measurement of stellar kinematics to radii $\gtrsim 3R_e$. One galaxy classified as a fast rotator at $r \leq R_e$ is slower rotating and rounder at larger radius; another classified as an elliptical fast rotator at $r \leq R_e$ is more elliptical and an even faster rotator at large radius. Moody is finding that such kinematic decoupling between inner and outer radii is relatively common in both binary and multiple merger remnants, and he is now working to understand the origin of these phenomena in the merging galaxy properties and orbits.

In addition to IFU studies of early-type galaxies, IFU data are increasingly being obtained for galaxies that appear to be interacting or merging. For example, our Collaborator Jennifer Lotz has obtained such data on nearby galaxies using Sparsepak on the WYN telescope, a French group has obtained such data at $z \sim 0.6$ (Neichel et al. 2008), the DEEP team is obtaining such data using the OSIRIS detector at Keck Observatory, and Genzel's group is obtaining 2D IR spectra using the SINFONI instrument at Paranal Observatory (Genzel et al. 2008). Our group is in a unique position to make theoretical predictions to compare with all these observations, including the important effects of dust. This work could be very helpful in telling us what sort of astrophysics is operating in these star-forming systems.

Improving galaxy formation simulations. Working with Collaborator T. J. Cox and our current students and postdocs including Daniel Ceverino, we propose to do an extensive series of new hydrodynamic simulations of the formation of early-type galaxies, including both binary and multiple mergers and the cold flow processes that may be increasingly important in high mass halos at high redshifts.

Earlier simulations by our group and others have included many binary mergers of galaxies modeled after nearby spirals, which typically have star formation rates (SFRs) of a few solar masses per year. But most stars formed in the high-redshift universe. Galaxies at redshift $z \sim 1$ typically have SFRs an order of magnitude higher than nearby, and SFRs may be higher still at $z \gtrsim 2$, reflecting the now well known evolution in the SFR ("Madau plot") and the more recently discovered regularity in the specific star formation rates (SSFRs) of star-forming galaxies (Noeske et al. 2007). In our simple binary merger simulations we can increase the SFR somewhat by

increasing the initial gas fraction in the disks, but the resulting efficient star formation rapidly depletes the denser gas in the central regions. As a result, a significant fraction of stars have formed even before the galaxies encounter each other. Such galaxy mergers turn a lower fraction of their initial gas into stars than galaxies that start with a lower gas fraction (Cox et al. 2006b). This is probably unrealistic. In order to have the observed sustained high SFRs, the simulated galaxies must be continuously resupplied with gas by the filaments within which they reside.

As we have discussed, Co-I Klypin's former PhD student Daniel Ceverino, now a postdoc with Co-I Dekel, and PI Primack's former PhD student Greg Novak are performing new cosmological hydro simulations, including their gaseous environments. These new simulations not only treat galactic gas inflows and outflows more realistically, they also naturally include multiple mergers and cold flows that are likely to form many of the more massive elliptical galaxies at high redshift. We are working with our UCSC colleague Collaborator Mark Krumholz to develop better treatments of feedback below the resolution scale, in order to improve our calculation of the star formation efficiency in mergers and in the star-forming clumps produced by disk instabilities (Figure 1). This may also enable us to explore the origin of globular clusters in forming elliptical galaxies.

Using improved models to interpret observations of AGN in forming galaxies. Current simulations of AGN in merging galaxies (Hopkins et al. 2008b,a) have suggested that feedback from the rapidly accreting, obscured black hole will clear the merging galaxies of cold gas, shut down star formation, and for a short while uncover the bright AGN before it runs out of gas. This scenario, which successfully reproduces the $M - \sigma$ relation and the luminosity function of quasars, predicts that bright AGNs should be in merging and interacting galaxies, with the peak AGN luminosity as the two galaxies are merging. In contrast, morphological analysis of X-ray emitting galaxies in the DEEP2 survey shows that they are mostly red-sequence (Nandra et al. 2007; Georgakakis et al. 2008) galaxies with early-type morphologies (Pierce et al. 2007), in apparent contradiction to the theoretical model. Also, it remains unclear how SMBHs will be fueled in multiple overlapping mergers or in galaxies where the main star formation occurs in clumps fed by cold flows. We propose to run new simulations of these types including SMBHs in order to explore these issues. We also plan to do simulations in which the recycled gas from stars is included as a source of fuel for star formation and AGN fueling (Ciotti & Ostriker 2007).

In order to draw strong conclusions, we will need to analyze the simulations in the exact same way as the observations. In binary mergers, for example, the brightest AGN phase is at the final coalescence of the two galaxies, when they quickly assume a spheroidal appearance and would not necessarily be morphologically classified as mergers. Using our improved *Sunrise* radiation transfer models, we can generate simulated observations of the simulations and correlate observables like morphology and X-ray luminosity with the intrinsic growth of the black hole.

These simulations can then be compared with multiwavelength data sets, especially those being assembled by the DEEP/AEGIS team, led by our Collaborator Sandra Faber. We know that the gravity of the spheroid can influence infall of gas toward the SMBH, and that feedback from the SMBH can affect star formation in the spheroid. The challenge is to develop methods to measure both star formation and SMBH mass growth quantitatively in all stages of galaxy evolution. Primack's finishing graduate student Christy Pierce has done part of this work in her just-finished dissertation. This includes estimating the effect of AGN on morphologies and colors of galaxies, and also analysis of the current AEGIS and GOODS-N data including HST galaxy images, multi-wavelength photometry, and deep Chandra, Spitzer, and radio data. However, much work remains to be done to calculate the appearance of merging and postmerger galaxies including AGN from our new simulations, using our radiation transfer code *Sunrise*. This project will be undertaken by UCSC grad student Priya Kollipara working with Primack and Collaborators Sandra Faber and Patrik Jonsson.

