

UC SANTA CRUZ

UC SANTA CRUZ EMERITI ASSOCIATION PRESENTS  
**THE 2020 FALL EMERITI FACULTY LECTURE**

10 November 2020

# State of the Universe Report

**Joel Primack**

Distinguished Professor of Physics Emeritus, University of California Santa Cruz

A deep field image of the universe, showing a vast field of galaxies and stars. The background is a dense field of galaxies, including spiral, elliptical, and irregular shapes, scattered across a dark cosmic space. The galaxies are illuminated by various colors, including yellow, orange, red, and blue, suggesting different stages of evolution or composition. The overall scene is a rich and diverse representation of the universe's structure.

# State of the Universe Report

**COSMOS**  
**GALAXIES**  
**PLANETS**

The background of the image is a vast field of galaxies, likely from the COSMOS survey. It features a wide variety of galaxy types, including spiral, elliptical, and irregular galaxies, in numerous colors such as yellow, orange, red, blue, and purple. The galaxies are scattered across the dark space, creating a rich and detailed cosmic scene.

# State of the Universe Report

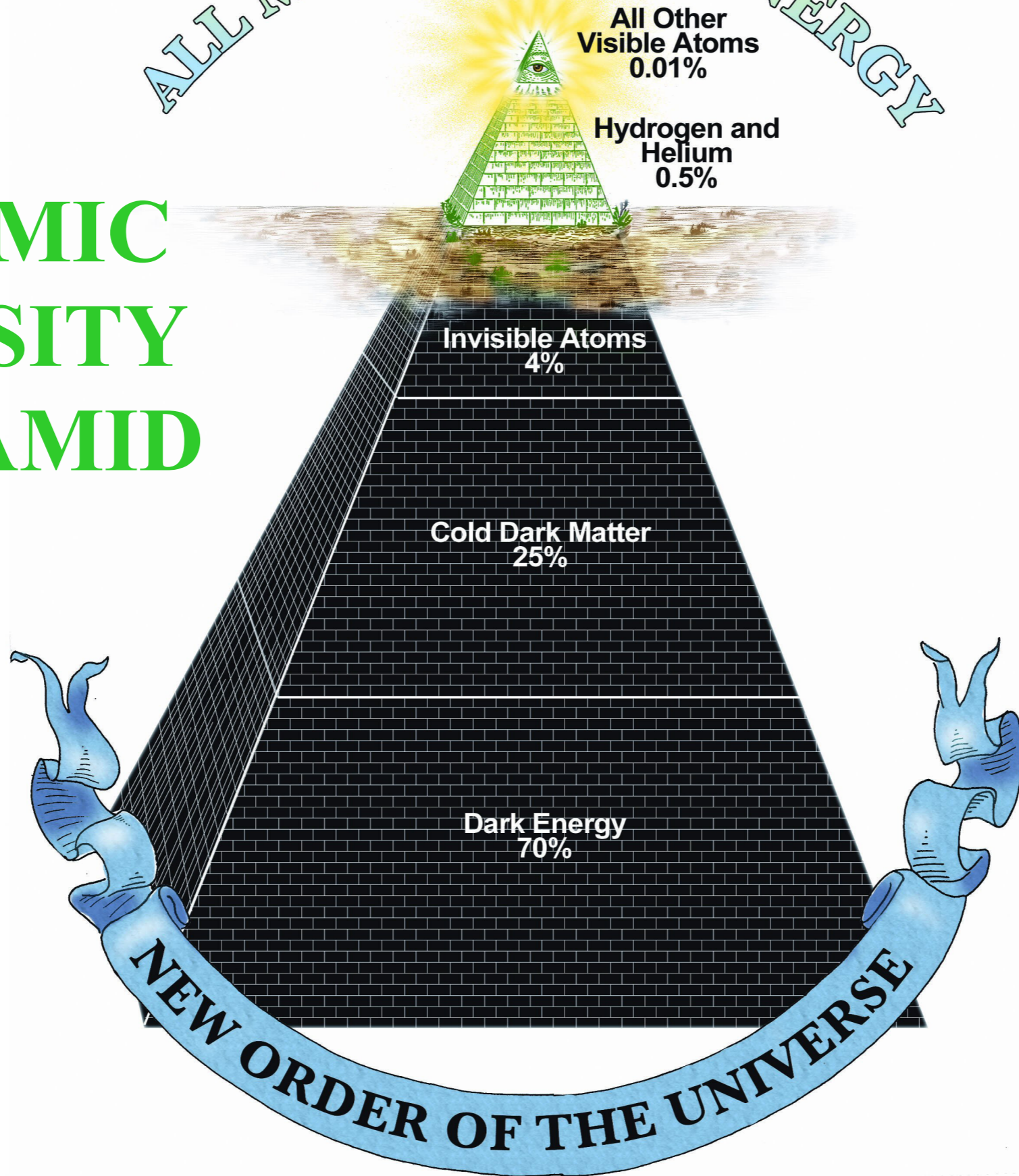
# COSMOS

**This picture is beautiful but misleading, since it only shows about 0.5% of the cosmic density.**

**The other 99.5% of the universe is invisible.**

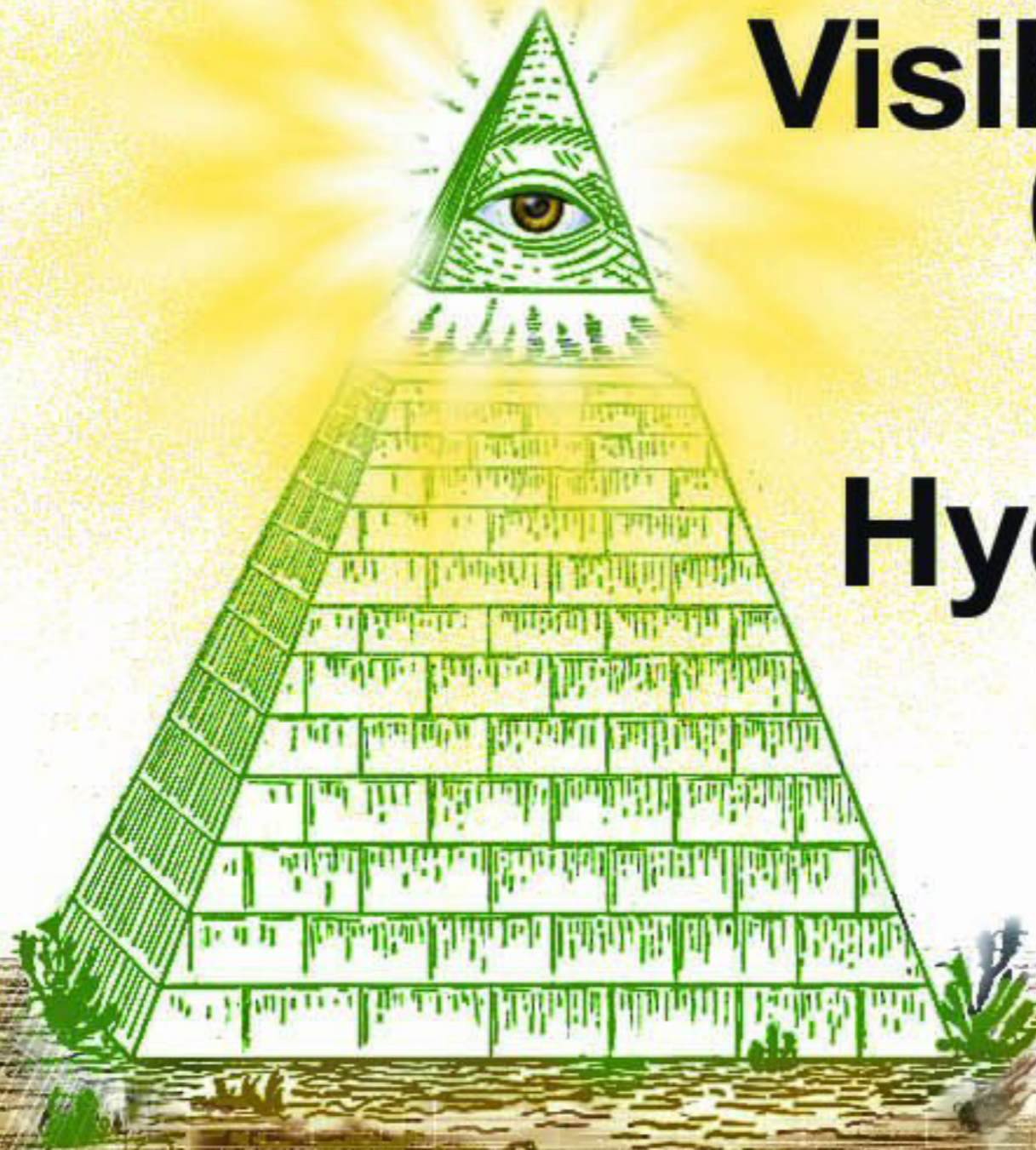
ALL MATTER AND ENERGY

# COSMIC DENSITY PYRAMID



**All Other  
Visible Atoms  
0.01%**

**Hydrogen and  
Helium  
0.5%**



# Periodic Table of the Elements

1 H																	2 He
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe
55 Cs	56 Ba	72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn	
87 Fr	88 Ra																
		57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu	
		89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu										

short-lived radioactive isotopes; nothing left from stars

Big Bang fusion



cosmic ray fission



merging neutron stars?



exploding massive stars



dying low-mass stars



exploding white dwarfs



VISIBLE MATTER

ALL OTHER  
VISIBLE ATOMS

stardust

HYDROGEN  
AND HELIUM

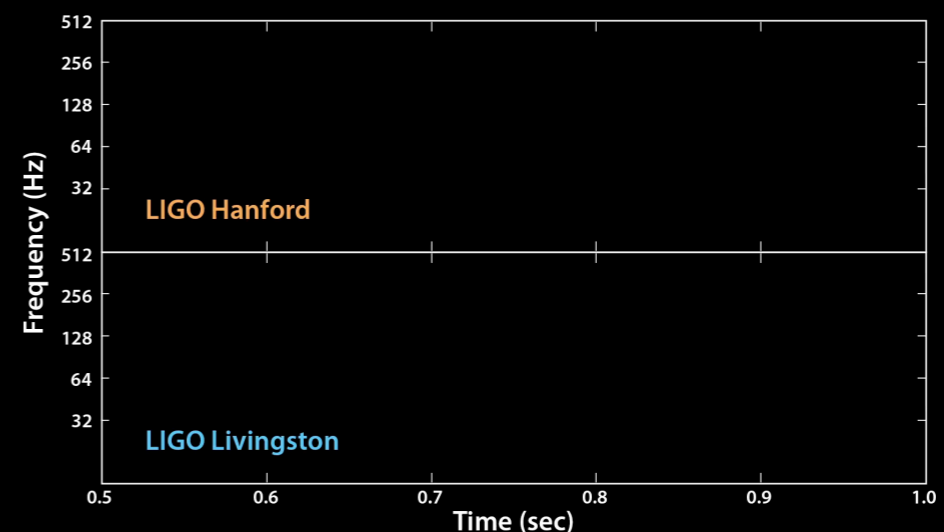
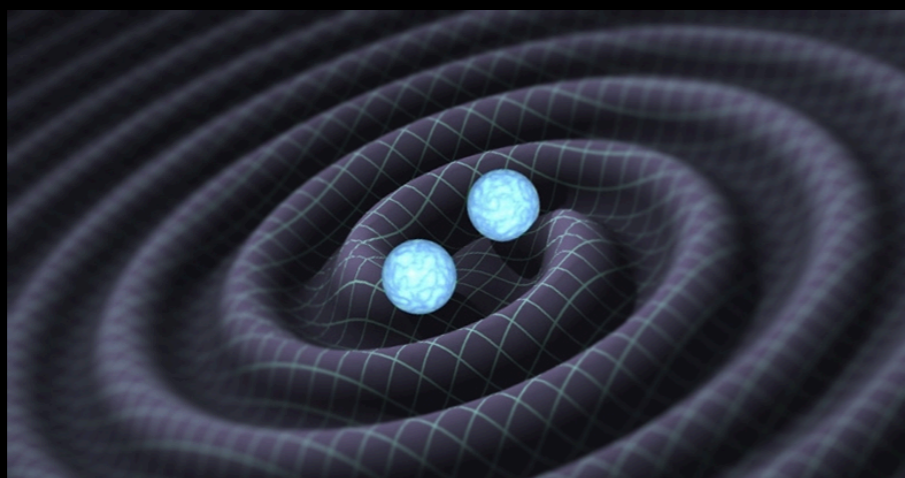
stars

NOVUS ORDO SECLORUM



Many stars in the very early universe may have been much more massive than our sun, in binary star systems with other massive stars. When these stars ended their lives as supernovas, they became massive black holes. The Laser Interferometer Gravitational-wave Observatory (LIGO) has now detected  $> 50$  mergers of massive black holes. This confirmed predictions of Einstein's general relativity that had never been tested before.

In August 2017 LIGO and VIRGO announced the discovery of gravity waves from merging neutron stars. Data from telescopes shows that such events probably generate most of the heavy elements like europium, gold, thorium, and uranium.



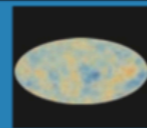


# Periodic Table of the Elements

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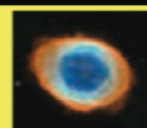
merging neutron stars?



exploding massive stars



dying low-mass stars



exploding white dwarfs



All Other Atoms 0.01%  
H and He 0.5%

} Visible Matter 0.5%

Invisible Atoms 4%

Cold Dark Matter 25%

Dark Energy 70%

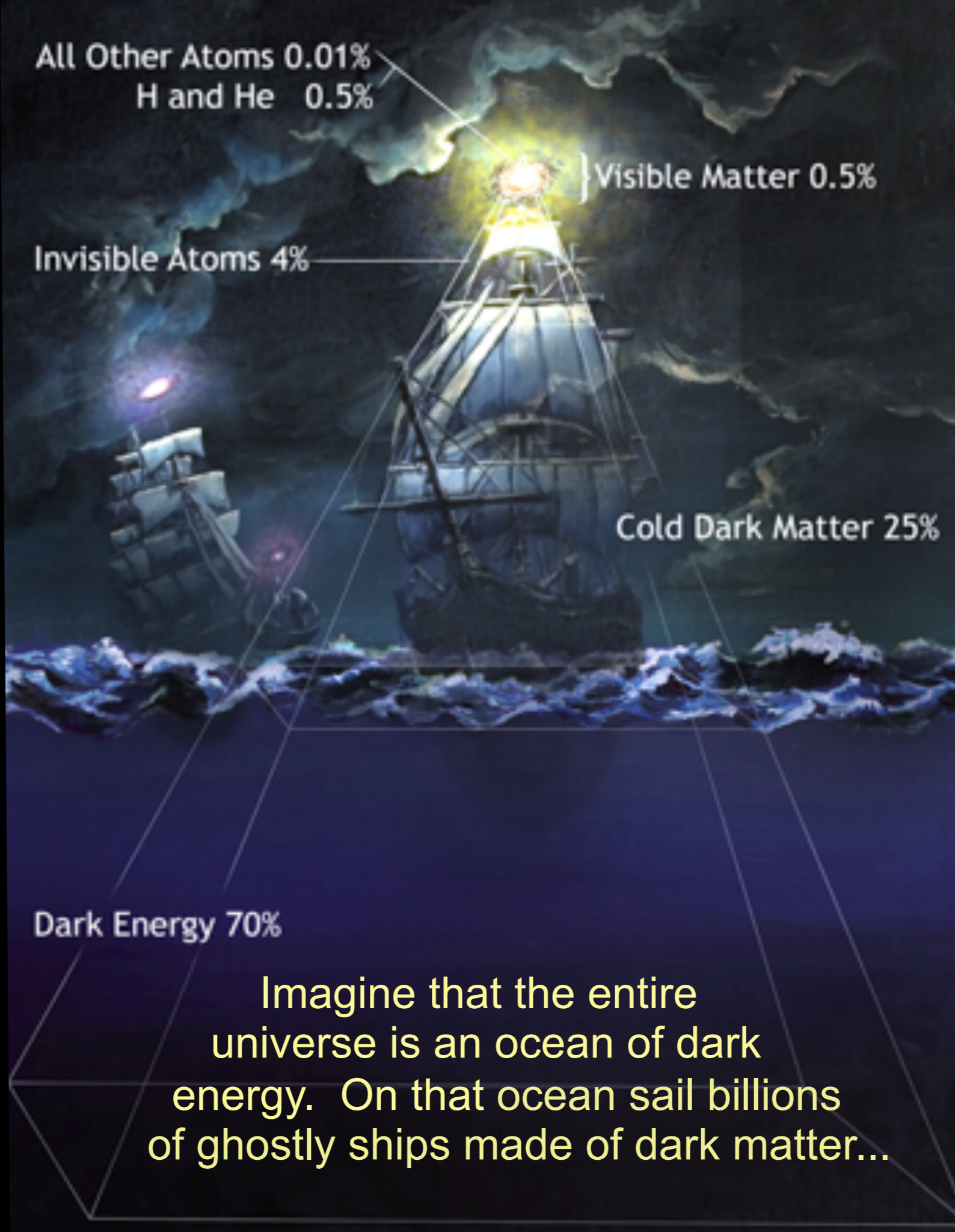
Imagine that the entire universe is an ocean of dark energy. On that ocean sail billions of ghostly ships made of dark matter...