Understanding the role of cold flows in star formation and quenching. We will further study how efficiently cold flows penetrate through hot halos at high z and thus can grow massive disks with high star formation rates even in massive halos. This is expected (Dekel & Birnboim 2006) because M_{shock} is much larger than the typical forming halo mass M_* at $z > 2$, while they are comparable at $z \lesssim 1$. The hypothesis is that rare halos (with $M >> M_*$) at $z > 2$ are fed by narrow, dense dark matter filaments. The gas riding these filaments cools rapidly and avoids the shock heating that occurs elsewhere in the halo. This has been demonstrated in a few simulations (Dekel et al. 2008), but it remains to be seen how common this phenomenon is in higher-resolution cosmological simulations and also whether the observed galaxies thought to exemplify this phenomenon actually have properties similar to those predicted by the simulations.

The properties of elliptical galaxies at $z \lesssim 2$ require a robust quenching of the cold gas supply for star formation above a threshold halo mass $M_{crit} \approx 10^{12} M_\odot$ (Dekel & Birnboim 2006; Croton et al. 2006; Cattaneo et al. 2006, 2008). Similar heating is required in order to prevent cooling flows in cluster halos. AGN feedback is being considered, by us and others, as the source of quenching. However, the physics of AGN feedback and how it couples to the extended halo gas is a difficult open issue. Bright quasars have short duty cycles and cannot provide the required long-term maintenence, and the characteristic halo mass M_{crit} does not seem to emerge from the black hole physics. Gaseous major mergers, suggested as the trigger for quenching via starbursts or quasar activation (Hopkins et al. 2007), also have a hard time explaining the characteristic mass, and it is not clear that their frequency and starburst efficiencies are sufficient (Cox et al. 2008). We propose to examine in detail using hydrodynamical simulations several alternative quenching mechanisms.

One possibility is "gravitational quenching," in which the gravitational energy associated with cosmological baryon accretion into dark matter halos is the major source of quenching and maintenance (Dekel & Birnboim 2008). Analytic calculations and hydrodynamical simulations reveal the existence of a threshold helo mass $M_{shock} \sim 10^{12} M_\odot$ for a stable shock at the virial radius (Birnboim & Dekel 2003; Dekel & Birnboim 2006). In smaller halos, rapid cooling prevents the post-shock pressure from suporting the shock against gravitational collapse. The accreted gas flows cold into the inner halo, where it may eventually shock, build a disk, and form stars. When the halo mass grows above M_{shock}, a stable shock rapidly propagates outward toward the virial radius, halting the infalling gas and creating a hot, quasi-static medium at the virial temperature. This is a most natural trigger for quenching star formation in massive galaxies, which may explain the threshold mass and provide the hot gas necessary for *any* quenching mechanism. We propose to pursue a detailed investigation of this process.

An alternative possibility is "morphological quenching," whereby star formation in galactic disks becomes stabilized against fragmentation into star-forming clumps by the growth of a central stellar spheroid, as shown in Figure 1. In contrast with gravitational quenching and AGN feedback, which are limited to halos of total mass $\gtrsim 10^{12} M_\odot$, morphological quenching appears to be able to explain how field ellipticals can become red even in less massive halos. This process also needs to be explored via simulations. It is evident that a large program of hydrodynamic simulations will be required. Fortunately, NASA's Advanced Supercomputing (NAS) division at NASA Ames Research Center now has adequate computational resources to support this effort.

Scientific visualization. To really gain an understanding of the processes that occur when galaxies merge, it is essential to be able to efficiently study the simulations in a variety of ways, and especially to explore the temporal dimension which often is not represented well when studying isolated snapshots. For this purpose, our group is collaborating with Chris Henze (NASA Ames) whose visualization group has the experience and facilities for this work. The GADGET hydrodynamics code has been instrumented so simulations running on the Columbia supercomputer can be visualized and rendered in real-time, and we are going to continue this collaboration to study our

new simulations. The visualizations also make spectacular videos for explaining our science to the public.

4   Technical Plan

The PI, Joel Primack, will be responsible for overall management of the effort, and Co-Is Dekel and Klypin and the Collaborators will constitute a management committee to consider all important issues. We will meet frequently, by phone and email, and at our annual summer workshop at Santa Cruz.

The rough division of labor between the PI, Co-Is, and Collaborators on the work proposed here will be that the UCSC team will be mainly responsible for analyzing the new hydrodynamic simulations and running some of them along with Ceverino, Cox, and Novak; developing improved analytic models based on the simulations; and using the radiative transfer code *Sunrise* to make multiwavelength comparisons between simulations and the AEGIS data in collaboration with Jonsson and Lotz and with Faber and the AEGIS team. Dekel will be involved in all this work, especially during his frequent visits to UCSC, and his HU team will run and analyze hydrodynamic simulations to explore star formation and quenching. Krimholz will help improve the treatment of star formation in our hydrodynamic simulations. Bullock and Wechsler and their groups will help analyze the new cosmological simulations, and Croton and Somerville will use the resulting merger trees for improved SAMs including our analytic models for predicting spheroid properties.

We have plenty of computer power to carry out the proposed research. At UCSC we have Pleiades, a Beowulf-type machine with more than 800 fast processors with 2 Gb ram per processor, which was made possible by a NSF MRI grant for astrophysical computation. As a Co-Investigator on this grant, Primack is entitled to at least 700,000 node-hours per year. Primack has for several years also had large allocations on the powerful NASA Ames supercomputers Columbia and Pleiades. We have also worked closely with Chris Henze, director of the Columbia visualization team. As (an unfunded) PI on the UCSC SCIPP Department of Energy grant, Primack also has access to the powerful NERSC supercomputers at Lawrence Berkeley National Laboratory. We have adequate workstations at UCSC to analyze the outputs from these supercomputer simulations, although we request modest funds for maintanence and connection fees. Co-I Dekel has allocations on major supercomputers in Europe.

Milestones: During the first year, 2010, we plan to finish analysis of the Bolshoi simulation, including construction of halos catalogs, merger trees, and semi-analytic models, and begin detailed comparisons with observations. We will also have run ~ 20 new high resolution cosmological galaxy formation simulations, and analyzed several of them using *Sunrise* to allow detailed multiwavelength comparisons with observations. During 2011 we plan to run new high-resolution dissipationless and hydrodynamical simulations of both small volumes, to study galaxy formation, and of cosmological volumes, to understand environmental effects in detail. We also plan to add supermassive black holes to our galaxy formation simulations, including models of AGN accretion beyond the Bondi approximation, using *Sunrise* to predict their multiwavelength spectra and morphology including the dust attenuation. By 2012 DEEP3 and other surveys with ACS, WF3, and COS on HST and with new satellites such as NuSTAR will have greatly added to the available data on galaxy formation out to high redshift, and we will critically compare our theoretical models with this data treasure trove in order to answer the questions posed at the beginning of this proposal.

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Springel, V., Frenk, C. S. & White, S. D. M., 2006. *The large-scale structure of the Universe.* Nature, 440, 1137.

Springel, V. & Hernquist, L., 2003. *Cosmological smoothed particle hydrodynamics simulations: a hybrid multiphase model for star formation.* MNRAS, 339, 289.

Springel, V. & Hernquist, L., 2005. *Formation of a Spiral Galaxy in a Major Merger.* ApJ, 622, L9.

Springel, V., White, S. D. M., Tormen, G. & Kauffmann, G., 2001. *Populating a cluster of galaxies - I. Results at [formmu2]z=0.* MNRAS, 328, 726.

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Vitvitska, M., Klypin, A. A., Kravtsov, A. V., Wechsler, R. H., Primack, J. R. & Bullock, J. S., 2002. *The Origin of Angular Momentum in Dark Matter Halos.* ApJ, 581, 799.

Wechsler, R. H., Bullock, J. S., Primack, J. R., Kravtsov, A. V. & Dekel, A., 2002. *Concentrations of Dark Halos from Their Assembly Histories.* ApJ, 568, 52.

Weil, M. L. & Hernquist, L., 1996. *Global Properties of Multiple Merger Remnants.* ApJ, 460, 101.

JOEL R. PRIMACK

Address: Physics Department, University of California, Santa Cruz, CA 95064

Phone: (831) 459-2580   Fax: (831) 459-3043   Email: joel@physics.ucsc.edu

EDUCATION

A.B.   Physics, Princeton University, 1966 (Summa cum Laude)

Ph.D.   Physics, Stanford University, 1970

PROFESSIONAL EXPERIENCE

1983–present   Professor of Physics, UC Santa Cruz

1977–1983   Associate Professor of Physics, UC Santa Cruz

1973–1977   Assistant Professor of Physics, UC Santa Cruz

1970–1973   Junior Fellow, Society of Fellows of Harvard University

HONORS AND AWARDS (partial list)

A. P. Sloan Foundation Research Fellowship, 1974-1978

American Physical Society Forum on Physics and Society Award, 1977; Fellow, 1988

American Association for the Advancement of Science, Fellow, 1995

Humboldt Senior Award of the Alexander von Humboldt Foundation, 1999-2004

BOOKS (Partial List)

Joel R. Primack and Frank von Hippel, *Advice and Dissent: Scientists in the Political Arena* (New York: Basic Books, 1974; New American Library, 1976)

S. Bonometto, J. R. Primack, A. Provenzale, eds., *Dark Matter in the Universe* (Amsterdam: IOS Press, 1996)

Joel R. Primack and Nancy Ellen Abrams, *The View from the Center of the Universe: Discovering Our Extraordinary Place in the Cosmos* (New York: Riverhead/Penguin, 2006; London: HarperCollins, 2006; Paris: Laffont, 2008; and other foreign editions)

SELECTED PUBLICATIONS (* most relevant to this proposal)

Diffuse Extragalactic Background Radiation, by Joel R. Primack, Rudy C. Gilmore, and Rachel S. Somerville, *High Energy Gamma-Ray Astronomy: 4th Heidelberg Int'l Symposium*, in press.

Galaxy Merger Morphologies and Time-Scales from Simulations of Equal-Mass Gas-Rich Disk Mergers, J. M. Lotz, P. Jonsson, T. J. Cox, J. R. Primack, MNRAS, in press (2008arXiv0805.1246).

* Predicting the Properties of the Remnants of Dissipative Galaxy Mergers, by M. Covington, A. Dekel, T. J. Cox, P. Jonsson, and J. R. Primack, MNRAS, 384, 94 (2008).

* The Effect of Galaxy Mass Ratio on Merger–Driven Starbursts, by T. J. Cox, P. Jonsson, R. S. Somerville, J. R. Primack, and A. Dekel, MNRAS, 384, 386 (2008).

AEGIS: Host Galaxy Morphologies of X-ray and Infrared-selected AGN at $0.2 < z < 1.2$, by C. Pierce, J. M. Lotz, J. R. Primack, et al., ApJ Letters, 660, L19 (2007).

* Simulations of Dust in Interacting Galaxies I: Dust Attenuation, by Patrik Jonsson, T. J. Cox, Joel R. Primack, Rachel S. Somerville, ApJ, 637, 255 (2006).