Matter and Energy Content of the Universe

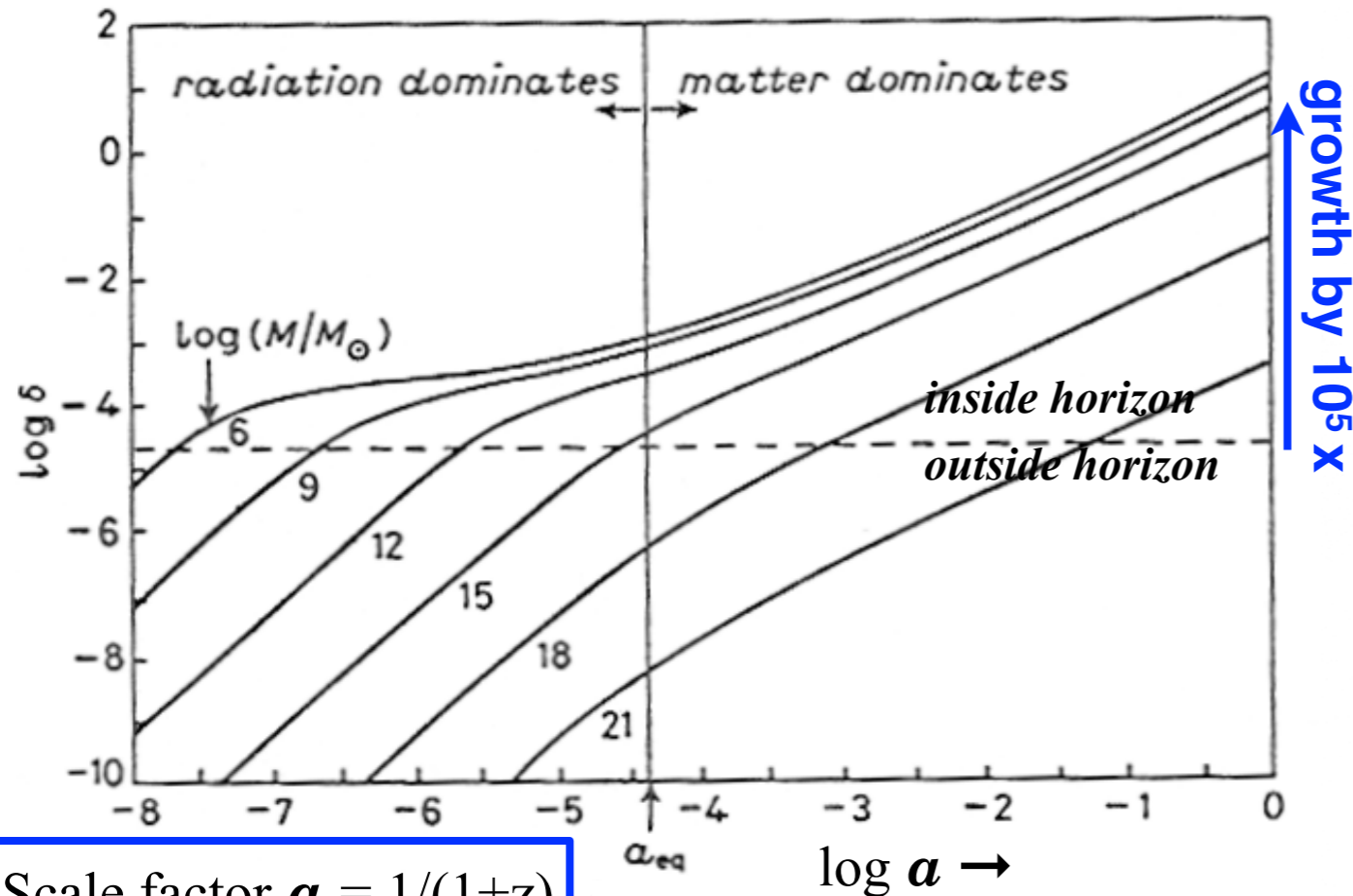
$\Lambda$ CDM

Double Dark Theory

Dark Matter Ships on a Dark Energy Ocean



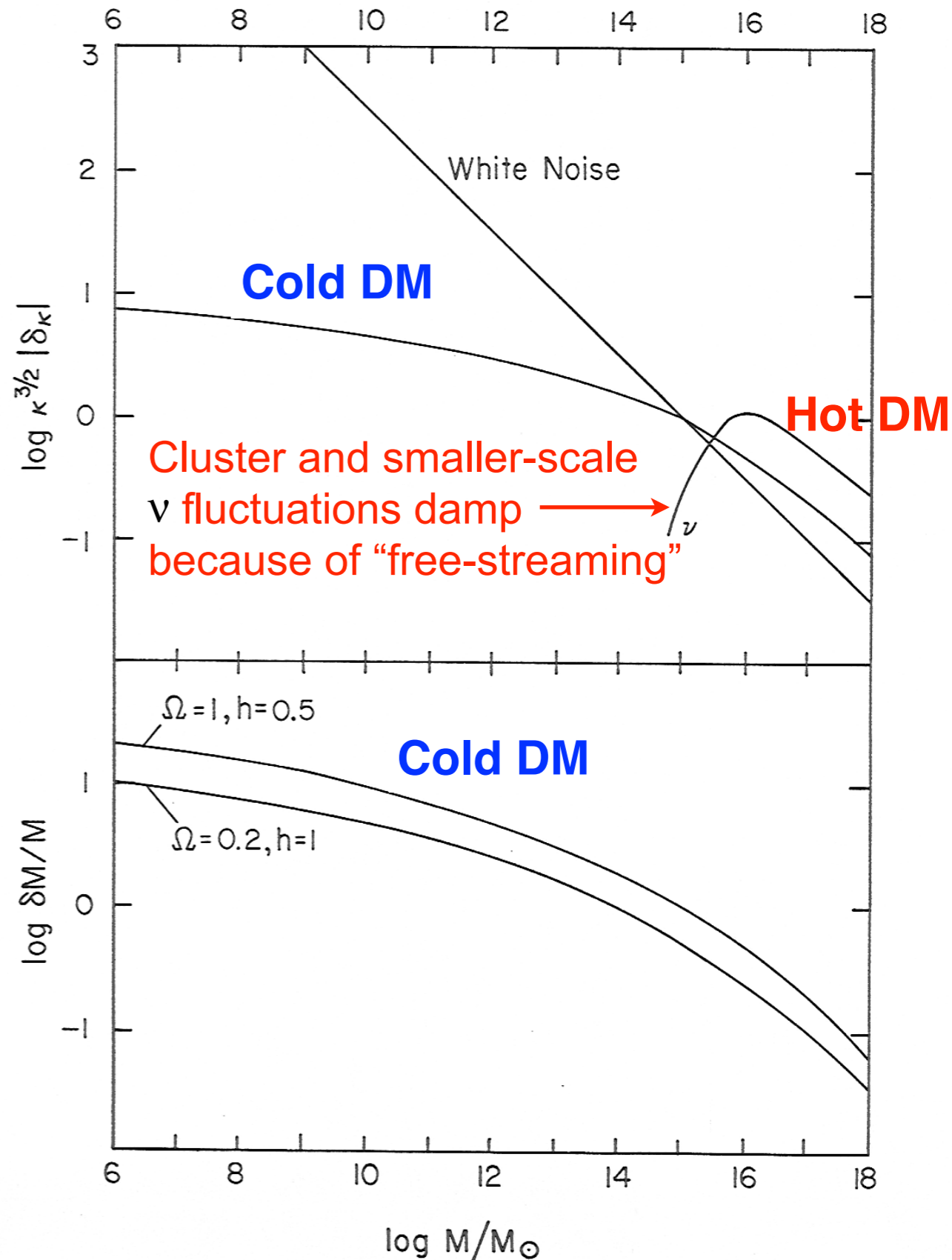
# CDM Structure Formation: Linear Theory



Scale factor  $\alpha = 1/(1+z)$

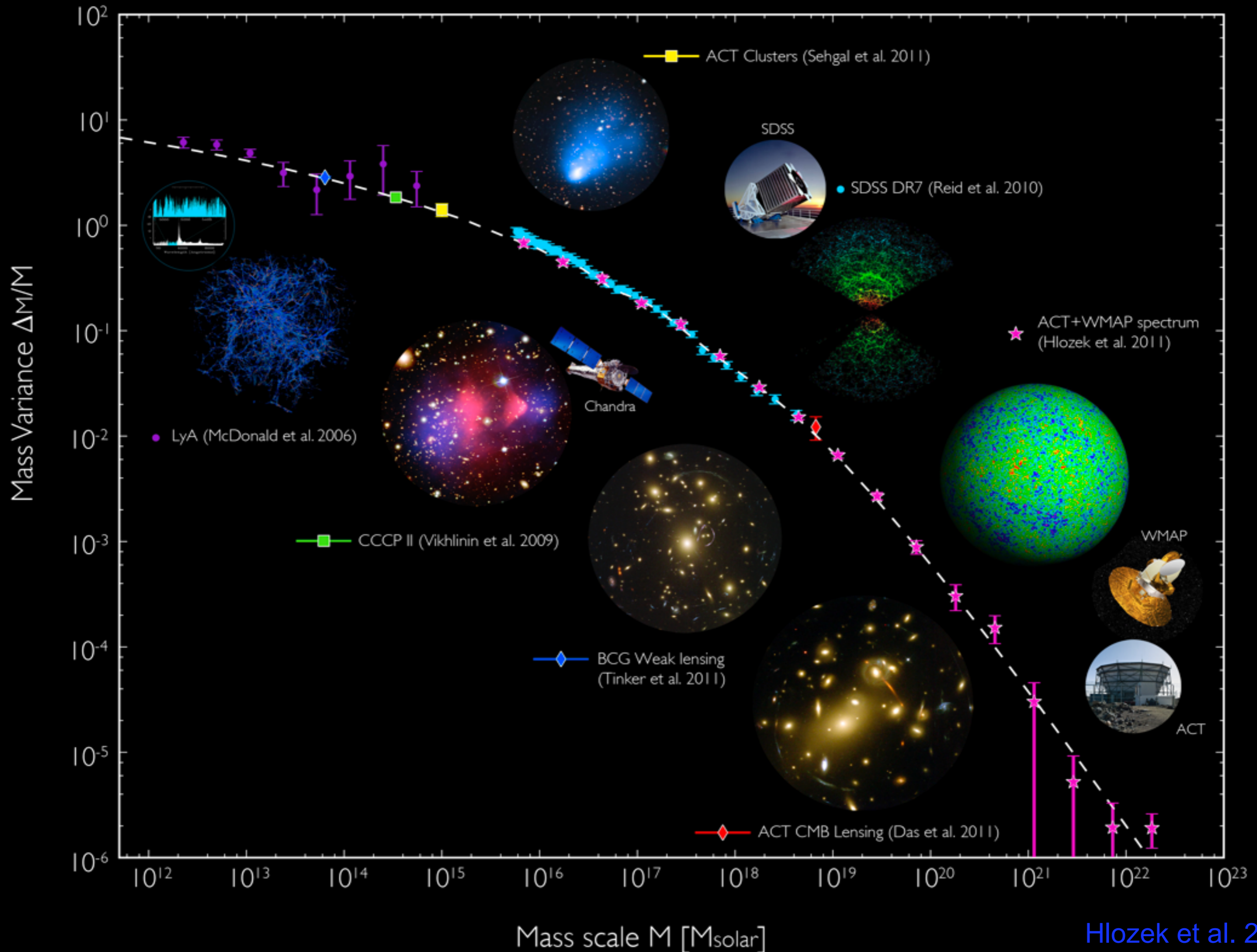
CDM fluctuations that enter the horizon during the radiation dominated era, with masses less than about  $10^{15} M_{\odot}$ , grow only  $\propto \log \alpha$ , because they are not in the gravitationally dominant component. But matter fluctuations that enter the horizon in the matter-dominated era grow  $\propto \alpha$ . This explains the characteristic shape of the CDM fluctuation spectrum, with  $\delta(k) \propto k^{-n/2-2} \log k$

Primack & Blumenthal 1983,  
Primack Varenna Lectures 1984



Blumenthal, Faber, Primack, & Rees 1984

# Matter Distribution Agrees with Double Dark Theory!

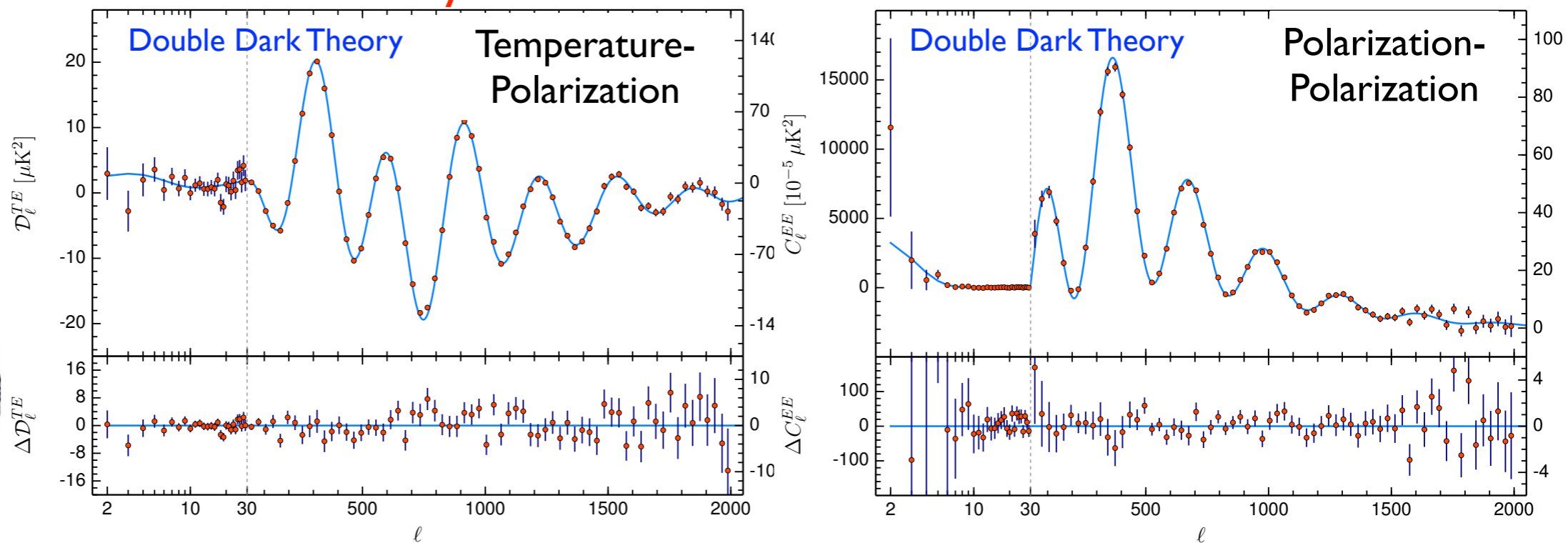
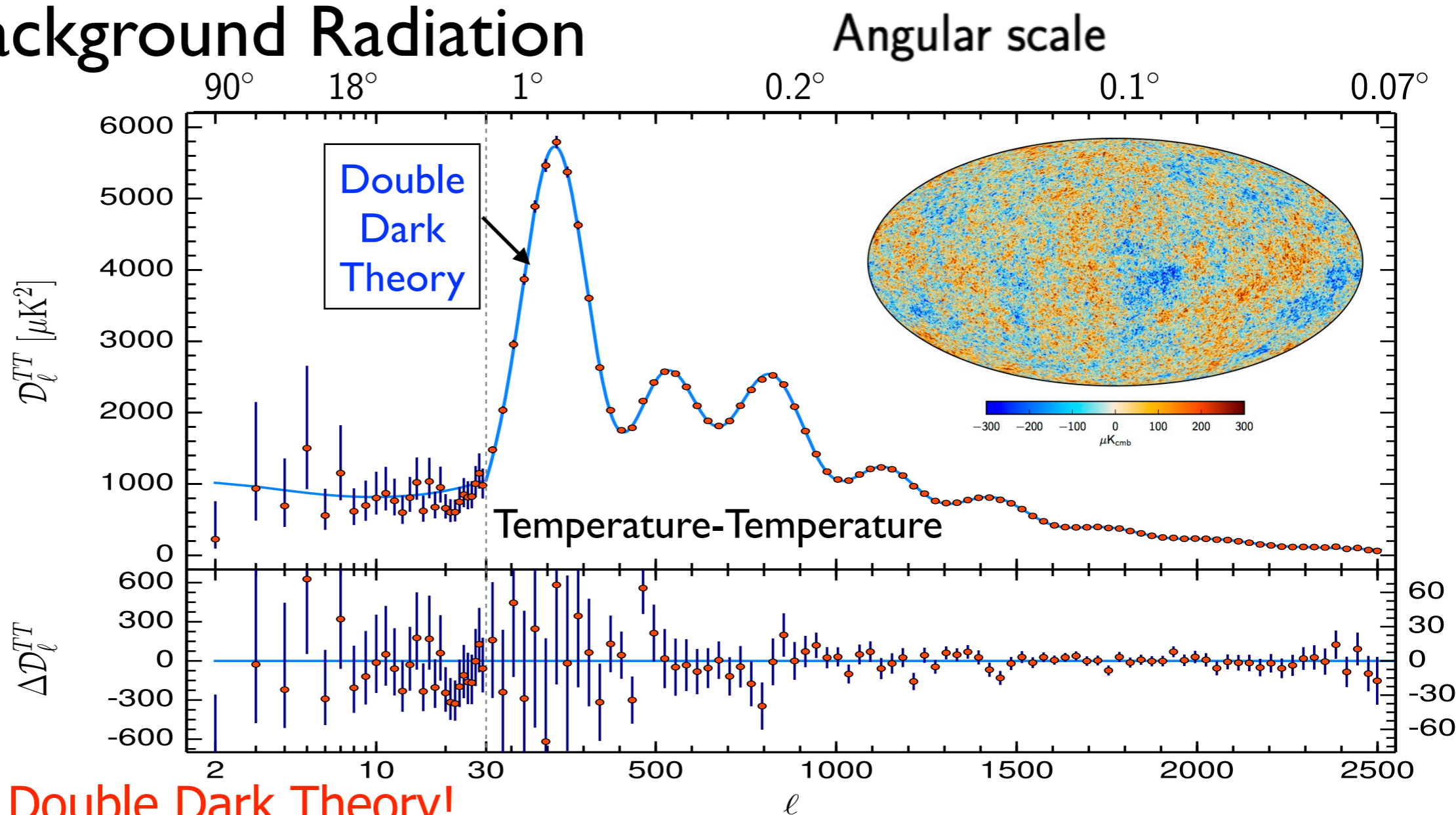
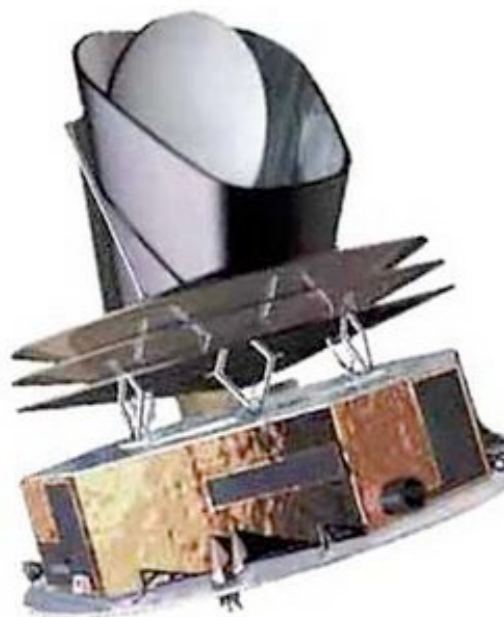


# Cosmic Background Radiation

European  
Space  
Agency  
PLANCK  
Satellite  
Data

Released  
September 24,  
2019

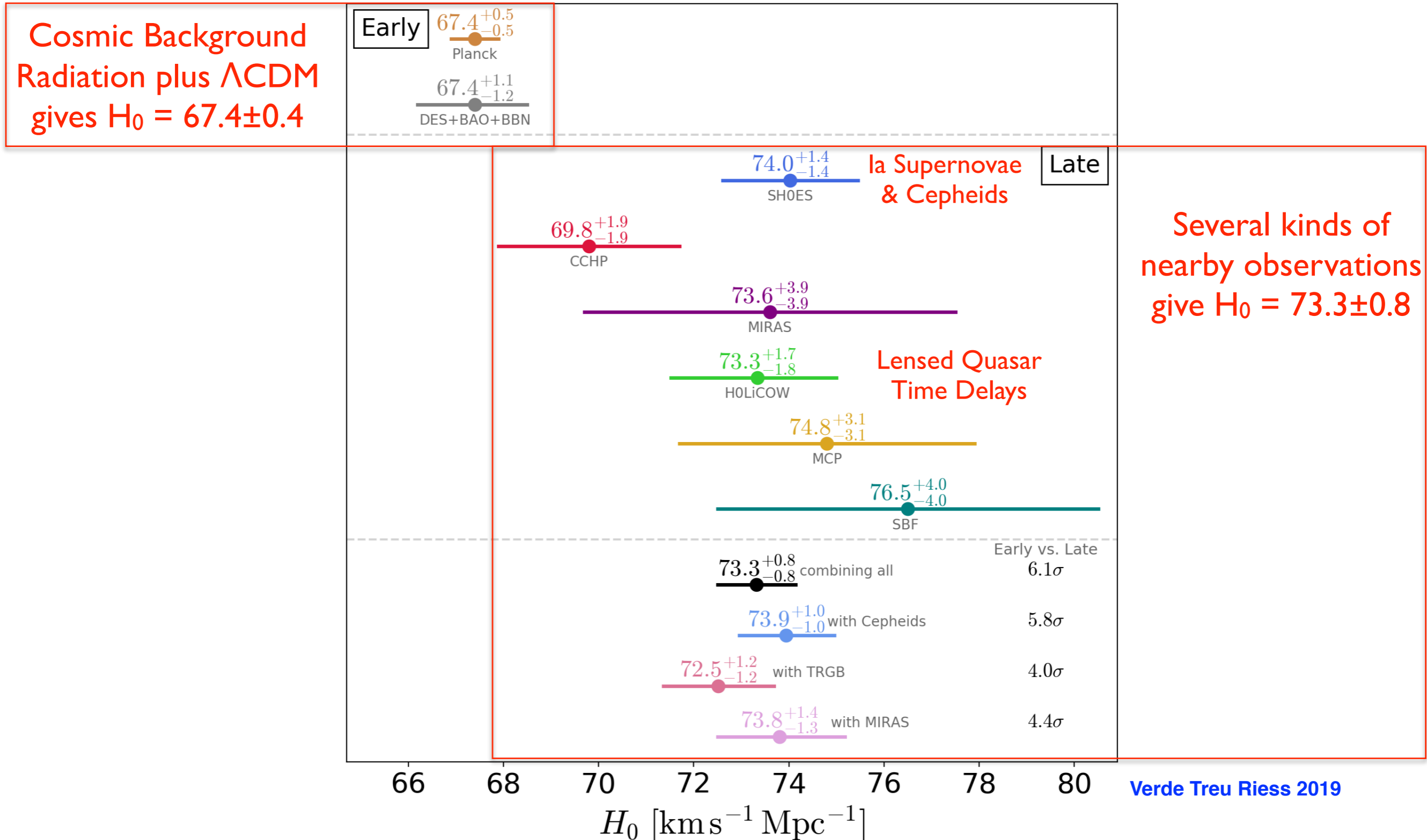
Agrees with Double Dark Theory!