The Rest Frame FUV Morphologies of Star-Forming Galaxies at $z \sim 1.5$ and $z \sim 4$, by Jennifer M. Lotz, Piero Madau, Mauro Giavalisco, Joel Primack, and Harry C. Ferguson, ApJ, 636, L592 (2006).

* Feedback in Simulations of Disk Galaxy Major Mergers, T. J. Cox, Patrik Jonsson, Joel R. Primack, and Rachel S. Somerville, MNRAS, 373, 1013 (2006).

Dark-Matter Haloes in Elliptical Galaxies: Lost and Found, by A. Dekel, F. Stoehr, G.A. Mamon, T.J. Cox, G.S. Novak, & J.R. Primack, Nature, 437, 707 (2005).

* A New Non-Parametric Approach to Galaxy Morphological Classification, Jennifer M. Lotz, Joel Primack, and Piero Madau, AJ, 128, 163-182 (2004).

SYNERGISTIC AND SERVICE ACTIVITIES (partial list)

Advice: SAGENAP advisory panel to DOE/NSF 2000-2001; NSF Astronomy Theory Review Panel 2000; DOE Lehman Review of SNAP Proposal 2001; Chair, NASA Cosmology panel on LTSA and ADP 2001; Cosmology Panel, Hubble Space Telescope Time Allocation Committee 2003; Editorial Board, Journal of Cosmology and Astroparticle Physics 2003-06; National Academy Beyond Einstein panel, 2006-07.

American Physical Society activities: Executive Committee, APS Division of Astrophysics 2000-2002; APS Panel on Public Affairs (POPA) 2002-2004; Chair, POPA Task Force on NASA Moon-Mars Program and Funding for Astrophysics 2004; Chair, APS Forum on Physics and Society 2005

Outreach: Smithsonian National Air and Space Museum, Advisory Committee on "Cosmic Voyage" IMAX film, 1994–1996. Co-organizer, "Cosmic Questions" Conference, Smithsonian National Museum of Natural History, Washington, DC, April 14-16, 1999. Author of several recent articles on cosmology in magazines and encyclopedias. Often give popular lectures at universities, planetariums, museums, and conferences.

Popular teaching: UCSC Freshman Seminar on Cosmology 2002-2004. Cotaught UCSC course Cosmology and Culture offered regularly since 1996, which is the basis for a popular book, *The View from the Center of the Universe* (2006) with several foreign editions in preparation including French, Korean, Norwegian, and Portuguese.

RECENT POSTDOCS AND STUDENTS

Recent postdocs: Tsafrir Kolatt (1996-9), Kelvin Wu (2000), Jennifer Lotz (2002-3), Patrik Jonsson (2004-8)

Recent students: Brandon Allgood (PhD 2005), James Bullock (PhD 1999), Matt Covington (PhD 2008), T. J. Cox (2004), Anthony Gonzalez, Michael Gross (PhD 1997), Michael Kuhlen, Patrik Jonsson (PhD 2004), Ari Maller (PhD 1999), Donn MacMinn (BS 1997), Greg Novak (PhD 2008), Christina Pierce, Yair Sigad, Clay Smith, Rachel Somerville (PhD 1997), Risa Wechsler (PhD 2001), and Kurtis Williams. Supervised a total of 10 postdocs, and all or part of the research of 36 graduate students. PhD Advisor: Sidney D. Drell.

Biographical Sketch: Avishai Dekel

Address: Racah Institute of Physics, The Hebrew University, Jerusalem 91904, Israel.
                 Tel: 972-2-6584100,   Fax: 972-2-5611519,   E-mail: dekel@phys.huji.ac.il
Born: 1951, Jerusalem, Israel   Citizenship: Israeli.

Specialization:
Theoretical physics, astrophysics, cosmology, formation and evolution of galaxies and large-scale structure in the universe, dark matter and dark energy.

Education:
1980:   Ph.D., Physics, the Hebrew University of Jerusalem.
1975:   B.Sc., Physics and Mathematics, The Hebrew University of Jerusalem.

Positions:
2007- :   Andre Aisenstadt Chair of Theoretical Physics, The Hebrew University
2005- :   Head, The Authority for the Community and Youth, The Hebrew University
1997-2001:   Head, Racah Institute of Physics, The Hebrew University of Jerusalem
1991- :   Professor, The Hebrew University of Jerusalem
1986-1991:   Associate Professor, The Hebrew University of Jerusalem
1982-1985:   Assistant Professor, Yale University
1980-1982:   Research Fellow, California Institute of Technology

Selected Visiting Positions:
2004-2006:   Blaise Pascal International Chair, Ecole Normale & IAP, Paris
Jan.-April 2004:   Miller Visiting Professor, UC Berkeley
Feb.-Aug. 1997, 04: SCIPP Distinguished Visiting Fellow, UC Santa Cruz
July-Sept. 1985-09: Visiting Research Physicist, UC Santa Cruz

Selected Recent Relevant Publications (citations=9000, H=51):

• Profiles of Dark Haloes: Evolution, Scatter, and Environment; Bullock, J.S., Kolatt, T., Sigad, Y., Somerville, R.S., Kravtsov, A., Klypin, A., Primack, J.R., & Dekel, A. 2001, MNRAS 321, 559

• Lost & Found Dark Matter in Elliptical Galaxies; Dekel, A., Stoer, F., Mamon, G.A., Cox, T.J., Novak, G.S. & Primack, J.R. 2005, Nature, 437, 70

• On the Correlations of Massive Black Holes with their Host Galaxies; Novak, G.S., Faber, S.M. & Dekel, A. 2006, ApJ, 637, 96

• Galaxy Bimodality due to Cold Flows and Shock Heating; Dekel, A. & Birnboim, Y. 2006, MNRAS, 368, 2

• Star Formation in AEGIS Field Galaxies Since $z = 1.1$: Staged Galaxy Formation and a Model of Mass-Dependent Gas Exhaustion; Noeske, K.G., Faber, S.M., Weiner, B.J., Koo, D.C., Primack, J.R., Dekel, A., Papovich, C., et al. 2007, ApJL, 660, L47

• Gravitational Quenching in Massive Galaxies and Clusters by Clumpy Accretion; Dekel, A., Birnboim, Y. 2008, MNRAS, 383, 119

• Predicting the Properties of the Remnants of Dissipative Galaxy Mergers; Covington, M., Dekel, A., Cox, T.J., Jonsson, P., Primack, J.R. 2008, MNRAS, 384, 94

• The Effect of Galaxy Mass Ratio on Merger-Driven Starbursts; Cox, T.J., Jonsson, P., Somerville, R.S., Primack, J.R., Dekel, A. 2008, MNRAS, 384, 386

• Downsizing by Shutdown in Red Galaxies; Cattaneo, A., Dekel, A., Faber, S.M, Guiderdoni, B. 2008, MNRAS, 389, 567

• Cold streams in early massive hot haloes as the main mode of galaxy formation; Dekel, A., Birnboim, Y., Engel, G., Freundlich, J., Goerdt, T., Mumcuoglu, M., Neistein, E., Pichon, C., Teyssier, R., Zinger, E. 2009, Nature, 457, 451

• Formation of Massive Galaxies at High Redshift: Cold Streams, Clumpy Disks and Compact Spheroids; Dekel, A., Sari, R., Ceverino, D. 2009, ApJ, submitted (arXiv:0901.2458)

Anatoly Klypin

Address: Astronomy Department, New Mexico State University, Las Cruces, NM 88001.

E-mail: aklypin@nmsu.edu Ph: 505-646-1400 Fax: 505-646-1602

Born: May 06, 1953. Sevastopol, Russia **citizenship:** USA

Employment:	
Employment: Full Professor, New Mexico State University	(2005 - present)
Associate Professor, New Mexico State University	(1999 - 2005)
Assistant Professor, New Mexico State University	(1994 - 1999)
College Assistant Professor and Tombaugh Fellow at NMSU,	(1993-1994)
Research Associate at the University of Kansas:	(1992)
Visiting Fellow at the Canadian Institute for Theoretical Astrophysics and	
Visiting Associate at the Canadian Institute for Advanced Research:	(1991)
Senior Scientist,	
Lebedev Physical Institute, Moscow:	(1989-1990)
Senior Scientist, Space Research Institute, Moscow:	(1987-1989)
Junior Scientist, Institute of Applied Mathematics, Moscow:	(1980-1987)

Education:

Ph.D in Physics. Institute for Applied Mathematics, Moscow.	(1980)
M.S. in Physics, Moscow State University,	(1976)

Main research interests:

- Testing cosmological scenarios with different dark matter and dark energy models. Comparison of the models with observational data.
- Physics of galaxy formation. Interplay of dark matter, gas, stars, and radiation in the processes leading to formation of galaxies.
- Development of large scalable numerical N-body and gasdynamical codes for cosmological simulations and for dynamics of individual galaxies.

Publications: Total: 240 publications, 8600 citations. Selected publications:

- *The role of stellar feedback in the formation of galaxies*, Ceverino, D., Klypin, A., 2009, ApJ, 695, 292
- *Connecting Galaxy Disk and Extended Halo Gas Kinematics*, Kacprzak, G. G., Churchill, C. W., Steidel, C. C., Ceverino, D., Klypin, A., Murphy, M. T., ASPC, 396, 427
- *Response of Dark Matter Halos to Condensation of Baryons: Cosmological Simulations and Improved Adiabatic Contraction Model*, Gnedin, O. Y., Kravtsov, A. V., Klypin, A. A., & Nagai, D. 2004, ApJ, 616, 16
- *LCDM-based models for the Milky Way and M31 I: Dynamical Models*, Klypin, A., Zhao, H. & Somerville, R.S., ApJ., 2002, 573, 597
- *Where Are the Missing Galactic Satellites?*, Klypin, A., Kravtsov, A. V., Valenzuela, O., & Prada, F. "Where Are the Missing Galactic Satellites?", 1999, Astrophys.J., 522, 82

JOEL R. PRIMACK: CURRENT AND PENDING SUPPORT

JOEL R. PRIMACK: CURRENT AWARDS 2008

AGENCY	TITLE	DATES	AMOUNT	MONTHS
NSF AST-0607712	Structure Formation on Small Scales and the Nature of Dark Matter PI: Kaplinghat; Co-Is: Klypin, Primack	9/1/06- 8/31/09	$43,905	0
NASA ATP NNX07AG94G	Galaxy Interactions and the Formation and Structure of Elliptical Galaxies PI: Primack; Co-I: Dekel; Collaborators: Faber, Jonsson, Lotz, Somerville	2/06/07- 2/05/10	$353,601	1 summer month 2007, 2008, 2009
NASA GLAST NNX08AW37G	Modeling Gamma-Ray Attenuation PI: Primack; Co-I: Madau	10/1/08- 9/30/09	$63,000	0

JOEL R. PRIMACK: PENDING AWARDS 2008

AGENCY	TITLE	DATES	AMOUNT	MONTHS
NSF AST	Formation and Evolution of Galactic Spheroids: Star Formation, Quench- ing, and Comparison with Observations PI: Primack; Co-I: Dekel; Collaborators: Cox, Faber, Jonsson, Lotz, Novak, Somerville, Wechsler	8/1/09- 7/31/12	$401,722	1 summer month 2010, 2011, 2012 Commit 25% acad yr + 1 summer mo

Current and Pending support for Avishai Dekel (May 2009):

Agency: Israel Science Foundation
Title: ``Star Formation and its Shutdown in Galaxies"
PI: Dekel
Duration: October 2008 -- September 2012
Budget: $ 40 k per year
Mostly students and a postdoc in Israel, some computing cost.
No summer salary, no travel.