# A possibly serious difficulty for $\Lambda$ CDM is the **Hubble parameter tension**:

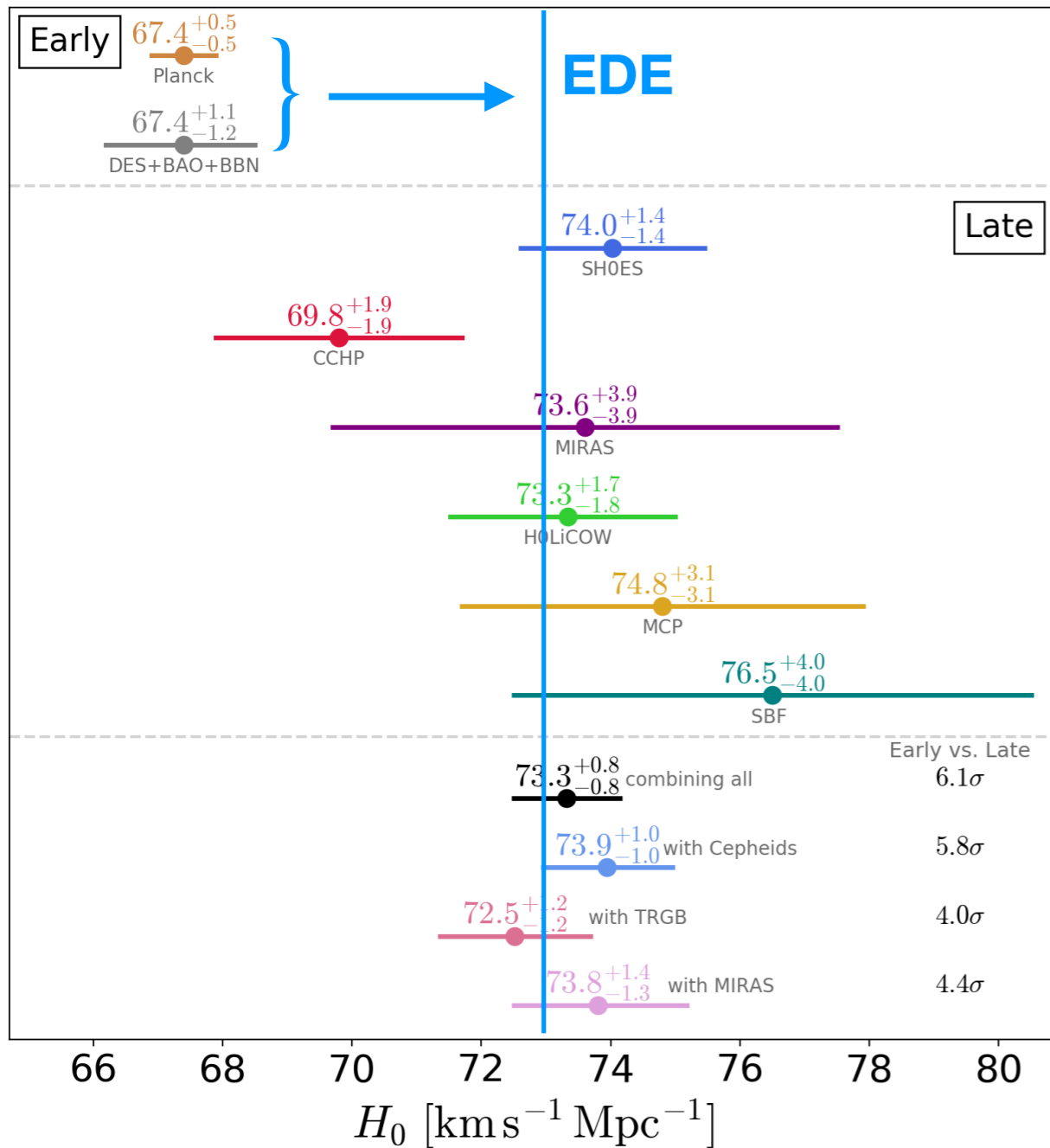
The Hubble parameter  $H_0$  is the expansion rate of the universe today

flat –  $\Lambda$ CDM

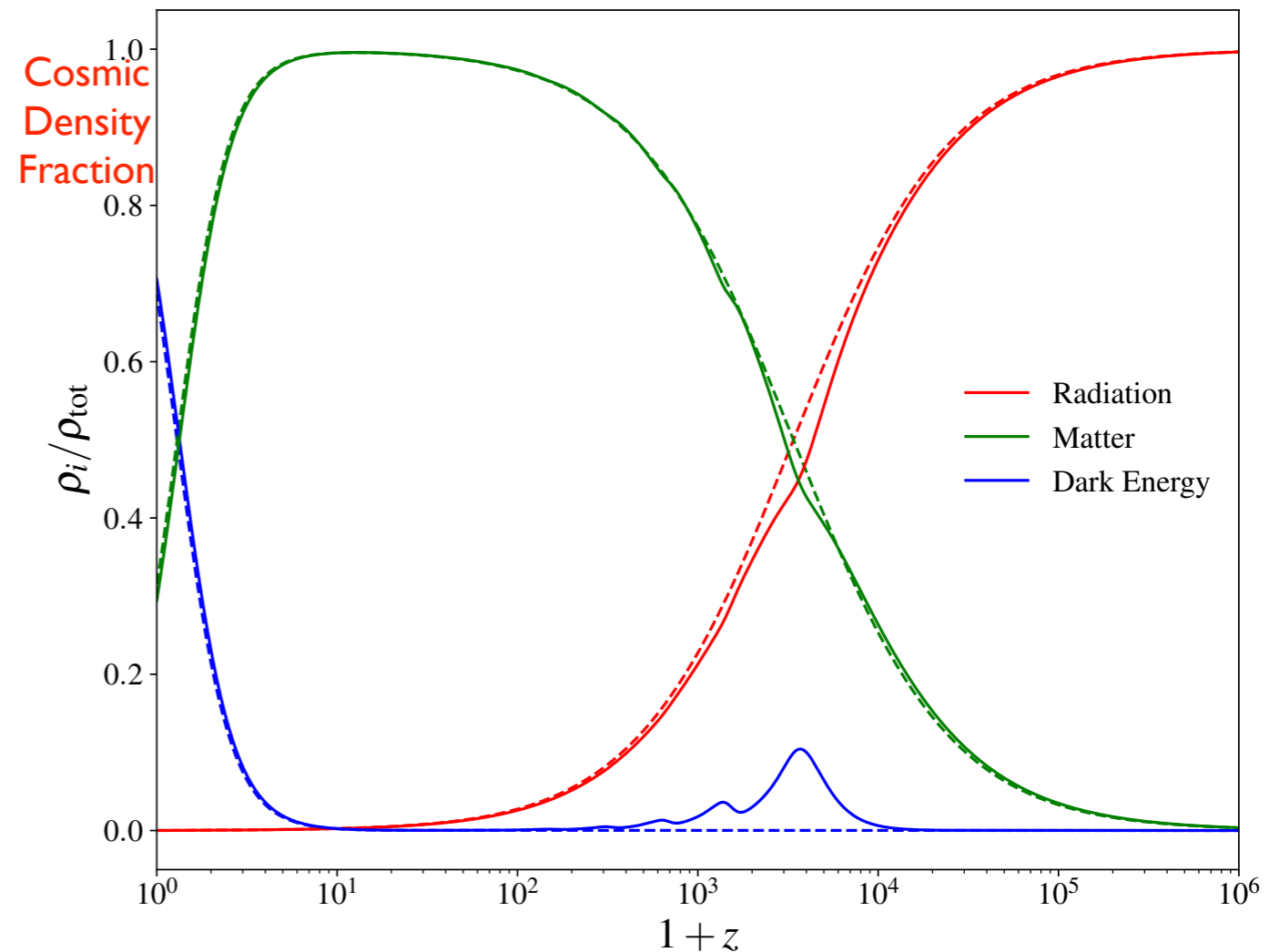


“Early Dark Energy,” a brief period of  $\sim 5\%$  extra dark energy at  $z \sim 4000$ , could resolve this

# flat – $\Lambda$ CDM



A brief episode of **Early Dark Energy** about  $\sim 35,000$  years after the Big Bang modifies the  $\Lambda$ CDM extrapolation of  $H_0$  and avoids the Hubble tension.



**Figure 1.** Compilation of Hubble Constant predictions and measurements taken from the recent literature and presented or discussed at the meeting. Two independent predictions based on early-Universe data (Planck Collaboration et al. 2018; Abbott et al. 2018) are shown at the top left (more utilizing other CMB experiments have been presented with similar findings), while the middle panel shows late Universe measurements. The bottom panel shows combinations of the late-Universe measurements and lists the tension with the early-Universe predictions. We stress that the three variants of the local distance ladder method (SHOES=Cepheids; CCHP=TRGB; MIRAS) share some Ia calibrators and cannot be considered as statistically independent. Likewise the SBF method is calibrated based on Cepheids or TRGB and thus it cannot be considered as fully independent of the local distance ladder method. Thus the “combining all” value should be taken for illustration only, since its derivation neglects covariance between the data. The three combinations based on Cepheids, TRGB, Miras are based on statistically independent datasets and therefore the significance of their discrepancy with the early universe prediction is correct - even though of course separating the probes gives up some precision. A fair summary is that the difference is more than  $4\sigma$ , less than  $6\sigma$ , while robust to exclusion of any one method, team or source. Figure courtesy of Vivien Bonvin.

Solid curves represent our  $\Lambda$ CDM+EDE model, and dashed curves are standard  $\Lambda$ CDM with the Planck parameters. Our N-body simulations show that structure forms earlier than in standard  $\Lambda$ CDM, but the present-day universe is very similar.

# Cosmological Simulations

Astronomical observations represent snapshots of moments in time. It is the role of astrophysical theory to produce movies -- both metaphorical and actual -- that link these snapshots together into a coherent physical theory.

**Cosmological dark matter simulations** show large scale structure and dark matter halo properties, basis for semi-analytic models

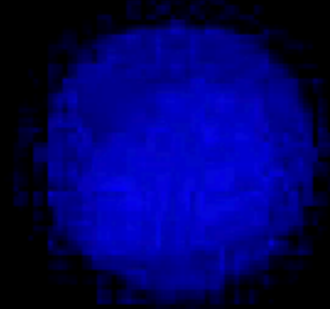
**Hydrodynamic galaxy formation simulations:** evolution of galaxies, formation of galactic spheroids via mergers, galaxy images and spectra including stellar evolution and dust





"QUARKS. NEUTRINOS. MESONS. ALL THOSE DAMN PARTICLES YOU CAN'T SEE. THAT'S WHAT DROVE ME TO DRINK. BUT NOW I CAN SEE THEM!"

$z=49.000$

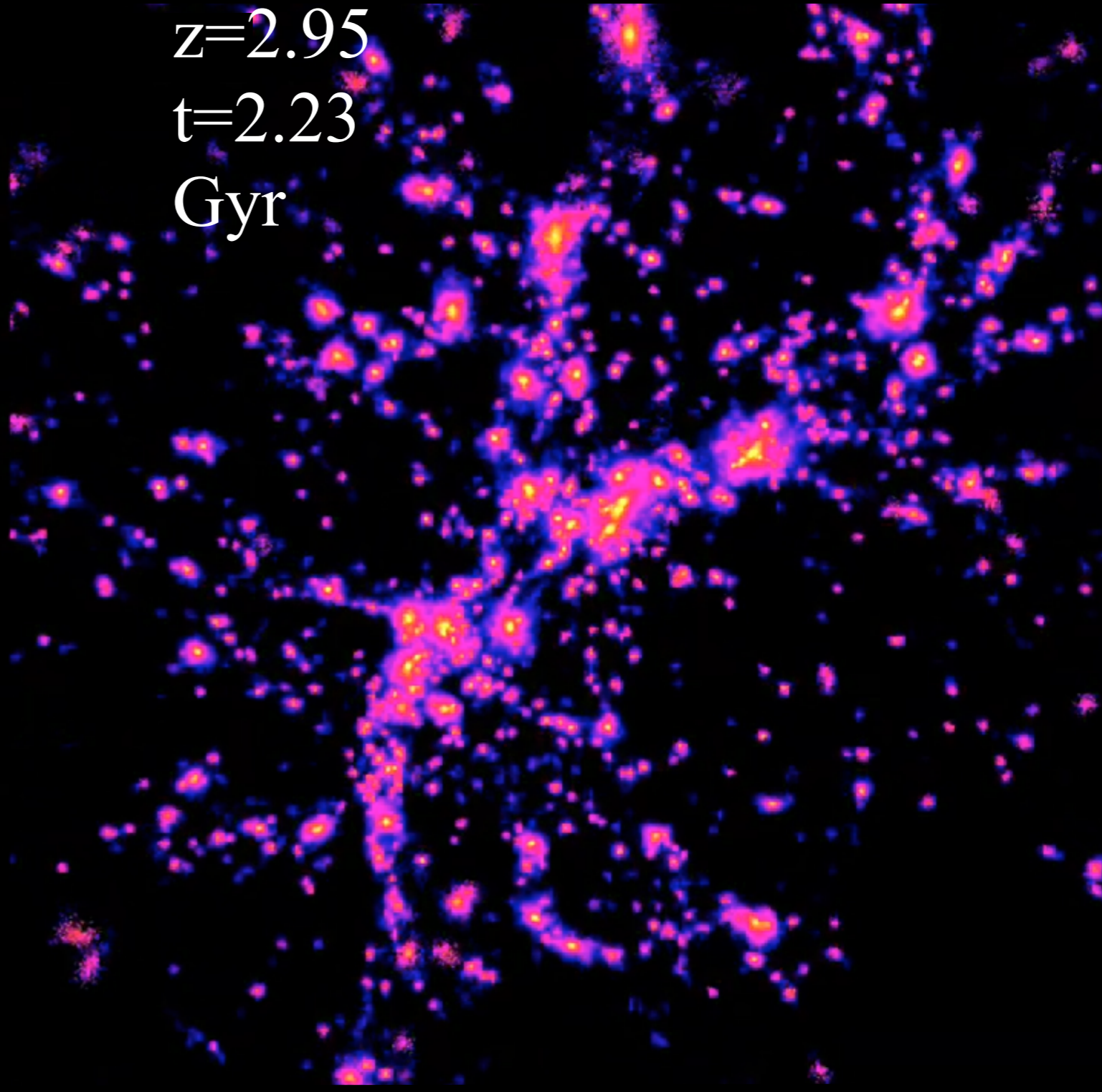
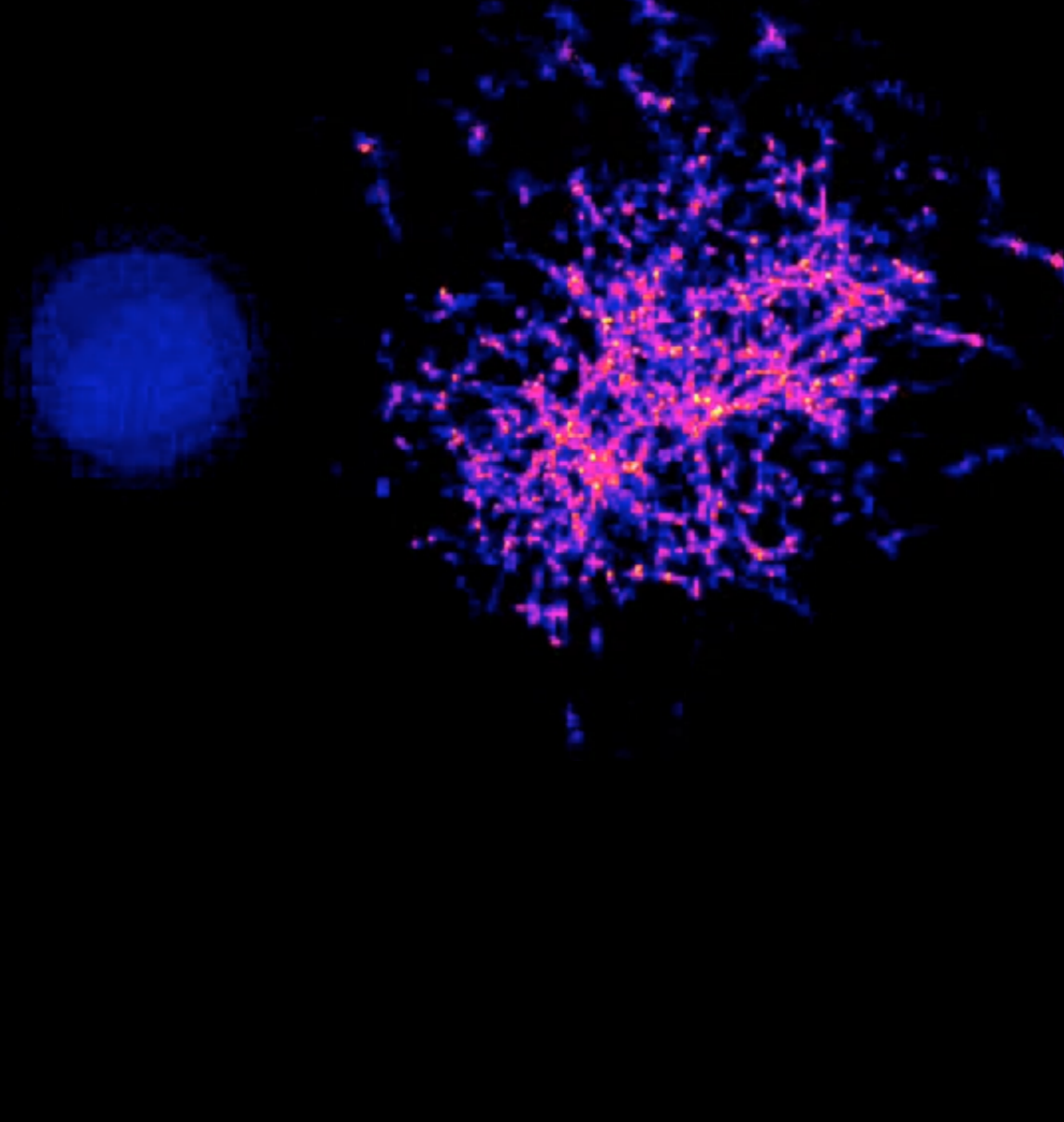


# Expansion....

$z=49.00$   
 $t=49$   
Myr

$z=12.01$   
 $t=374\text{M}$   
yr

$z=2.95$   
 $t=2.23$   
Gyr



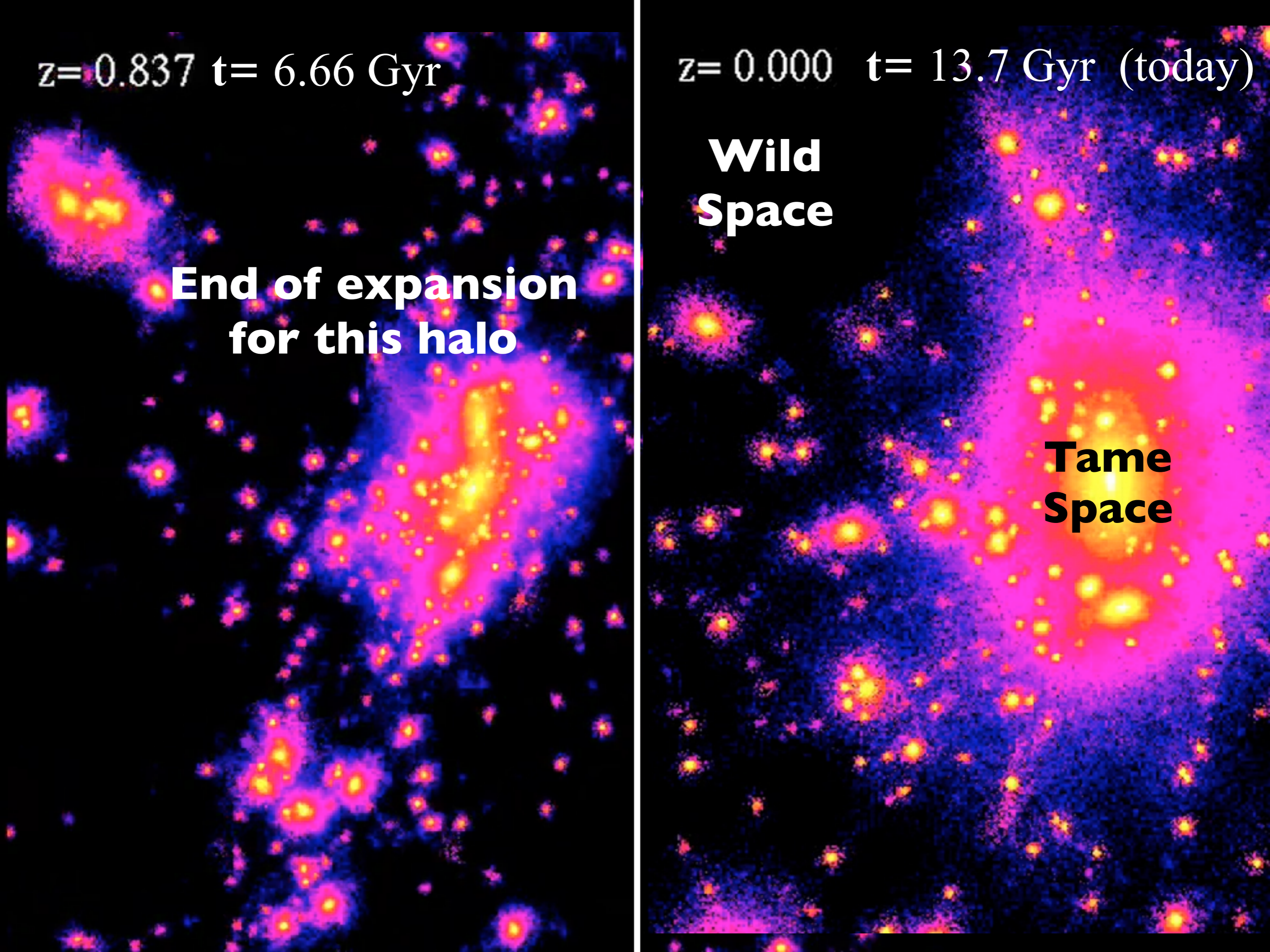
$z=0.837$   $t=6.66$  Gyr

**End of expansion  
for this halo**

$z=0.000$   $t=13.7$  Gyr (today)

**Wild  
Space**

**Tame  
Space**



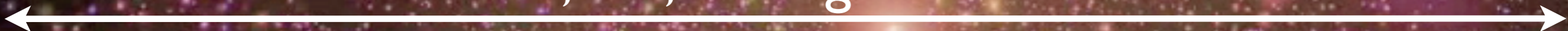
# Aquarius Simulation

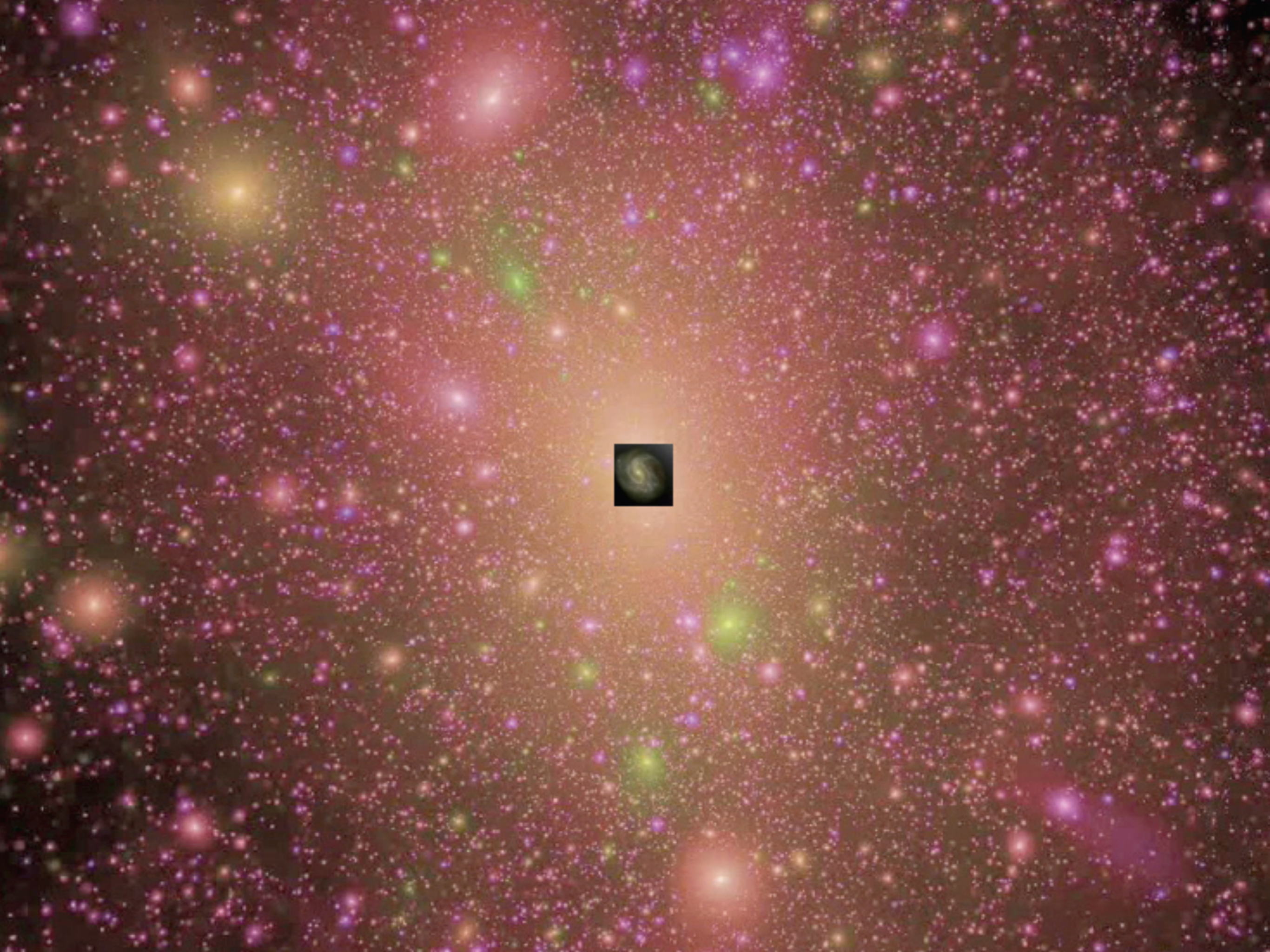
Volker Springel

Milky Way  
100,000 Light Years



Milky Way Dark Matter Halo  
1,500,000 Light Years





# **Bolshoi Cosmological Simulation**

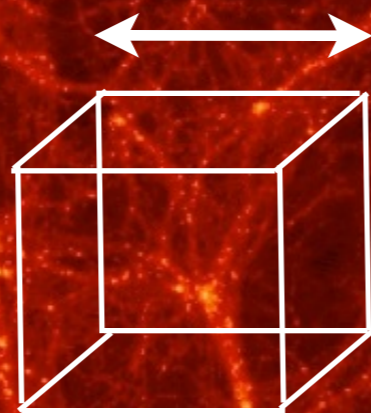
Anatoly Klypin & Joel Primack



1 Billion Light Years



100 Million Light Years



1 Billion Light Years





# How the Halo of the Big Cluster Formed



100 Million Light Years

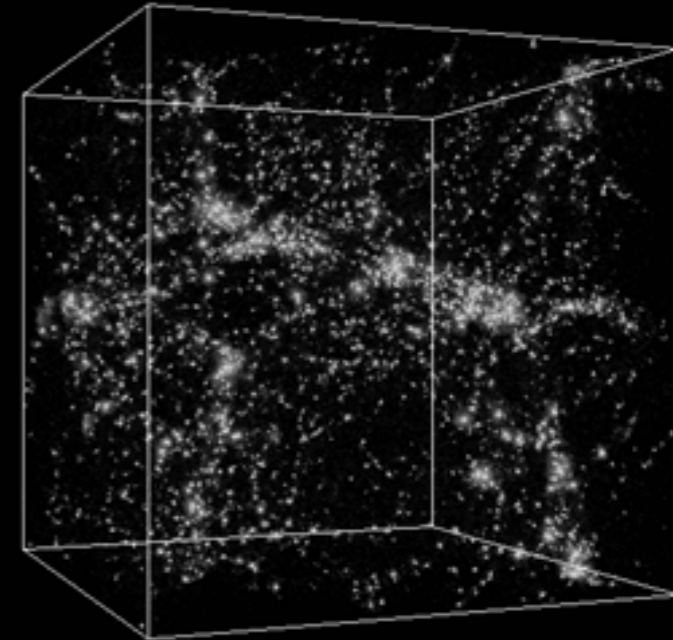
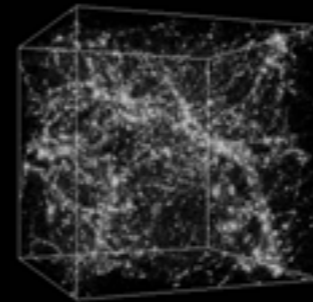
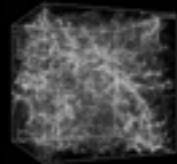


# **Bolshoi-Planck**

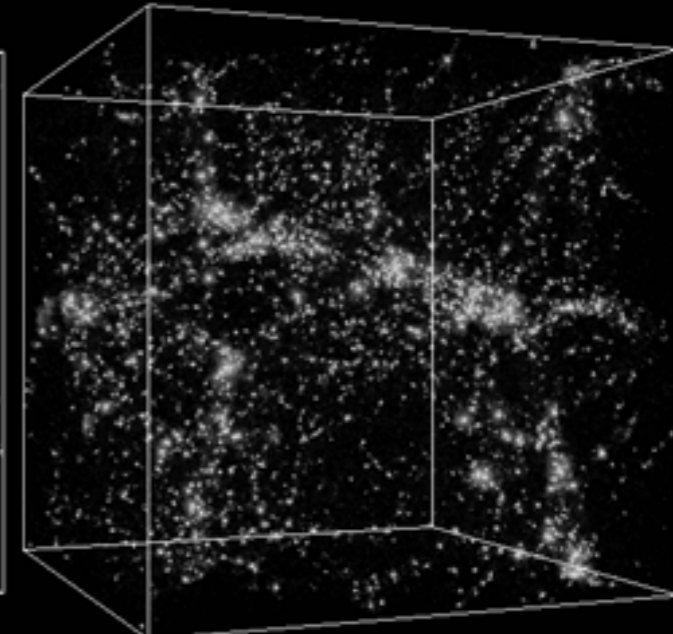
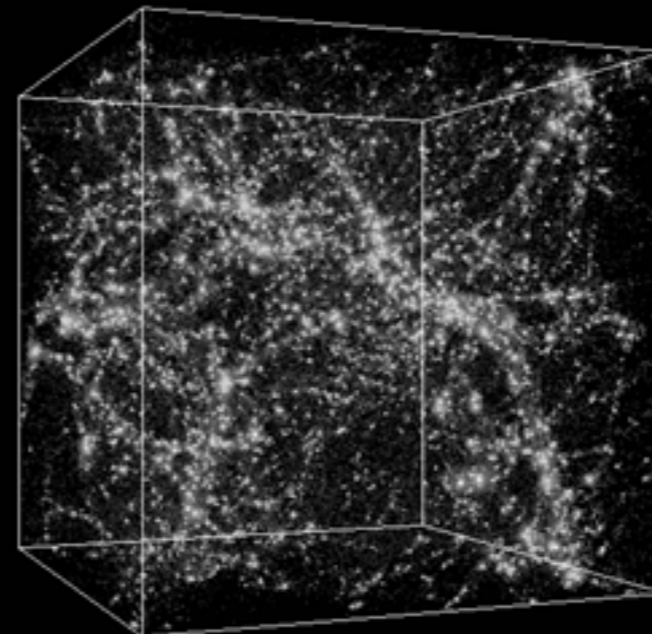
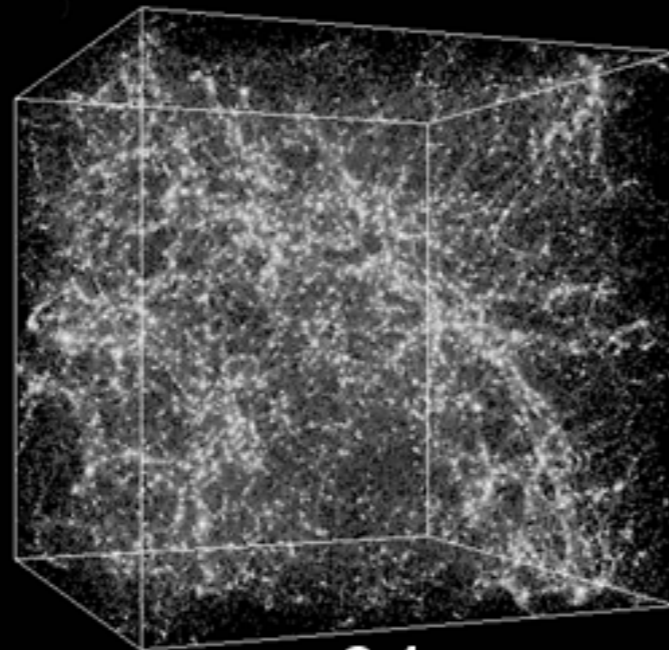
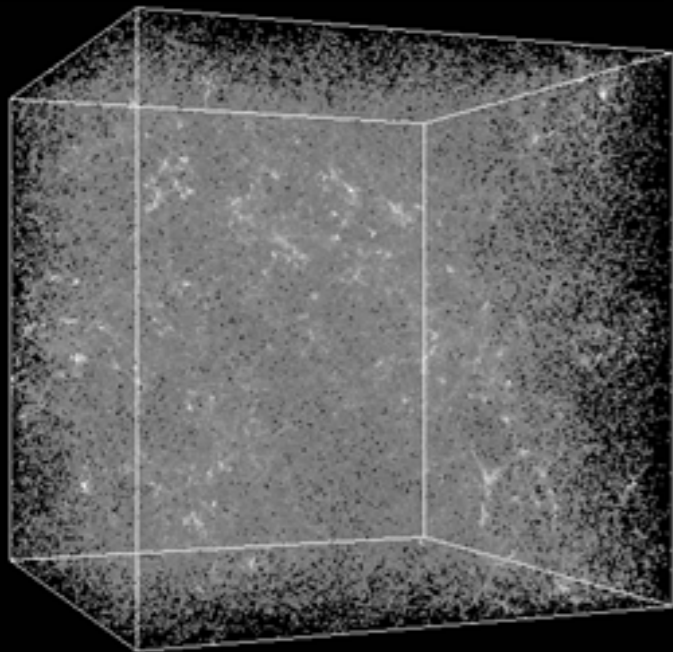
## **Cosmological Simulation**

### Merger Tree of a Large Halo

# dark matter simulation - expanding with the universe



# same simulation - not showing expansion



0.5

2.1

5.7

13.5

**Billions of years after the Big Bang**

# CONSTRAINED LOCAL UNIVERSE SIMULATION

Stefan Gottloeber, Anatoly Klypin, Joel Primack

Visualization: Chris Henze (NASA Ames)

# MODERN COSMOLOGY

Ya B. Zeldovich

*Institute of Physical Problem, Academy of Sciences of the USSR, Moscow.*

Cosmology, the study of the Universe as a whole, is perhaps the most difficult branch of astronomy, since there is always a danger of replacing true knowledge by prejudice, resulting from the impossibility of observing the whole Universe. The situation has changed during the last few decades.

Cosmology has become a respectable science, which was not so 50–60 years ago. However, the problems of the creation of the Universe, and with the reasons for its present form have not yet been solved. At the same time definite progress has been made in understanding the present state of the Universe and a number of its stages of evolution; this progress is a result of investigations carried out by many people, and joint efforts by numerous international groups of astronomers.

The pressure of natural gas can be neglected. Of course, this statement is not an absolute one: gas pressure can be neglected in the case when the wavelength of density perturbations is sufficiently long. It is this legacy that we inherited from the radiation-dominated era. But then, if gas pressure does not play any role, the motion of gas turns out to be very specific: nothing prevents particles from coming close to each other to form high-density regions. In three-dimensional space gas can be compressed along each of the three independent directions perpendicular to each other. However, simultaneous compression along two or three axes occurs very rarely, and is not a typical phenomenon. As a rule, there is only one direction in each elementary volume which stands out among the rest.

Compression in this direction creates thin layers with a high density (they are jokingly called “pancakes”). Subsequent gas parcels colliding with a “pancake” heat up in the shock wave, i.e. “fly in”. Besides, the “pancakes” grow along its plane. Of course, they are not absolutely flat, but that is not so important. At a later stage the “pancakes” begin to overlap, eventually forming a complex cell structure where compressed gas layers are surrounded by low-density regions.

Such a general picture of the cell structure of the Universe is supported by computer calculations, as well as by a rigorous mathematical analysis based on catastrophe theory and synergetics. An analogy has been established between gravitational instability and the laws of geometrical optics for light reflected from or refracted by stochastic waves at a water surface. (On a sunny day one can see patterns similar to those predicted by the “pancake” theory at the bottom of a swimming pool.) Obviously, galaxies should be created in compressed gas whose layers are still more exposed to the impact of further gravitational clustering.



# The shape of dark matter haloes: dependence on mass, redshift, radius and formation

Brandon Allgood, Ricardo Flores, Joel R. Primack, Andrey V. Kravtsov, Risa Wechsler, Andreas Faltenbacher and James S. Bullock

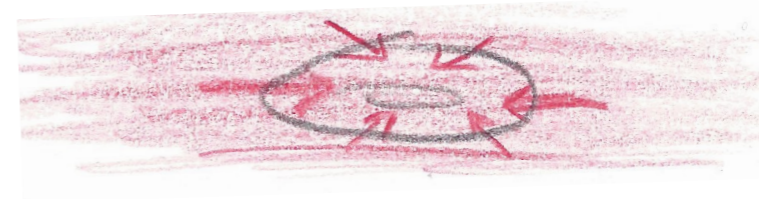
$s = c/a = \text{short axis} / \text{long axis}$

Halos are approximately triaxial ellipsoids

$$\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} = 1 \quad a \geq b \geq c$$

Halos start prolate, especially at low radius, and later become more spherical.

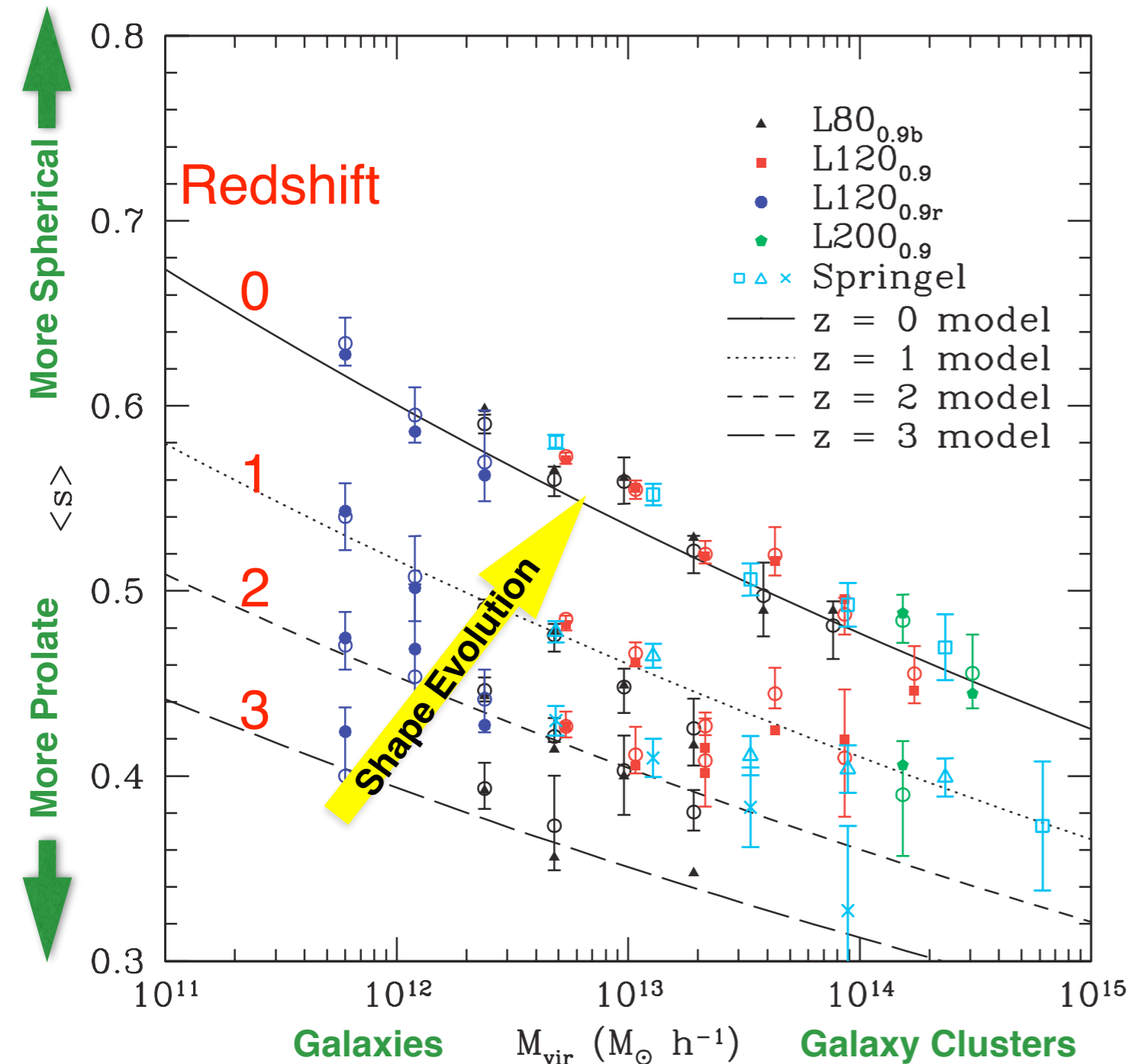
Low-redshift halo, accreting more spherically