Agency: German-Israel Science Foundation
Title: ``Galaxy Formation: Interfacing Theoretical Models
with the Observed Universe"
PI's: Dekel, Nusser, Somerville, White (4 big groups)
Duration: January 2007 -- December 2009
Budget: 75,000 ERO per year
Mostly travel to Germany, computing equipment in Israel, students in
Israel.
No summer salary, no travel to the US.

Agency: German-Israel Science Foundation
Title: ``Dynamics and Cosmological Evolution of Galaxies and Massive Black
          Holes"
PI: Sternberg (TAU). Co-I: Dekel (HU), Alexander (WIS), Netzer (TAU), Maoz
(TAU), Zucker (TAU)
Duration: January 2009 -- December 2013
Budget: 200,000 ERO per year
Mostly travel to Germany, computing equipment in Israel, students and
postdocs in Israel.
No summer salary, no travel to the US.

Will devote 25% of my time to the proposed NASA research during the
academic year, and full time during two months in the summer.

Current and Pending support for Anatoly Klypin (May 2009):

NSF, AST cosmology, Nigel Sharp, 703-292-4905, PI -A.Klypin
 "Collabortive research: Baryons and dark matter in galaxies" $201,460
1 month of summer salary/year

NSF, AST, Linda Sparke, "Probing the Galaxy-Halo/Cosmic Web Interface and
Galaxy Evolution", PI - Ch.Churchill, $285,675, 2007-2010, Co-I A. Klypin
no summer salary

Evolution of Structure and of the Cosmic Population of Galactic Spheroids

PI: Joel Primack, Co-Is: Avishai Dekel and Anatoly Klypin
Co-Is: James Bullock, T. J. Cox, Sandra Faber, Patrik Jonsson, Mark Krumholz, Jennifer Lotz, Rachel Somerville, and Risa Wechsler

Budget Justification: Narrative

The PI and Co-Is each request 1 month summer salary/year. Dekel and Klypin have long collaborated with Primack, and they each plan to visit UCSC for at least one month per year. PI Primack commits two summer months per year to this project plus at least 25% time during the academic year. Co-I Dekel commits two summer months per year to this project plus 25% time during the academic year. Co-I Klypin commits at least one summer month to this project per year.

Support is requested for three UCSC graduate students, who are supervised by PI Primack but will also work with Co-Is Dekel and Klypin and with the Collaborators. This continues our longstanding arrangements, as Dekel and Klypin have co-supervised or collaborated with many of Primack's students for many years. The three students (at least initially) are Priya Kollipara, Chris Moody, and Lauren Porter, all of whom are now working with Primack on computer-intensive astrophysics research. Kollipara has a graduate fellowship that pays her tuition and stipend during the academic year, so only summer support is requested for her. Moody and Porter have graduate fellowships that will cover their academic year tuition and stipends for 2009-10 and 2010-11, so only summer support is requested for grant year 2010. Two academic quarters (6 monhs) of support plus summer support are requested for Moody and Porter during academic years 2010-2011 and 2011-2012.

Funds are requested to permit each graduate student researcher and occasionally also the PI and Co-Is to attend national and international conferences and meetings. In addition, Primack has hosted summer research workshops at UCSC each summer for many years, and all of the Co-Is and Collaborators attend, along with many of their graduate students and postdocs. Most of their expenses are covered by their own grants, but modest additional support is requested to cover local expenses for visiting researchers essential to the proposed research, including Dr. Daniel Ceverino (Klypin's former student and Dekel's current postdoc).

Primack has adequate computer support at UCSC, so the only additional direct support requested per year is $1000 for pages charges and $1000 for computer maintenance and software.

Primack intends to request funds separately in order to support Public Outreach efforts connected with this research.

University of California Santa Cruz
Office of Sponsored Projects
Detailed Agency Budget

Title Evolution of Structure and of the Cosmic Population of Galactic Spheroids	**Budget Prepared Date** 5/26/2009	
	Preparer Kris Kerkela	
	SC# 20091230	

PI Name Primack, Joel   **Agency** NASA/Misc Centers

Start Date 1/1/2010   **End Date** 12/31/2012

Salaries:

Title/Name	SalaryType/Level		Year 1	Year 2	Year 3	Total
Principal Investigator	PROFFULL	NA	17,602	18,130	18,674	54,406
Joel Primack	Months/Time%		1.0 100%	1.0 100%	1.0 100%	
Co- Investigator	VSTGACAD	NA	13,390	13,792	14,205	41,387
Avishai Dekel	Months/Time%		1.0 100%	1.0 100%	1.0 100%	
Co-Investigator	VSTGACAD	NA	10,000	10,300	10,609	30,909
Anatoly Klypin	Months/Time%		1.0 100%	1.0 100%	1.0 100%	
Grad Student (2)- Acad - Fall	GSR-Res	IV	10,778	0	0	10,778
Moody & Porter	Months/Time%		3.0 50%			
Grad Student (2)- Smr	GSR-Res	IV	21,556	0	0	21,556
Moody & Porter	Months/Time%		3.0 100%			
Grad Student - Smr	GSR-Res	IV	10,778	0	0	10,778
Kollipara	Months/Time%		3.0 100%			
Grad Students 2- Acad -	GSR-Res	IV	0	22,203	22,869	45,072
To be selected	Months/Time%			6.0 50%	6.0 50%	
Grad Students 2- Smr - Phys	GSR-Res	IV	0	22,203	22,869	45,072
To be selected	Months/Time%			3.0 100%	3.0 100%	
Grad Student Smr - Astro	GSR-Res	V	0	11,840	12,195	24,035
To be selected	Months/Time%			3.0 100%	3.0 100%	

		Salaries:	84,104	98,468	101,421	283,993

Fringe:

Title/Name	SalaryType/Fringe Rate					
Principal Investigator	PROFFULL	NA	2,376	2,448	2,521	7,345
Joel Primack	13.5%					
Co- Investigator	VSTGACAD	NA	1,875	1,931	1,989	5,795
Avishai Dekel	14.0%					
Co-Investigator	VSTGACAD	NA	1,700	1,751	1,804	5,255
Anatoly Klypin	17.0%					
Grad Student (2)- Acad - Fall	GSR-Res	IV	269	0	0	269
Moody & Porter	2.5%					
Grad Student (2)- Smr	GSR-Res	IV	647	0	0	647
Moody & Porter	3.0%					
Grad Student - Smr	GSR-Res	IV	323	0	0	323
Kollipara	3.0%					

University of California Santa Cruz
Office of Sponsored Projects
Detailed Agency Budget

Grad Students 2- Acad - Phys	GSR-Res	IV	0	555	572		1,127
To be selected	2.5%						
Grad Students 2- Smr - Phys	GSR-Res	IV	0	666	686		1,352
To be selected	3.0%						
Grad Student Smr - Astro	GSR-Res	V	0	355	366		721
To be selected	3.0%						
	Fringe:		7,190	7,706	7,938		22,834
	Salaries & Fringe:		91,294	106,174	109,359		306,827

Domestic:

Name	Destination				
Researchers	National Conf & Mtgs.	3,000	3,000	3,000	9,000
Co-I/Collaborators	Local lodging & travel	6,000	6,000	6,000	18,000
	Domestic:	9,000	9,000	9,000	27,000

Foreign:

Name	Destination				
Researchers	International Mtgs/Conf	4,000	4,000	4,000	12,000
	Foreign:	4,000	4,000	4,000	12,000
	Total Travel:	13,000	13,000	13,000	39,000

Other Direct Costs:

Type	Description				
Publication Costs/Page	page charges	1,000	1,000	1,000	3,000
Other Maintenance	equipmt maint & e-shop charges	1,000	1,000	1,000	3,000
	Other Direct Costs:	2,000	2,000	2,000	6,000

Fees: Non-resident Tuition:

Graduate Student Health Insurance Program:	1,734	3,815	4,196	9,745
Graduate Student Registration Fees:	6,108	13,438	14,781	34,327
Graduate Fee Override:				
Total Graduate Fees:	7,842	17,253	18,977	44,072
Total Other Direct Costs:	9,842	19,253	20,977	50,072

Totals:

Total Direct Costs:	114,136	138,427	143,336	395,899
Indirect Cost Base:	106,294	121,174	124,359	351,827

9,842	19,253	20,977	Printed on 5/29/2009		50,072

University of California Santa Cruz
Office of Sponsored Projects
Detailed Agency Budget

114,136	138,427	143,336			395,899
106,294	121,174	124,359			351,827

Indirect Cost Base Override:

IC Rate:	51.5%	51.5%	51.5%	51.5%	51.5%	
Total Indirect Costs:	54,741	62,405	64,045			181,191

TOTAL BUDGET: 168,877 200,832 207,381 577,090

JOEL R. PRIMACK

Address: Physics Department, University of California, Santa Cruz, CA 95064
Phone: (831) 459-2580   Fax: (831) 459-3043   Email: joel@physics.ucsc.edu

EDUCATION

A.B.   Physics, Princeton University, 1966 (Summa cum Laude)

Ph.D.   Physics, Stanford University, 1970

PROFESSIONAL EXPERIENCE

1983–present   Professor of Physics, UC Santa Cruz

1977–1983   Associate Professor of Physics, UC Santa Cruz

1973–1977   Assistant Professor of Physics, UC Santa Cruz

1970–1973   Junior Fellow, Society of Fellows of Harvard University

HONORS AND AWARDS (partial list)

A. P. Sloan Foundation Research Fellowship, 1974-1978

American Physical Society Forum on Physics and Society Award, 1977; Fellow, 1988

American Association for the Advancement of Science, Fellow, 1995

Humboldt Senior Award of the Alexander von Humboldt Foundation, 1999-2004

BOOKS (Partial List)

Joel R. Primack and Frank von Hippel, *Advice and Dissent: Scientists in the Political Arena* (New York: Basic Books, 1974; New American Library, 1976)

S. Bonometto, J. R. Primack, A. Provenzale, eds., *Dark Matter in the Universe* (Amsterdam: IOS Press, 1996)

Joel R. Primack and Nancy Ellen Abrams, *The View from the Center of the Universe: Discovering Our Extraordinary Place in the Cosmos* (New York: Riverhead/Penguin, 2006; London: HarperCollins, 2006; Paris: Laffont, 2008; and other foreign editions)

SELECTED PUBLICATIONS (* most relevant to this proposal)

Diffuse Extragalactic Background Radiation, by Joel R. Primack, Rudy C. Gilmore, and Rachel S. Somerville, *High Energy Gamma-Ray Astronomy: 4th Heidelberg Int'l Symposium*, in press.

Galaxy Merger Morphologies and Time-Scales from Simulations of Equal-Mass Gas-Rich Disk Mergers, J. M. Lotz, P. Jonsson, T. J. Cox, J. R. Primack, MNRAS, in press (2008arXiv0805.1246).