High-redshift halo, accreting mainly along filament



supported by anisotropic velocity dispersion, larger along principal axis

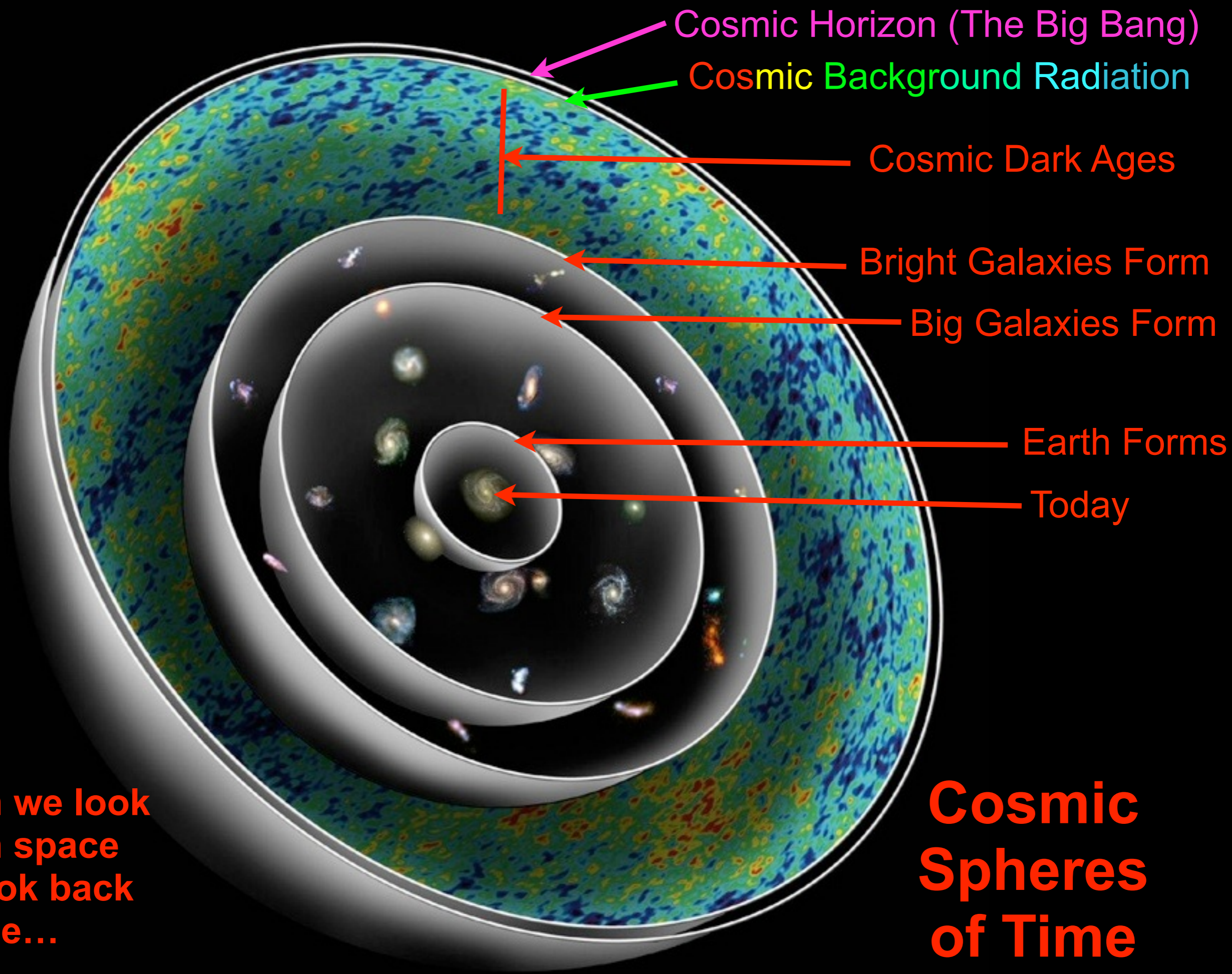




# State of the Universe Report

COSMOS

GALAXIES



Cosmic Horizon (The Big Bang)

Cosmic Background Radiation

Cosmic Dark Ages

Bright Galaxies Form

Big Galaxies Form

Earth Forms

Today

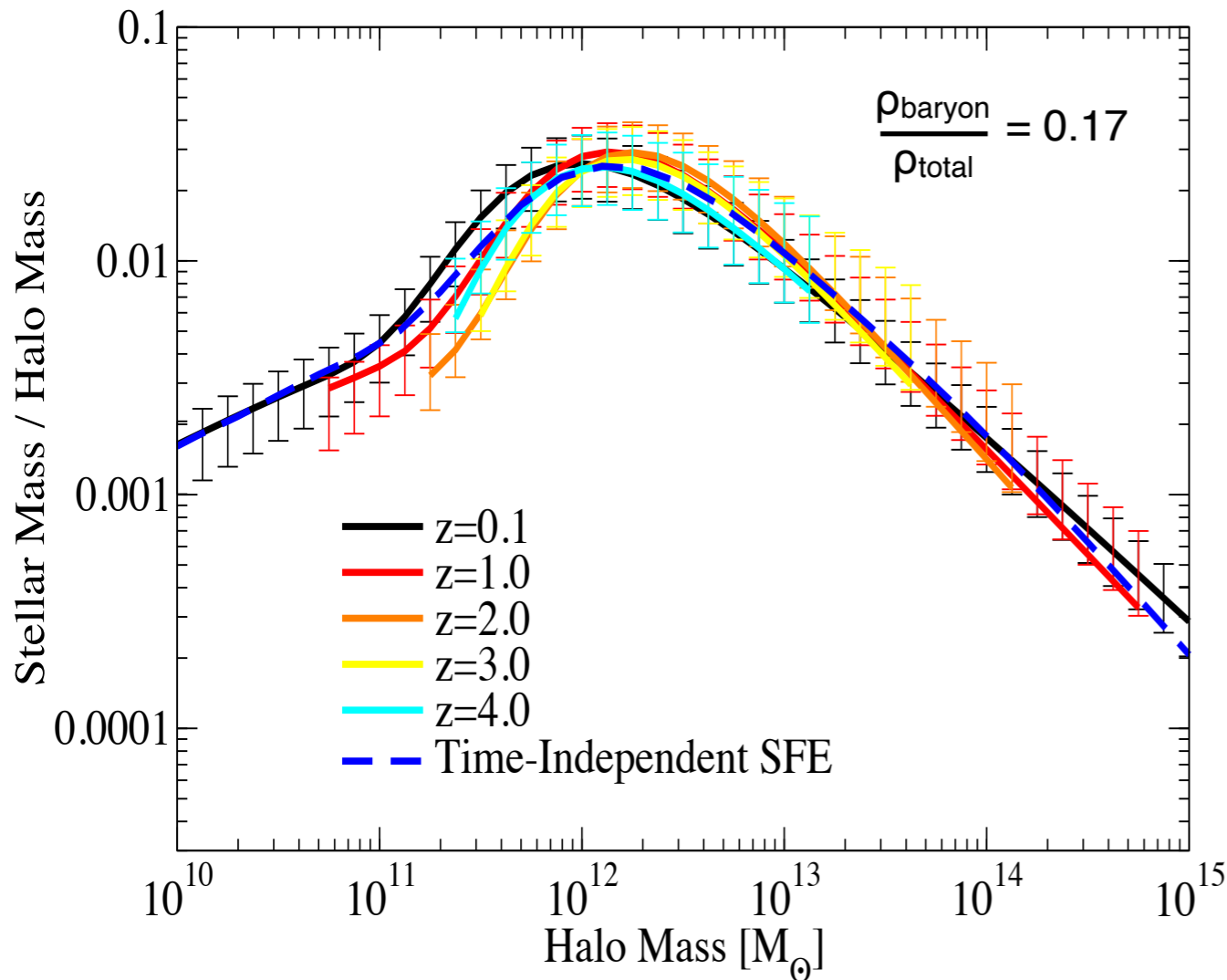
When we look out in space we look back in time...

Cosmic Spheres of Time



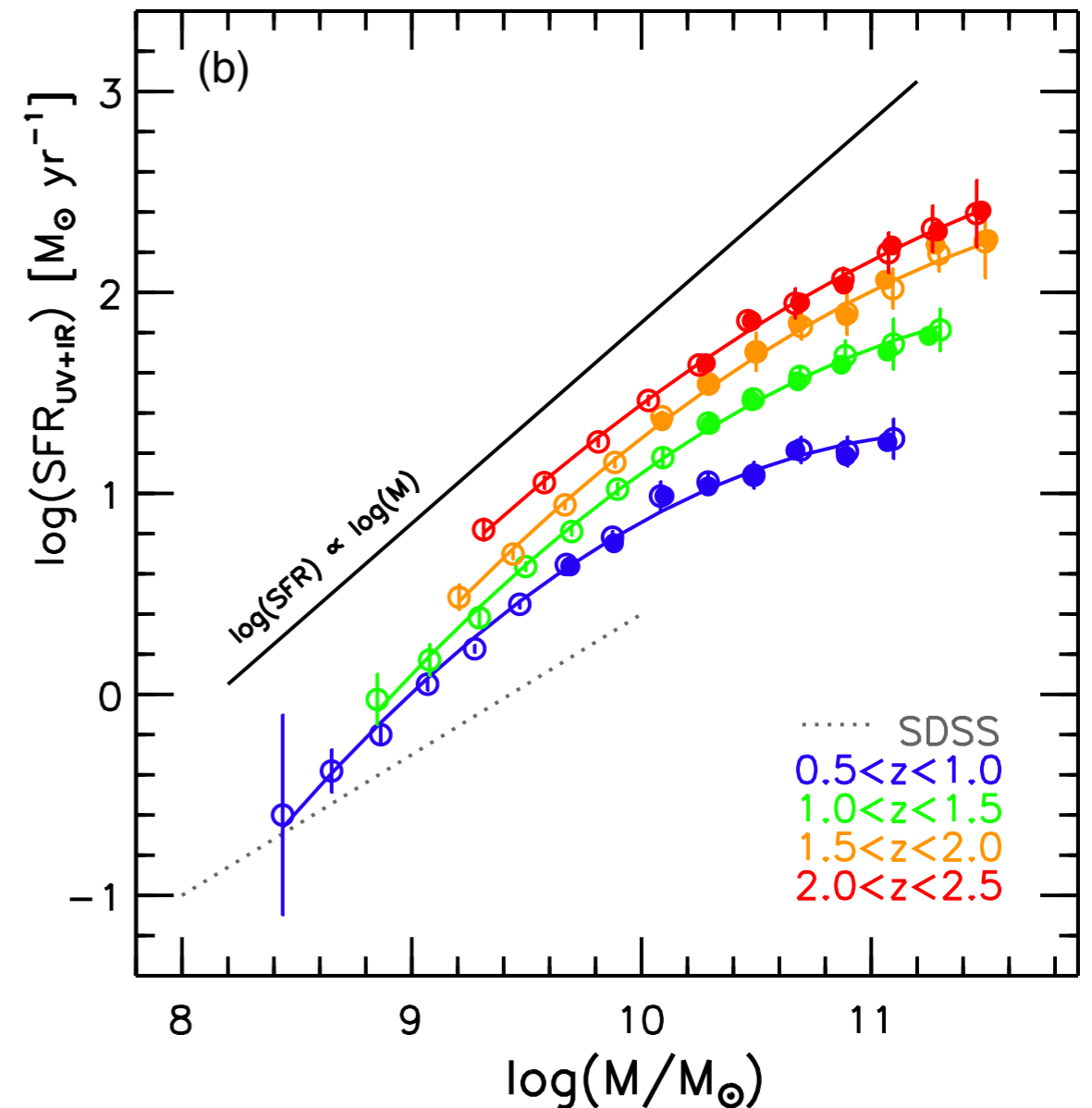
# Two Key Discoveries About Galaxies

## Relationship Between Galaxy Stellar Mass and Halo Mass



The stellar mass to halo mass ratio at multiple redshifts as derived from observations compared to the Bolshoi cosmological simulation. Error bars show  $1\sigma$  uncertainties. A time-independent Star Formation Efficiency predicts a roughly **time-independent stellar mass to halo mass relationship**. (Behroozi, Wechsler, Conroy, ApJL 2013)

## Star-forming Galaxies Lie on a “Main Sequence”

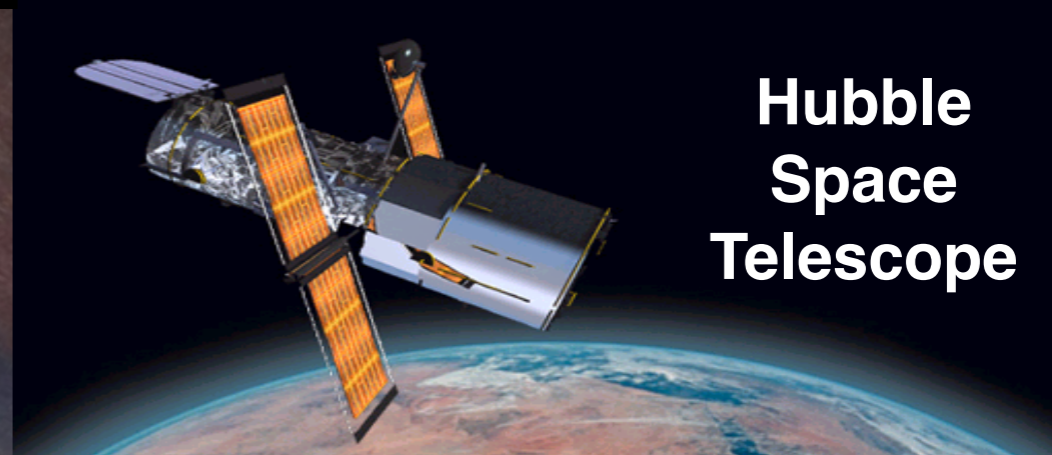


Just as the properties of hydrogen-burning stars are controlled by their mass, **the galaxy star formation rate (SFR) is approximately proportional to the stellar mass**, with the proportionality constant increasing with redshift up to about  $z = 2.5$ . (Whitaker et al. ApJ 2014)

Almost all the stars today are in large galaxies like our Milky Way. Nearby large galaxies are disk galaxies like our galaxy or big balls of stars called elliptical galaxies. But most galaxies in the early universe didn't look anything like our Milky Way. Many of them are pickle-shaped and clumpy.



We are just now figuring out how galaxies form and evolve with the help of big ground-based telescopes, and Hubble and other space telescopes that let us see radiation that doesn't penetrate the atmosphere.



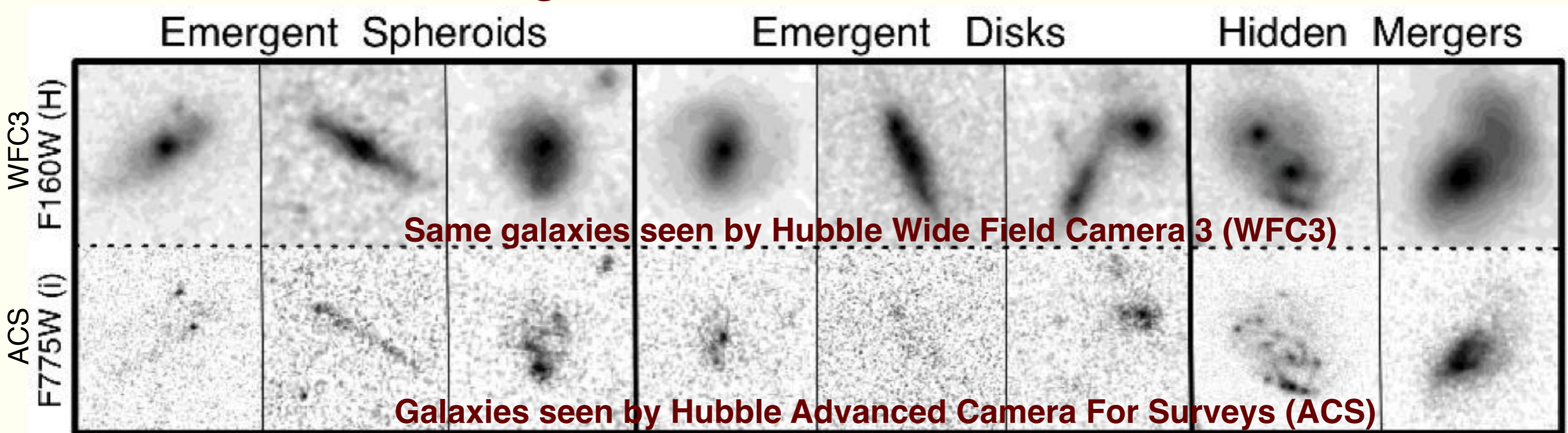
A photograph showing astronaut Andrew Feustel in a white spacesuit working on the exterior of the Hubble Space Telescope. He is positioned on the left side of the frame, reaching towards a large, dark, rectangular instrument being installed. The background is the bright blue and white of Earth's atmosphere and clouds. The telescope's structure is visible in the foreground and middle ground.

Astronaut Andrew Feustel  
installing WFC3 on the  
last visit to HST in 2009

The infrared capabilities of  
HST Wide Field Camera 3  
allow us to see the full stellar  
populations of forming galaxies  
out to redshift  $z \sim 2.5$

# The CANDELS Survey shows shapes of $z \approx 2.5$ galaxies

[candels.ucolick.org](http://candels.ucolick.org)



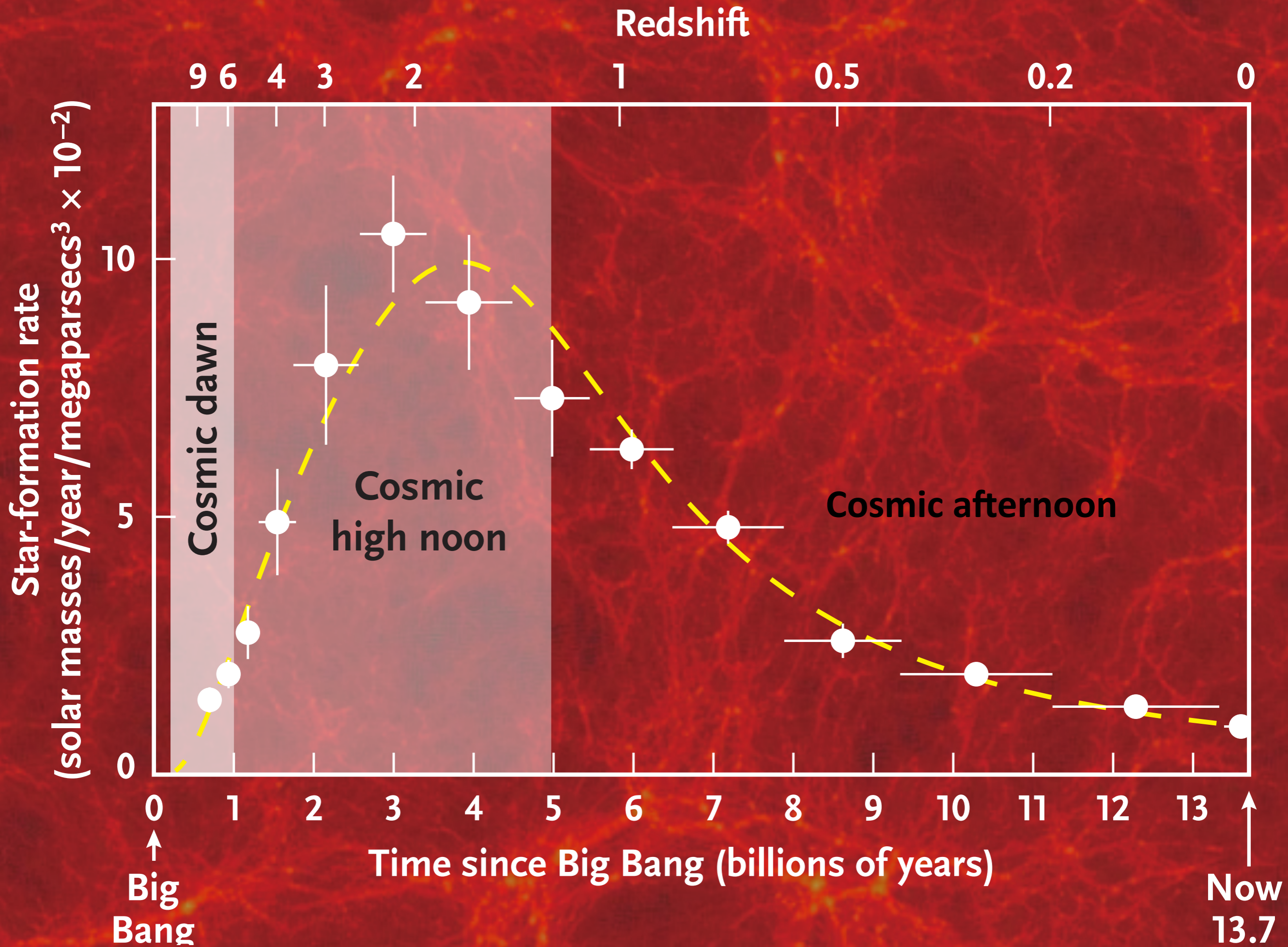
## CANDELS: A Cosmic Odyssey

(blue  $0.4 \mu\text{m}$ )( $1+z$ ) =  $1.6 \mu\text{m}$  @  $z = 3$

(orange  $0.6 \mu\text{m}$ )( $1+z$ ) =  $1.6 \mu\text{m}$  @  $z = 1.7$

**CANDELS is a powerful imaging survey of the distant Universe being carried out with two cameras on board the Hubble Space Telescope.**

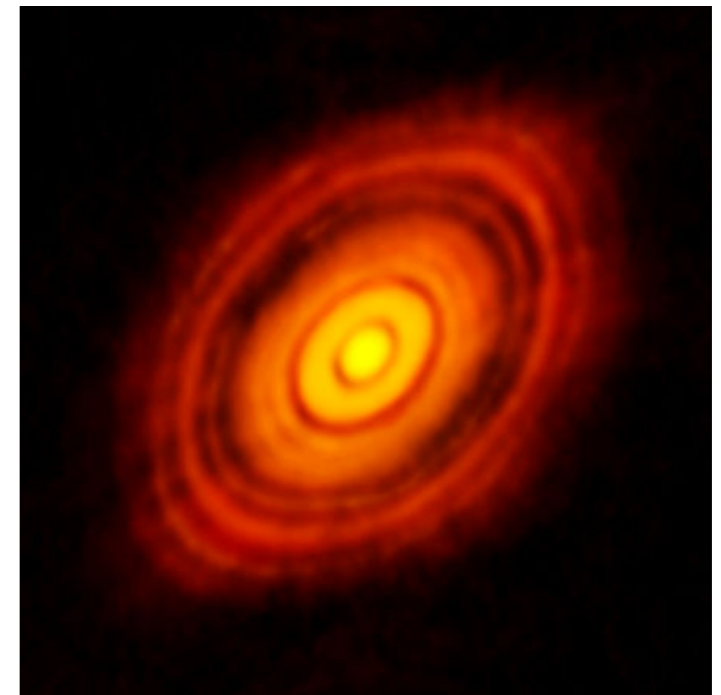
- **CANDELS is the largest project in the history of Hubble**, with 902 assigned orbits of observing time. This is the equivalent of four months of Hubble time if executed consecutively, but in practice CANDELS will take three years to complete (2010-2013).
- **The core of CANDELS is the revolutionary near-infrared WFC3 camera**, installed on Hubble in May 2009. WFC3 is sensitive to longer, redder wavelengths, which permits it to follow the stretching of lightwaves caused by the expanding Universe. This enables CANDELS to detect and measure objects much farther out in space and nearer to the Big Bang than before. CANDELS also uses the visible-light ACS camera, and together the two cameras give unprecedented panchromatic coverage of galaxies from optical wavelengths to the near-IR.
- **CANDELS will exploit this new lookback power to construct a "cosmic movie" of galaxy evolution** that follows the life histories of galaxies from infancy to the present time. This work will cap Hubble's revolutionary series of discoveries on cosmic evolution and bequeath a legacy of precious data to future generations of astronomers.



## Do Galaxies Start as Disks?

Newton's laws explained why planetary orbits are elliptical, but not why the planetary orbits in the solar system are nearly circular, in the same plane, and in the same direction as the sun rotates.

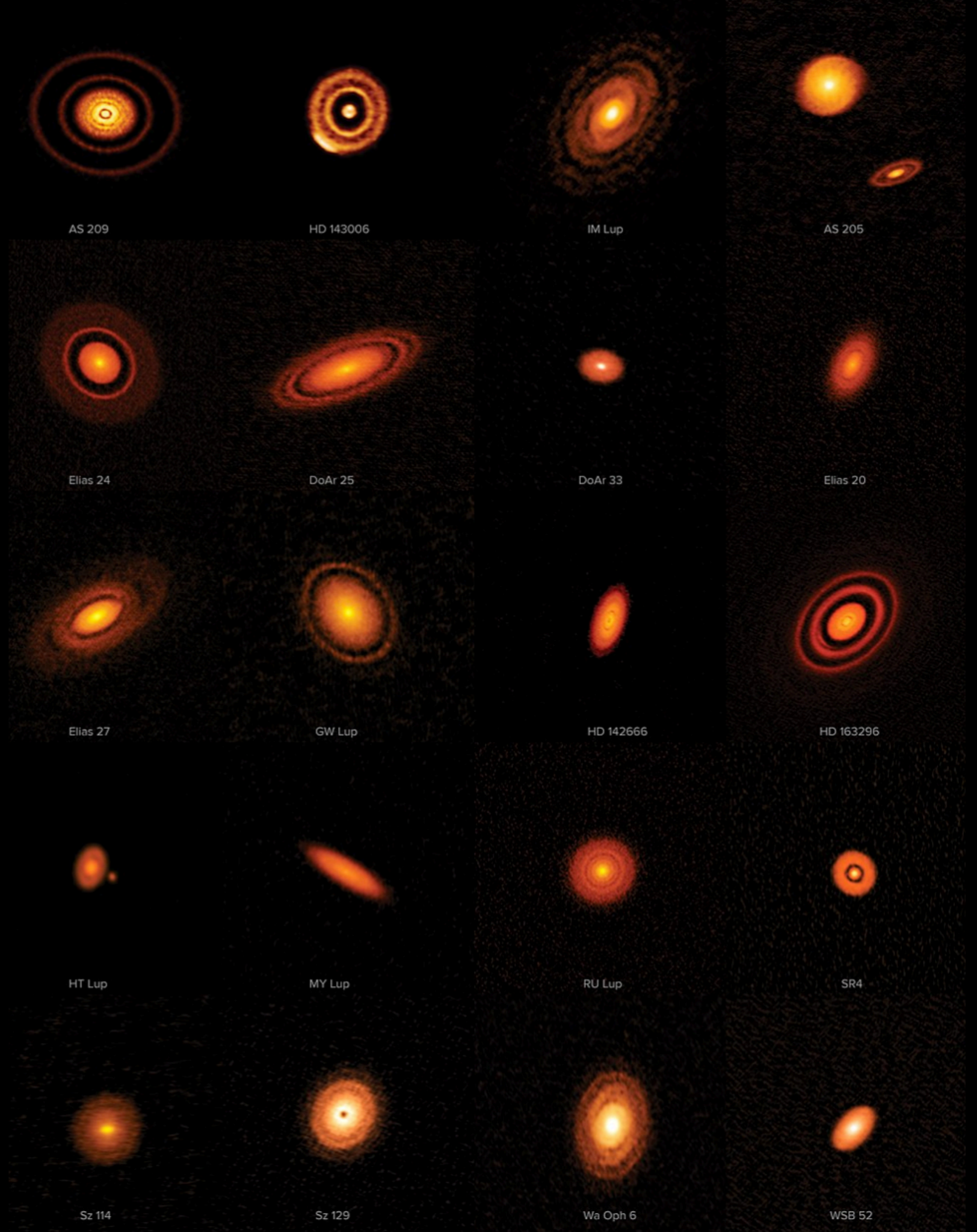
Laplace explained this as a consequence of angular momentum conservation as the sun and planets formed in a cooling and contracting protoplanetary gas cloud that formed a disk— like this one:



ALMA image of [HL Tauri](#)



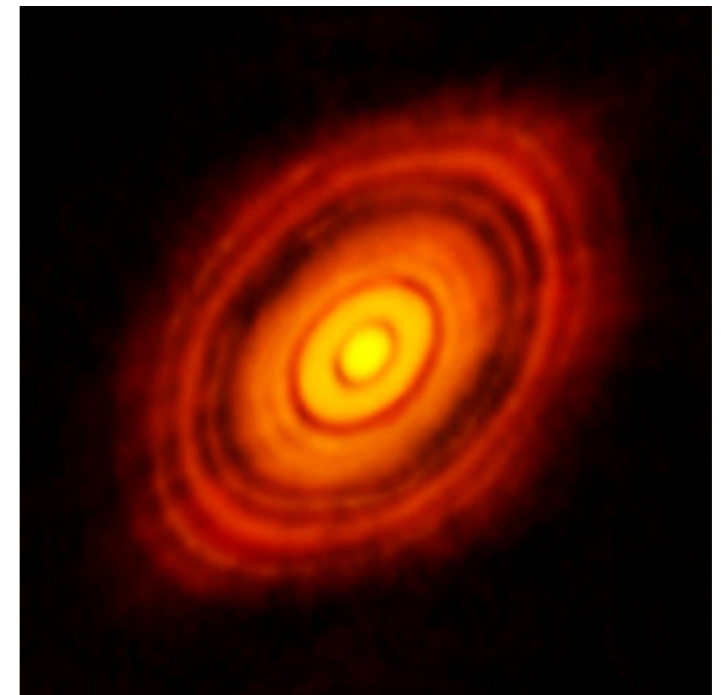
20 Protoplanetary  
Disks from ALMA's  
High Angular  
Resolution  
Project DSHARP  
(2019)



## Do Galaxies Start as Disks?

Newton's laws explained why planetary orbits are elliptical, but not why the planetary orbits in the solar system are nearly circular, in the same plane, and in the same direction as the sun rotates.

Laplace explained this as a consequence of angular momentum conservation as the sun and planets formed in a cooling and contracting protoplanetary gas cloud that formed a disk— like this one:



ALMA image of [HL Tauri](#)

For similar reasons, many astronomers once thought that galaxies would start as disks. But Hubble Space Telescope images of forming galaxies instead show that most forming galaxies are prolate – that is, pickle-shaped. As we will see, this is a consequence of most galaxies forming in prolate dark matter halos oriented along massive dark matter filaments.

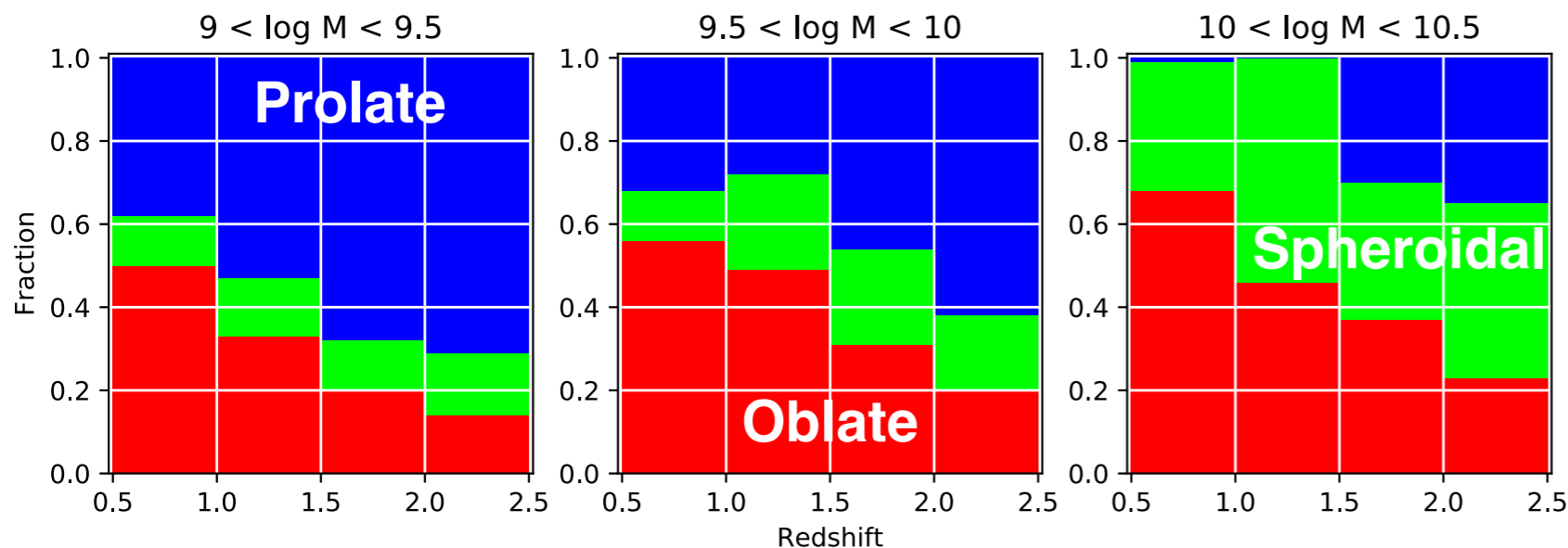
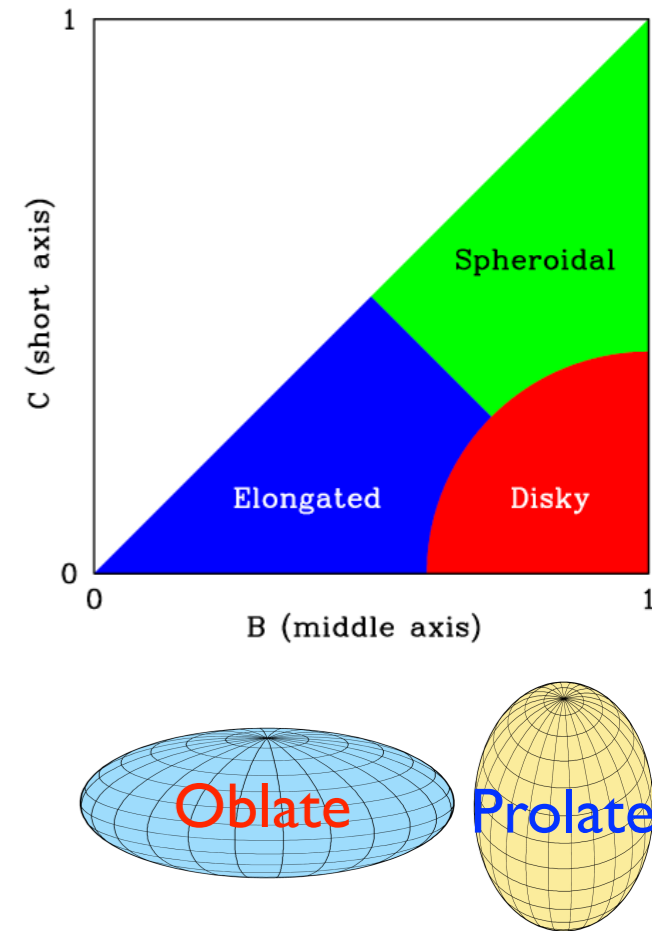


# The Evolution of Galaxy Shapes in CANDELS: from Prolate to Oblate

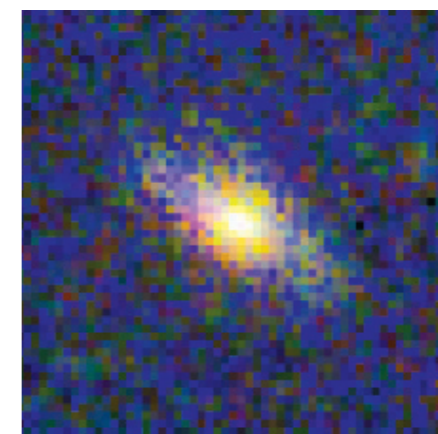
Haowen Zhang, Joel R. Primack, S. M. Faber, David C. Koo, Avishai Dekel, Zhu Chen, Daniel Ceverino, Yu-Yen Chang, Jerome J. Fang, Yicheng Guo, Lin Lin, and Arjen van der Wel [MNRAS 484, 5170 \(2019\)](#)

## ABSTRACT

We model the projected  $b/a - \log a$  distributions of CANDELS main sequence star-forming galaxies, where  $a$  ( $b$ ) is the semi-major (semi-minor) axis of the galaxy images. We find that smaller- $a$  galaxies are rounder at all stellar masses  $M_*$  and redshifts, so we include  $a$  when analyzing  $b/a$  distributions. Approximating intrinsic shapes of the galaxies as triaxial ellipsoids and assuming a multivariate normal distribution of galaxy size and two shape parameters, we construct their intrinsic shape and size distributions to obtain the fractions of prolate, oblate, and spheroidal galaxies in each redshift and mass bin. We find that galaxies tend to be prolate at low  $M_*$  and high redshifts, and oblate at high  $M_*$  and low redshifts, qualitatively consistent with van der Wel et al. (2014), implying that galaxies tend to evolve from prolate to oblate. These results are consistent with the predictions from simulations (Ceverino et al. 2015, Tomassetti et al. 2016) that the transition from prolate to oblate is caused by a compaction event at a characteristic mass range, making the galaxy center baryon dominated. We give probabilities of a galaxy's being prolate, oblate, or spheroidal as a function of its  $M_*$ , redshift, and projected  $b/a$  and  $a$ , which can facilitate target selections of galaxies with specific shapes at high redshifts. We also give predicted optical depths of galaxies, which are qualitatively consistent with the expected correlation that  $A_V$  should be higher for edge-on disk galaxies in each  $\log a$  slice at low redshift and high mass bins.



## Observed



(a) CANDELS galaxy

## Simulated



(b) VELA galaxy

Nearby large galaxies are mostly **spheroids** and **disks** — but they start out looking more like **pickles**.



## How Can We Determine 3D Galaxy Shapes from 2D Telescope Images? Statistics!

We see galaxies in all possible orientations

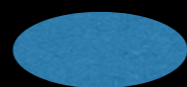
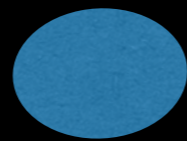
Let's orient them with their long axes horizontal and see the short/long axis ratio distribution



**Spheroidal  
galaxies  
always  
have  
large  
axis ratio**



**Disk  
galaxies  
have  
even  
distribution  
of axis  
ratios**

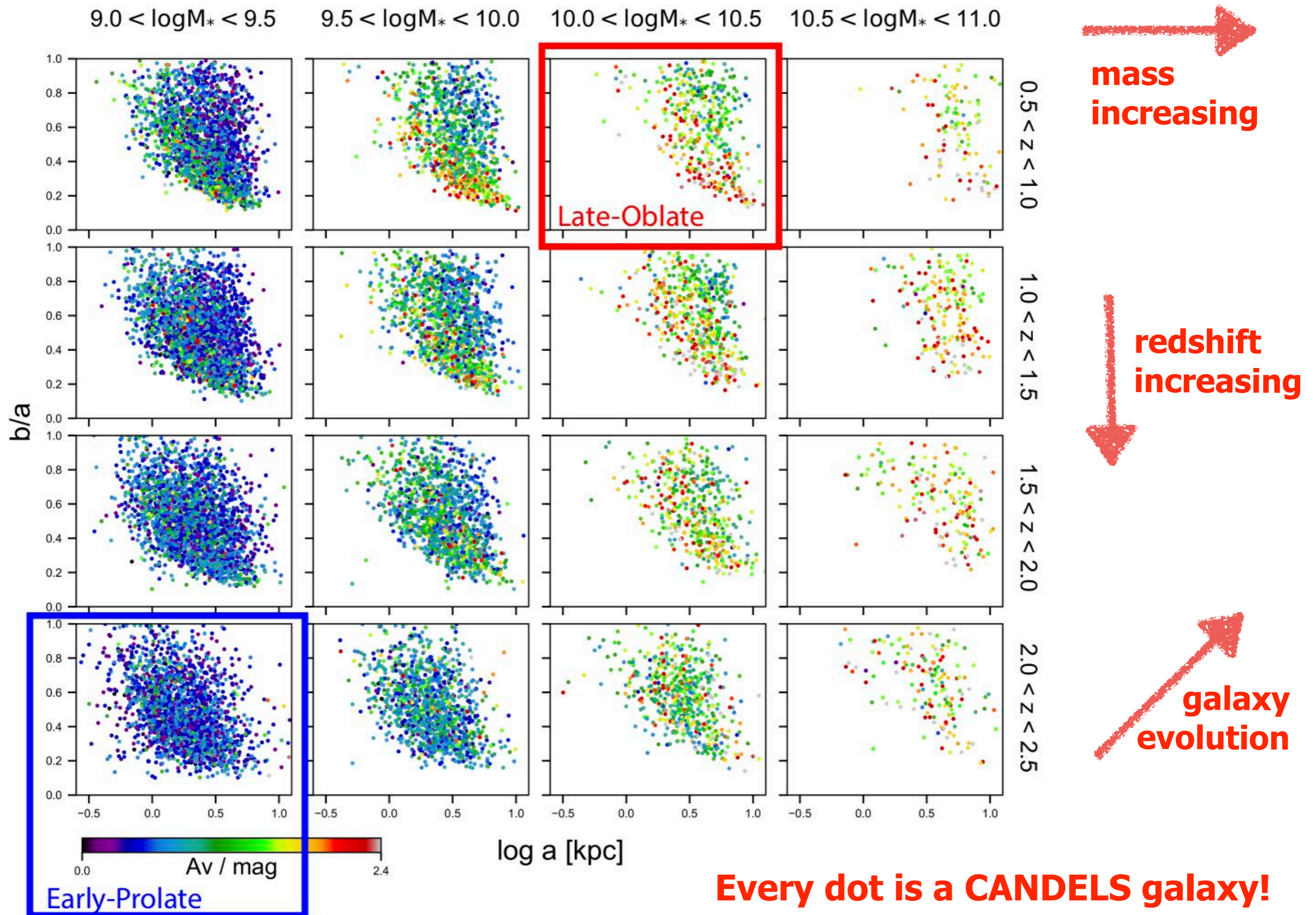


**Prolate  
galaxies  
have  
small  
axis  
ratios  
except  
end-on**



# The Evolution of Galaxy Shapes in CANDELS: from Prolate to Oblate

Projected  $b/a$  -  $\log a$  distributions of CANDELS galaxies in redshift-mass bins



# Cosmological Simulations

Astronomical observations represent snapshots of moments in time. It is the role of astrophysical theory to produce movies -- both metaphorical and actual -- that link these snapshots together into a coherent physical theory.