* Predicting the Properties of the Remnants of Dissipative Galaxy Mergers, by M. Covington, A. Dekel, T. J. Cox, P. Jonsson, and J. R. Primack, MNRAS, 384, 94 (2008).

* The Effect of Galaxy Mass Ratio on Merger–Driven Starbursts, by T. J. Cox, P. Jonsson, R. S. Somerville, J. R. Primack, and A. Dekel, MNRAS, 384, 386 (2008).

AEGIS: Host Galaxy Morphologies of X-ray and Infrared-selected AGN at $0.2 < z < 1.2$, by C. Pierce, J. M. Lotz, J. R. Primack, et al., ApJ Letters, 660, L19 (2007).

* Simulations of Dust in Interacting Galaxies I: Dust Attenuation, by Patrik Jonsson, T. J. Cox, Joel R. Primack, Rachel S. Somerville, ApJ, 637, 255 (2006).

The Rest Frame FUV Morphologies of Star-Forming Galaxies at $z \sim 1.5$ and $z \sim 4$, by Jennifer M. Lotz, Piero Madau, Mauro Giavalisco, Joel Primack, and Harry C. Ferguson, ApJ, 636, L592 (2006).

* Feedback in Simulations of Disk Galaxy Major Mergers, T. J. Cox, Patrik Jonsson, Joel R. Primack, and Rachel S. Somerville, MNRAS, 373, 1013 (2006).

Dark-Matter Haloes in Elliptical Galaxies: Lost and Found, by A. Dekel, F. Stoehr, G.A. Mamon, T.J. Cox, G.S. Novak, & J.R. Primack, Nature, 437, 707 (2005).

* A New Non-Parametric Approach to Galaxy Morphological Classification, Jennifer M. Lotz, Joel Primack, and Piero Madau, AJ, 128, 163-182 (2004).

SYNERGISTIC AND SERVICE ACTIVITIES (partial list)

Advice: SAGENAP advisory panel to DOE/NSF 2000-2001; NSF Astronomy Theory Review Panel 2000; DOE Lehman Review of SNAP Proposal 2001; Chair, NASA Cosmology panel on LTSA and ADP 2001; Cosmology Panel, Hubble Space Telescope Time Allocation Committee 2003; Editorial Board, Journal of Cosmology and Astroparticle Physics 2003-06; National Academy Beyond Einstein panel, 2006-07.

American Physical Society activities: Executive Committee, APS Division of Astrophysics 2000-2002; APS Panel on Public Affairs (POPA) 2002-2004; Chair, POPA Task Force on NASA Moon-Mars Program and Funding for Astrophysics 2004; Chair, APS Forum on Physics and Society 2005

Outreach: Smithsonian National Air and Space Museum, Advisory Committee on "Cosmic Voyage" IMAX film, 1994–1996. Co-organizer, "Cosmic Questions" Conference, Smithsonian National Museum of Natural History, Washington, DC, April 14-16, 1999. Author of several recent articles on cosmology in magazines and encyclopedias. Often give popular lectures at universities, planetariums, museums, and conferences.

Popular teaching: UCSC Freshman Seminar on Cosmology 2002-2004. Cotaught UCSC course Cosmology and Culture offered regularly since 1996, which is the basis for a popular book, *The View from the Center of the Universe* (2006) with several foreign editions in preparation including French, Korean, Norwegian, and Portuguese.

RECENT POSTDOCS AND STUDENTS

Recent postdocs: Tsafrir Kolatt (1996-9), Kelvin Wu (2000), Jennifer Lotz (2002-3), Patrik Jonsson (2004-8)

Recent students: Brandon Allgood (PhD 2005), James Bullock (PhD 1999), Matt Covington (PhD 2008), T. J. Cox (2004), Anthony Gonzalez, Michael Gross (PhD 1997), Michael Kuhlen, Patrik Jonsson (PhD 2004), Ari Maller (PhD 1999), Donn MacMinn (BS 1997), Greg Novak (PhD 2008), Christina Pierce, Yair Sigad, Clay Smith, Rachel Somerville (PhD 1997), Risa Wechsler (PhD 2001), and Kurtis Williams. Supervised a total of 10 postdocs, and all or part of the research of 36 graduate students. PhD Advisor: Sidney D. Drell.

JOEL R. PRIMACK: CURRENT AND PENDING SUPPORT

JOEL R. PRIMACK: CURRENT AWARDS 2008

AGENCY	TITLE	DATES	AMOUNT	MONTHS
NSF AST-0607712	Structure Formation on Small Scales and the Nature of Dark Matter PI: Kaplinghat; Co-Is: Klypin, Primack	9/1/06- 8/31/09	$43,905	0
NASA ATP NNX07AG94G	Galaxy Interactions and the Formation and Structure of Elliptical Galaxies PI: Primack; Co-I: Dekel; Collaborators: Faber, Jonsson, Lotz, Somerville	2/06/07- 2/05/10	$353,601	1 summer month 2007, 2008, 2009
NASA GLAST NNX08AW37G	Modeling Gamma-Ray Attenuation PI: Primack; Co-I: Madau	10/1/08- 9/30/09	$63,000	0

JOEL R. PRIMACK: PENDING AWARDS 2008

AGENCY	TITLE	DATES	AMOUNT	MONTHS
NSF AST	Formation and Evolution of Galactic Spheroids: Star Formation, Quench- ing, and Comparison with Observations PI: Primack; Co-I: Dekel; Collaborators: Cox, Faber, Jonsson, Lotz, Novak, Somerville, Wechsler	8/1/09- 7/31/12	$401,722	1 summer month 2010, 2011, 2012 Commit 25% acad yr + 1 summer mo