**Cosmological dark matter simulations** show large scale structure and dark matter halo properties, basis for semi-analytic models

**Hydrodynamic galaxy formation simulations:** evolution of galaxies, formation of galactic spheroids via mergers, galaxy images and spectra including stellar evolution and dust

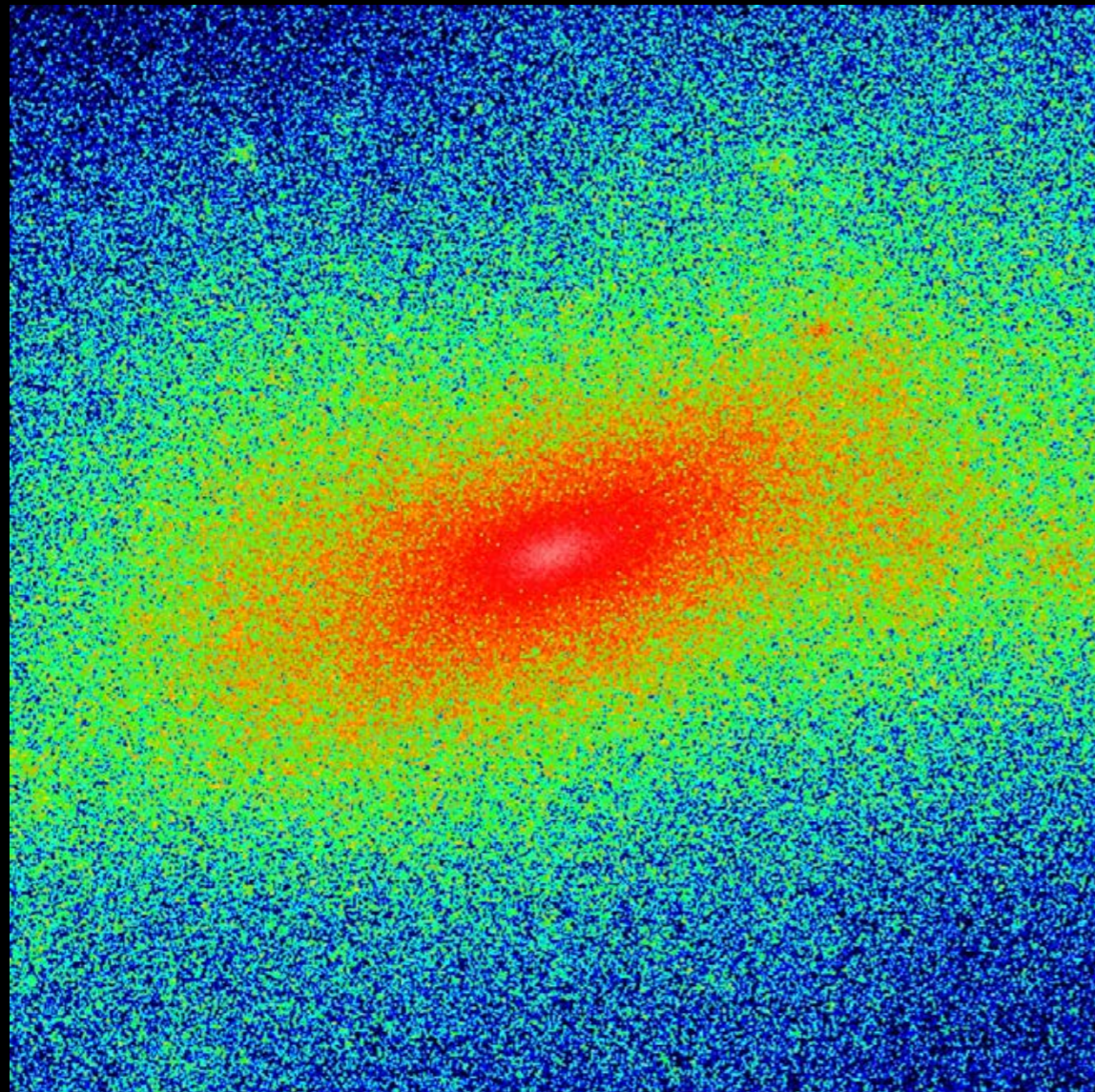
Our cosmological zoom-in simulations often produce elongated galaxies like the observed ones. The elongated distribution of stars follows the elongated inner dark matter halo.

# Prolate DM halo $\rightarrow$ elongated galaxy

DM

VELA28RP

stars



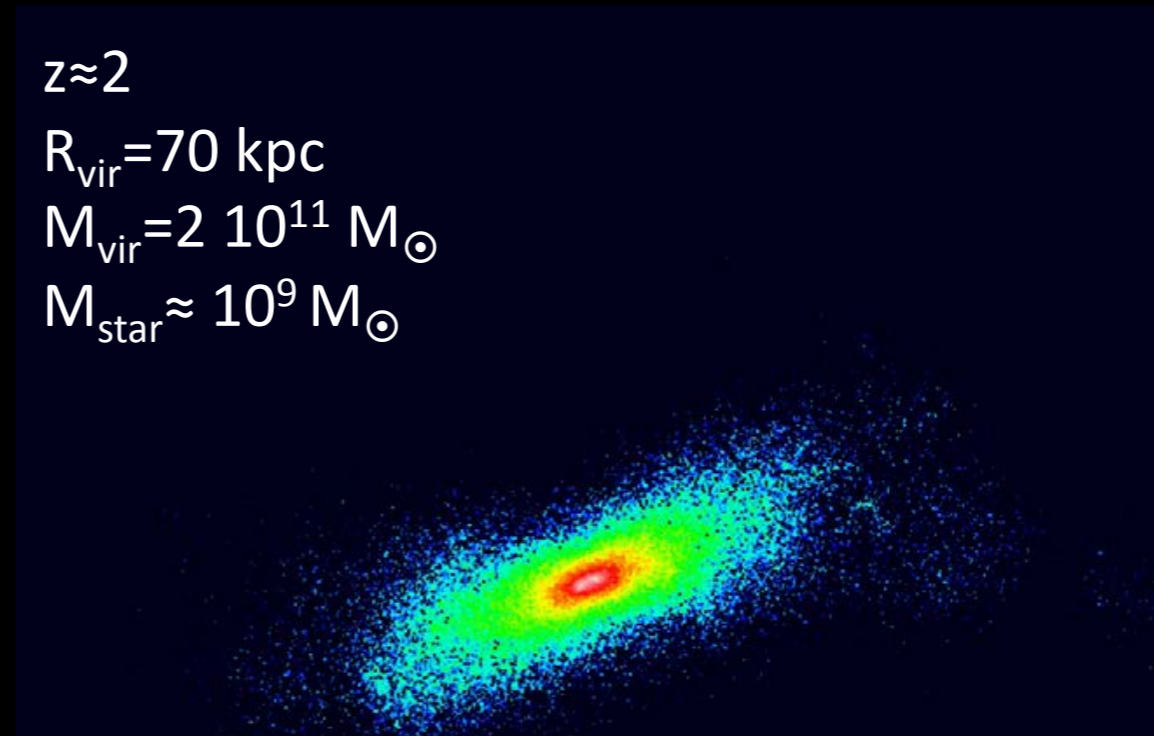
30 kpc

$z \approx 2$

$R_{\text{vir}} = 70 \text{ kpc}$

$M_{\text{vir}} = 2 \cdot 10^{11} M_{\odot}$

$M_{\text{star}} \approx 10^9 M_{\odot}$



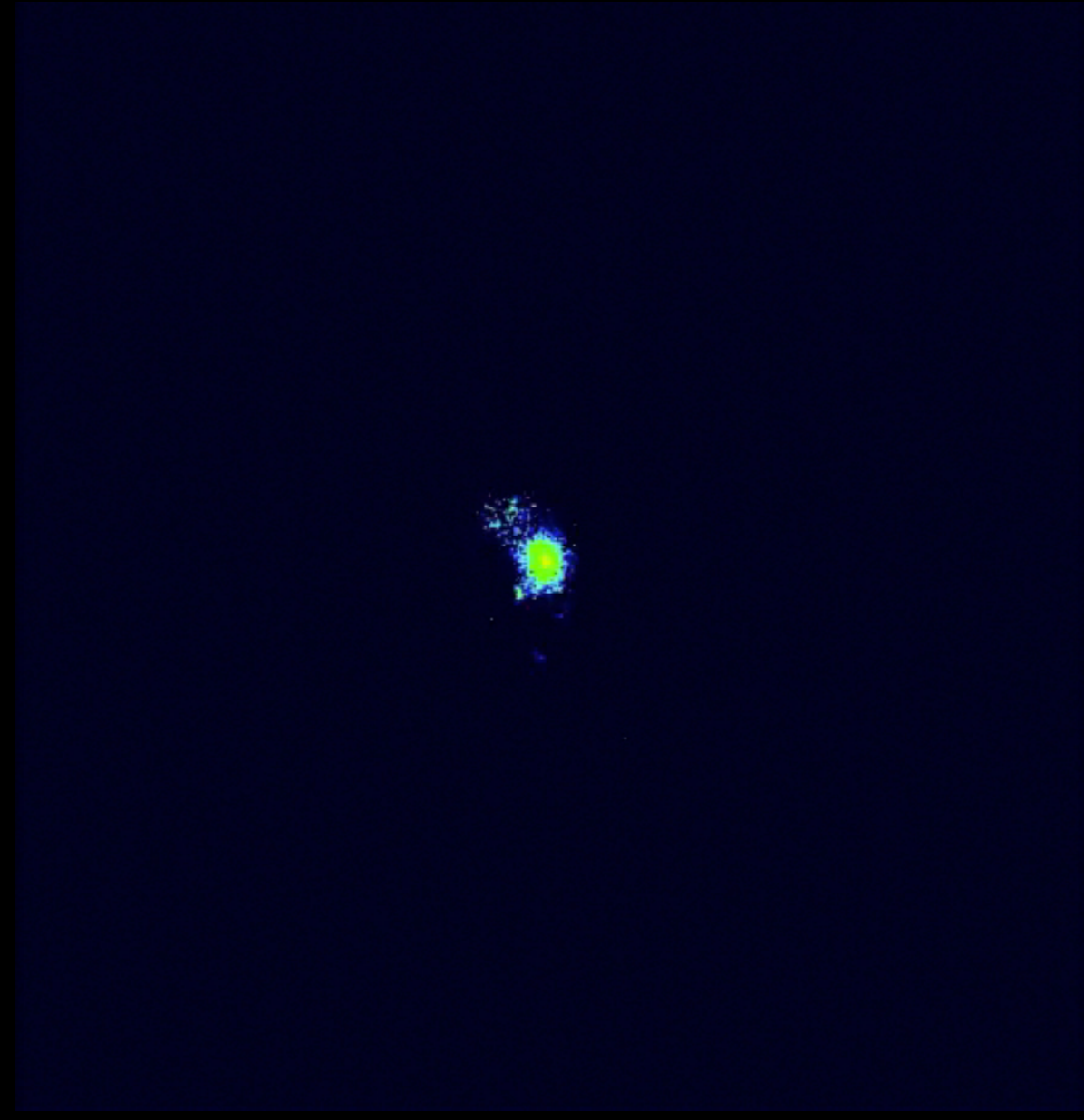
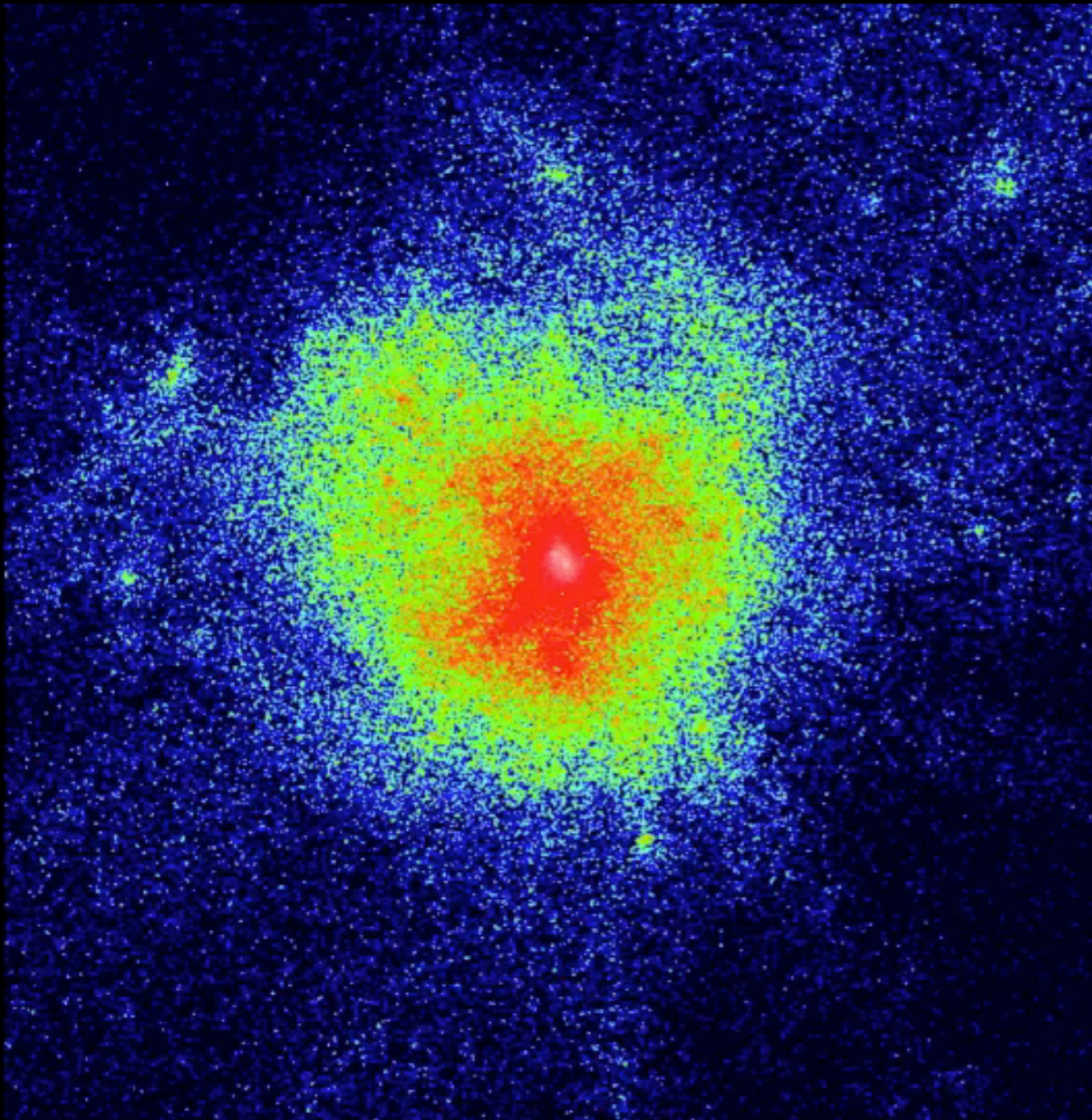
Dark matter halos are elongated, especially near their centers. Initially stars follow the gravitationally dominant dark matter, as shown. But later as the ordinary matter central density grows and it becomes gravitationally dominant, the star and dark matter distributions both become disk-like — as observed by Hubble Space Telescope (van der Wel+ ApJL Sept 2014).

Our cosmological zoom-in simulations often produce elongated galaxies like observed ones. The elongated distribution of stars follows the elongated inner dark matter halo. Here we show the evolution of the dark matter and stellar mass distributions in our zoom-in galaxy simulation VELA28, viewed from the same fixed vantage point.

**DM**

**VELA28-gen3**

**stars**

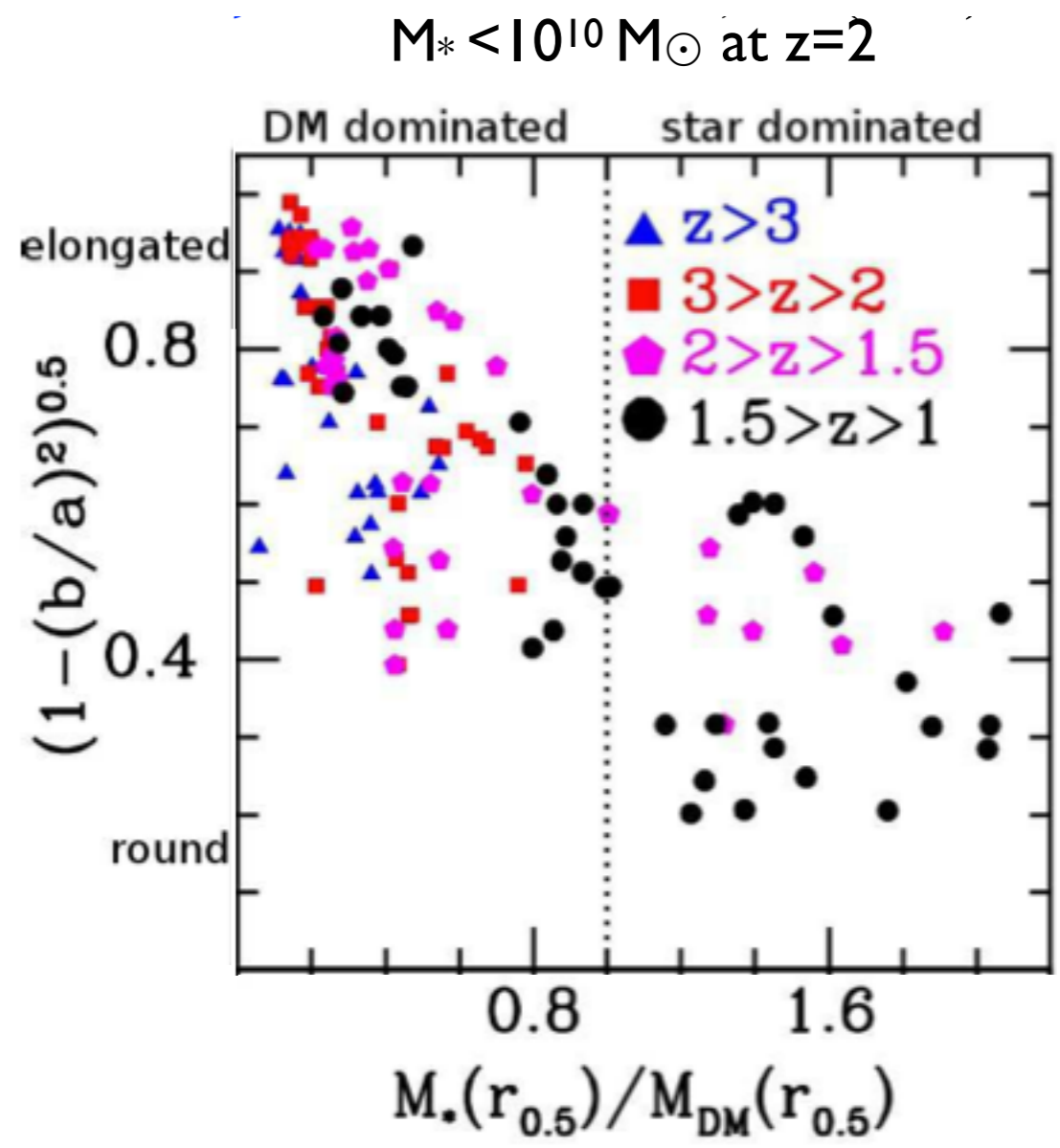
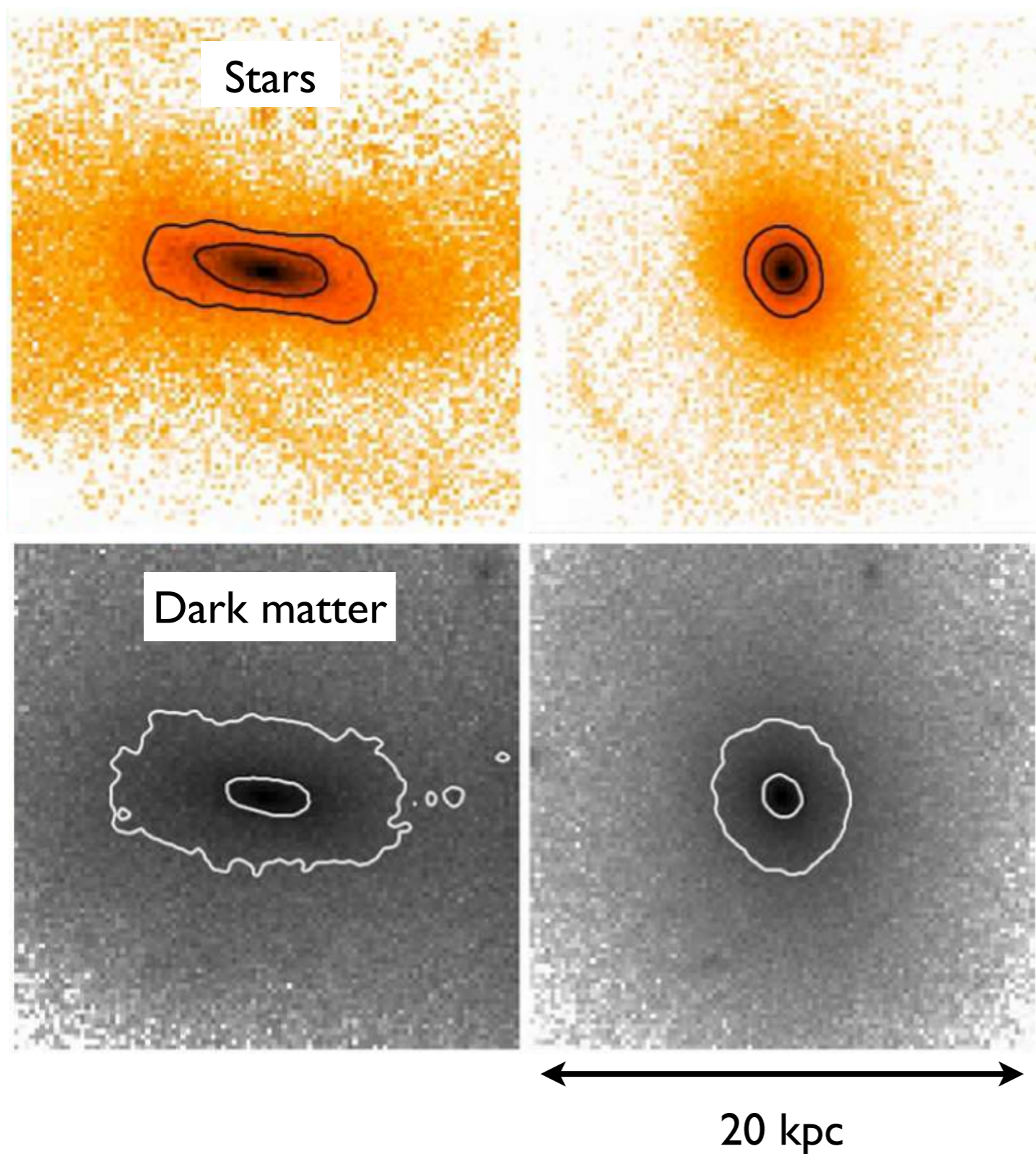


**30 kpc**

**30 kpc**

# Formation of elongated galaxies with low masses at high redshift

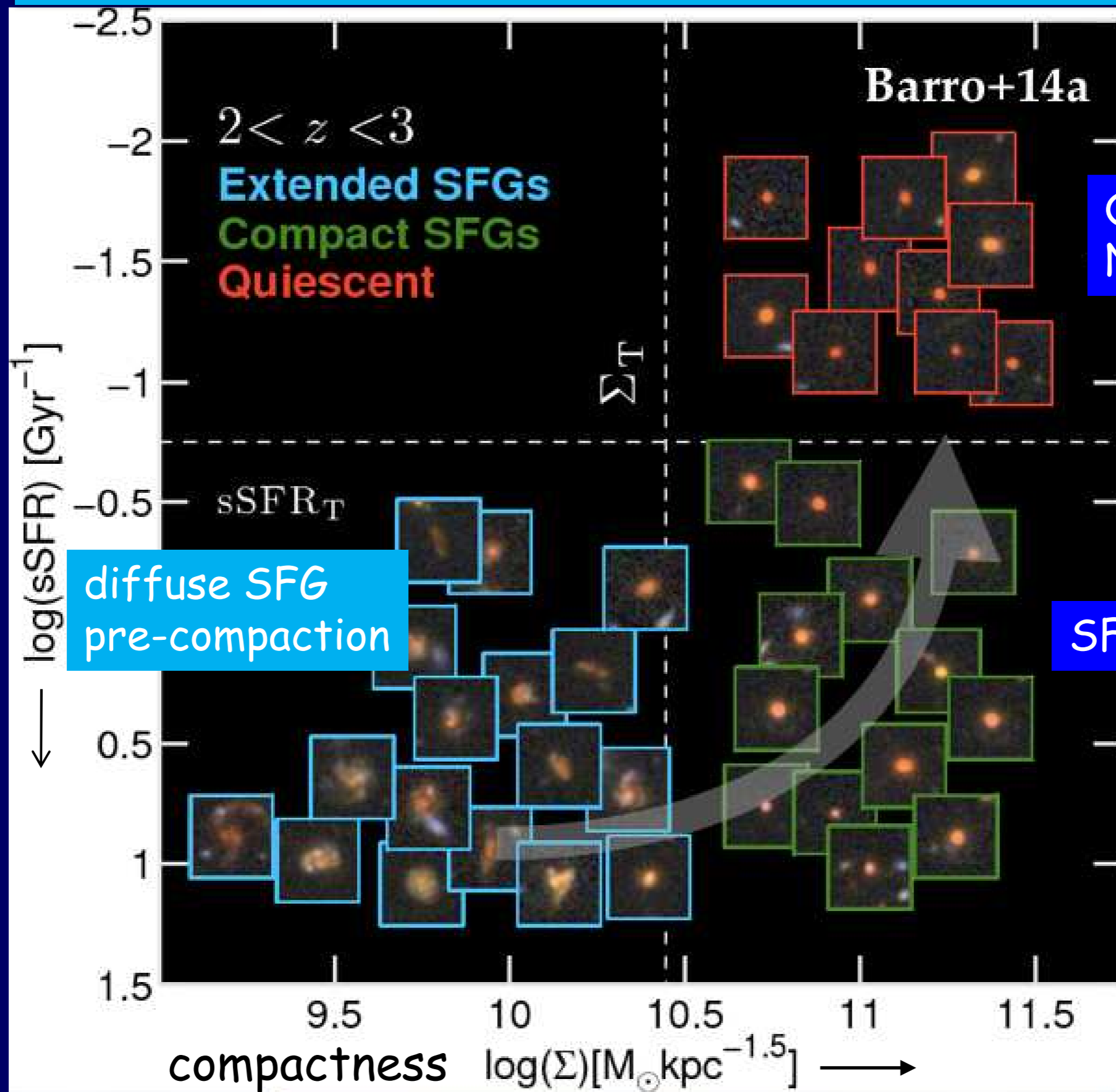
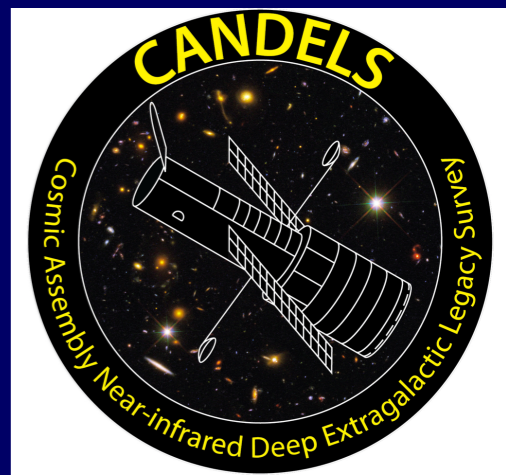
Daniel Ceverino, Joel Primack and Avishai Dekel **MNRAS 2015**



**Tomassetti et al. 2016 MNRAS**  
 Simulated elongated galaxies are aligned with cosmic web filaments, become round after compaction (gas inflow fueling central starburst)

**Pandya, Primack, et al. 2019** Alignments of prolate galaxies trace cosmic web?























# The Fast Track of Galaxy Evolution



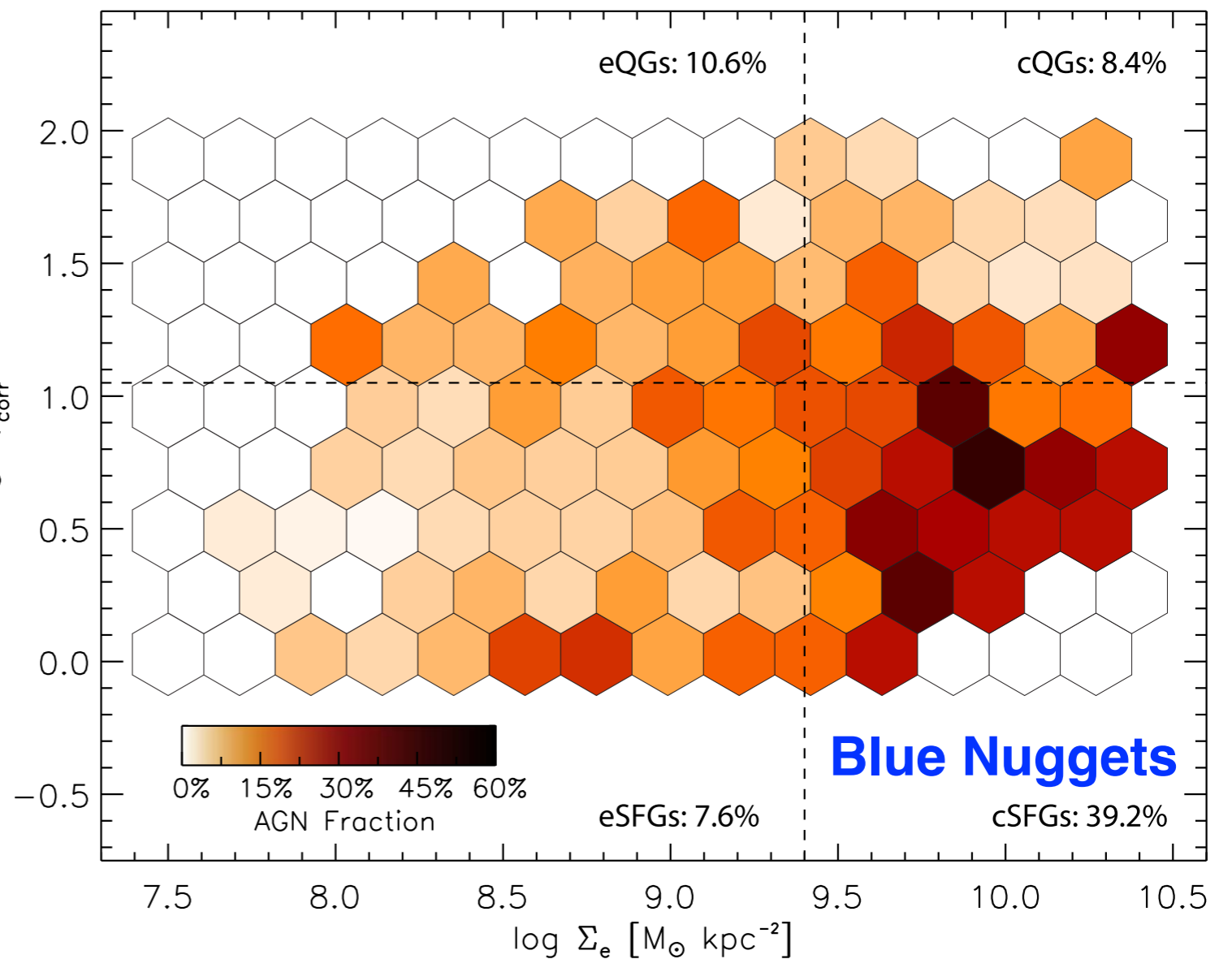
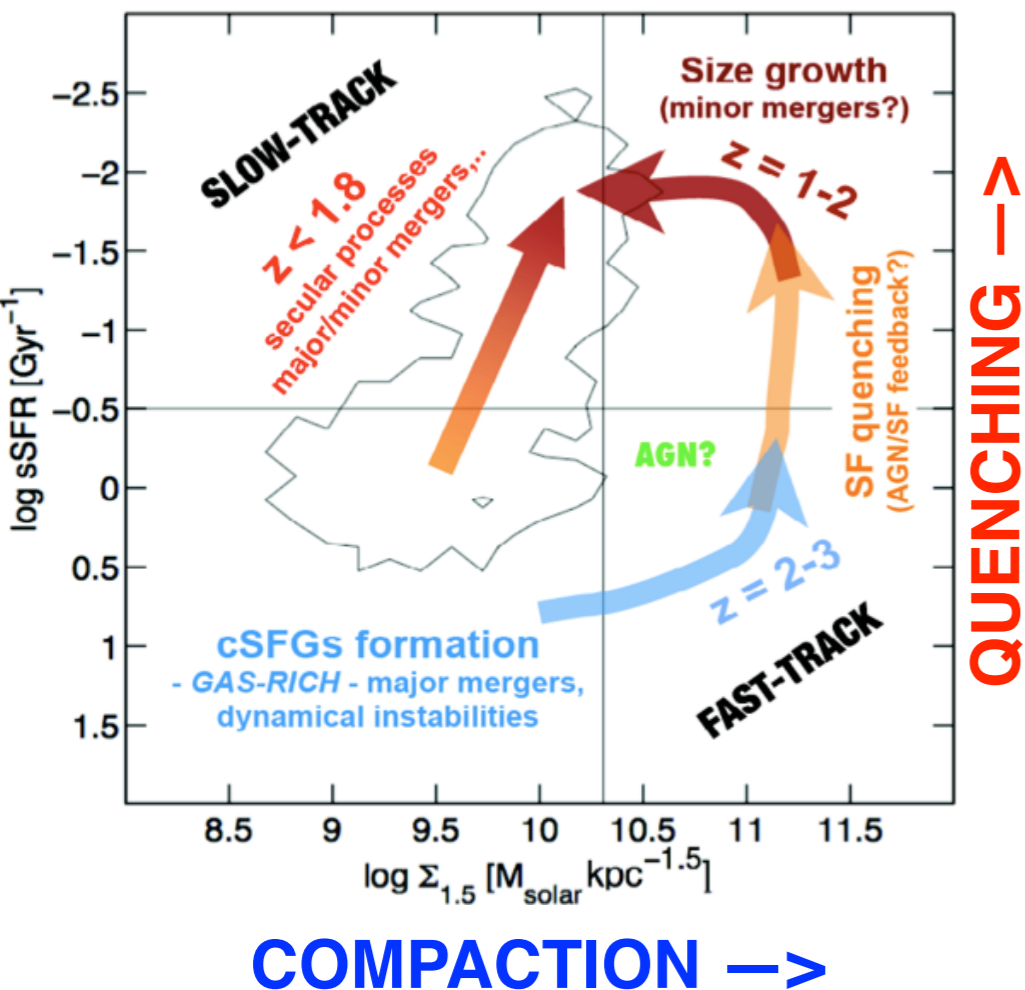


# “Blue Nugget” galaxies, many of which have X-ray detected Black Holes

## CANDELS: Elevated Black Hole Growth in the Progenitors of Compact Quiescent Galaxies at $z \sim 2$

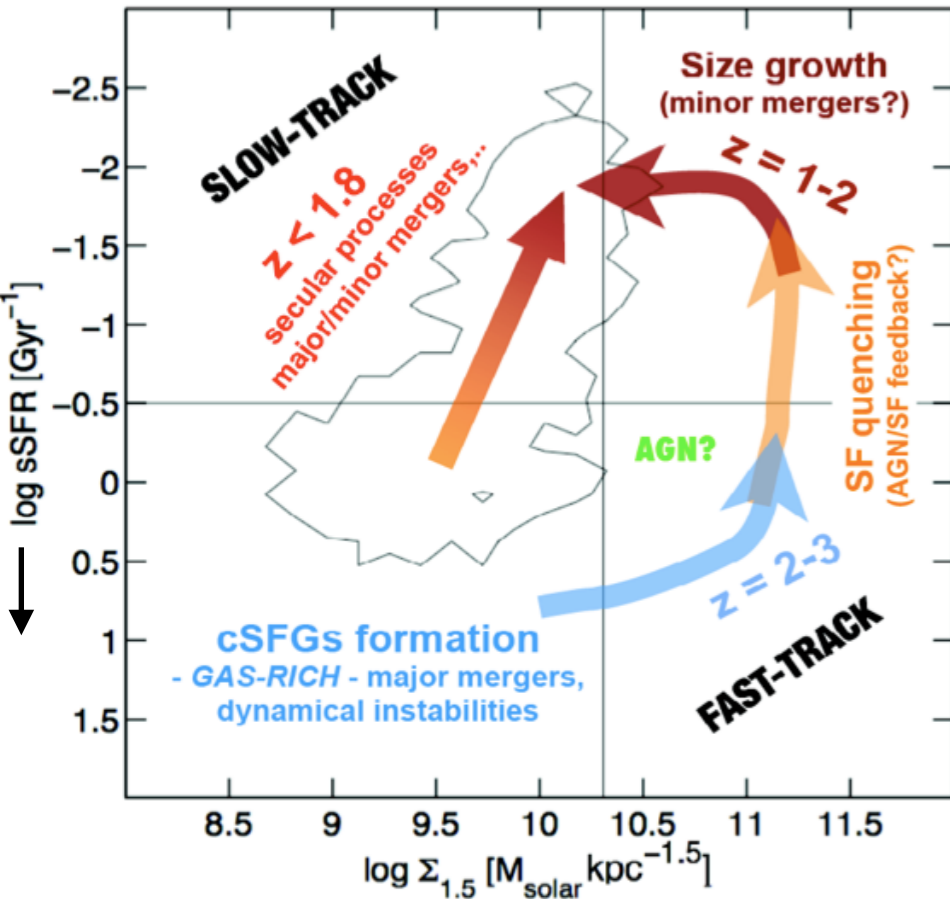
Dale D. Kocevski<sup>1</sup>, Guillermo Barro<sup>2</sup> , S. M. Faber<sup>3</sup>, Avishai Dekel<sup>4</sup> , Rachel S. Somerville<sup>5,6</sup>, Joshua A. Young<sup>1</sup>, Christina C. Williams<sup>7</sup> , Daniel H. McIntosh<sup>8</sup>, Antonis Georgakakis<sup>9</sup>, Guenther Hasinger<sup>10</sup> , Kirpal Nandra<sup>9</sup> , Francesca Civano<sup>11</sup>, David M. Alexander<sup>12</sup> , Omar Almaini<sup>13</sup> , Christopher J. Conselice<sup>13</sup> , Jennifer L. Donley<sup>14</sup> , Harry C. Ferguson<sup>15</sup> , Mauro Giavalisco<sup>16</sup> , Norman A. Grogin<sup>15</sup> , Nimish Hathi<sup>15</sup> , Matthew Hawkins<sup>1</sup>, Anton M. Koekemoer<sup>15</sup> , David C. Koo<sup>3</sup> , Elizabeth J. McGrath<sup>1</sup>, Bahram Mobasher<sup>17</sup>, Pablo G. Pérez González<sup>18</sup>, Janine Pforr<sup>19</sup>, Joel R. Primack<sup>20</sup> , Paola Santini<sup>21</sup> , Mauro Stefanon<sup>22,23</sup> , Jonathan R. Trump<sup>24</sup> , Arjen van der Wel<sup>25</sup> , Stijn Wuyts<sup>26</sup> , and Haojing Yan<sup>23</sup> 

### Barro+ (CANDELS) 2013



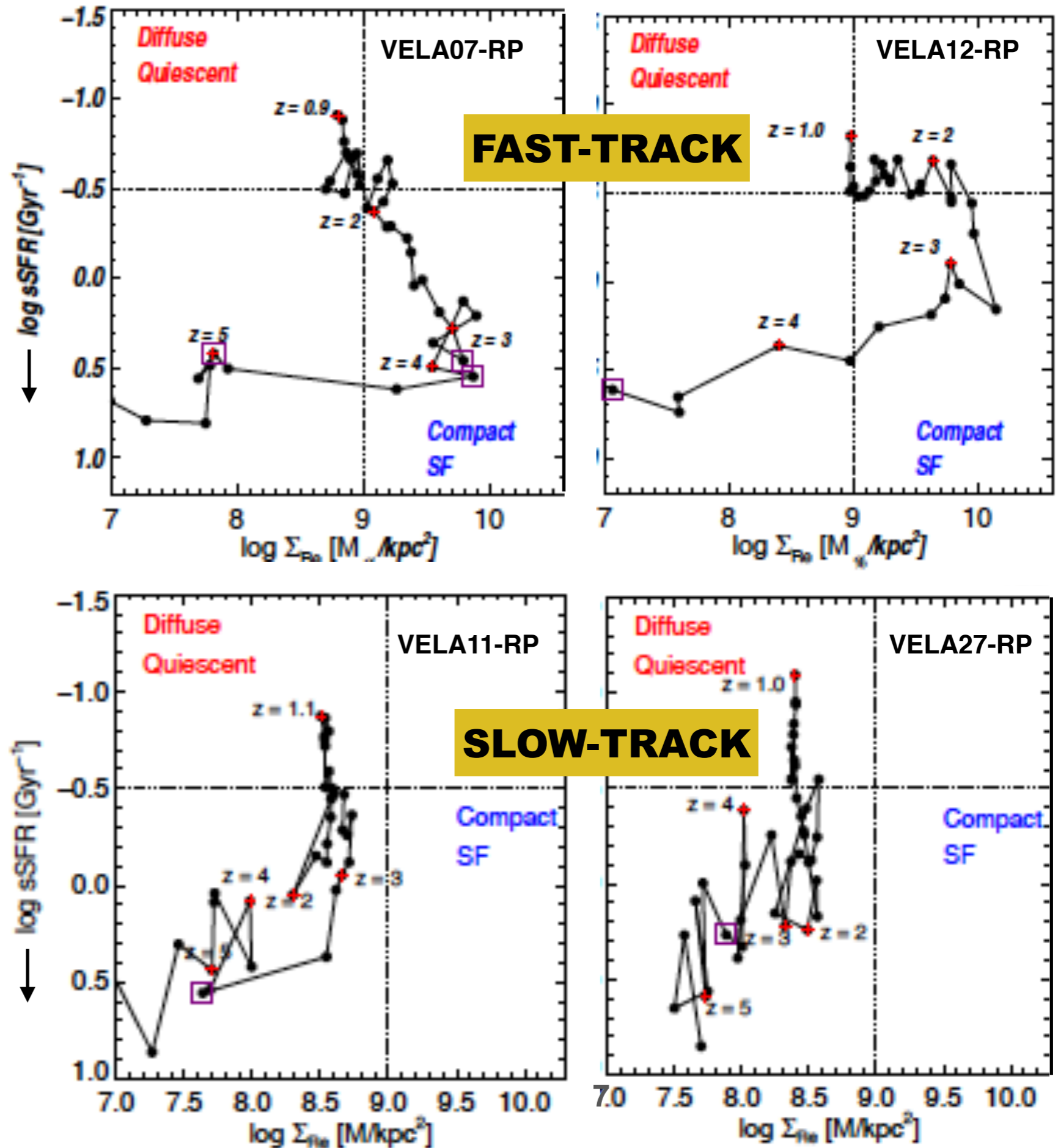
**Ceverino+ RP simulations  
analyzed by Zolotov, Dekel,  
Tweed, Mandelker, Ceverino,  
& Primack MNRAS 2015**

**Barro+ (CANDELS) 2013**

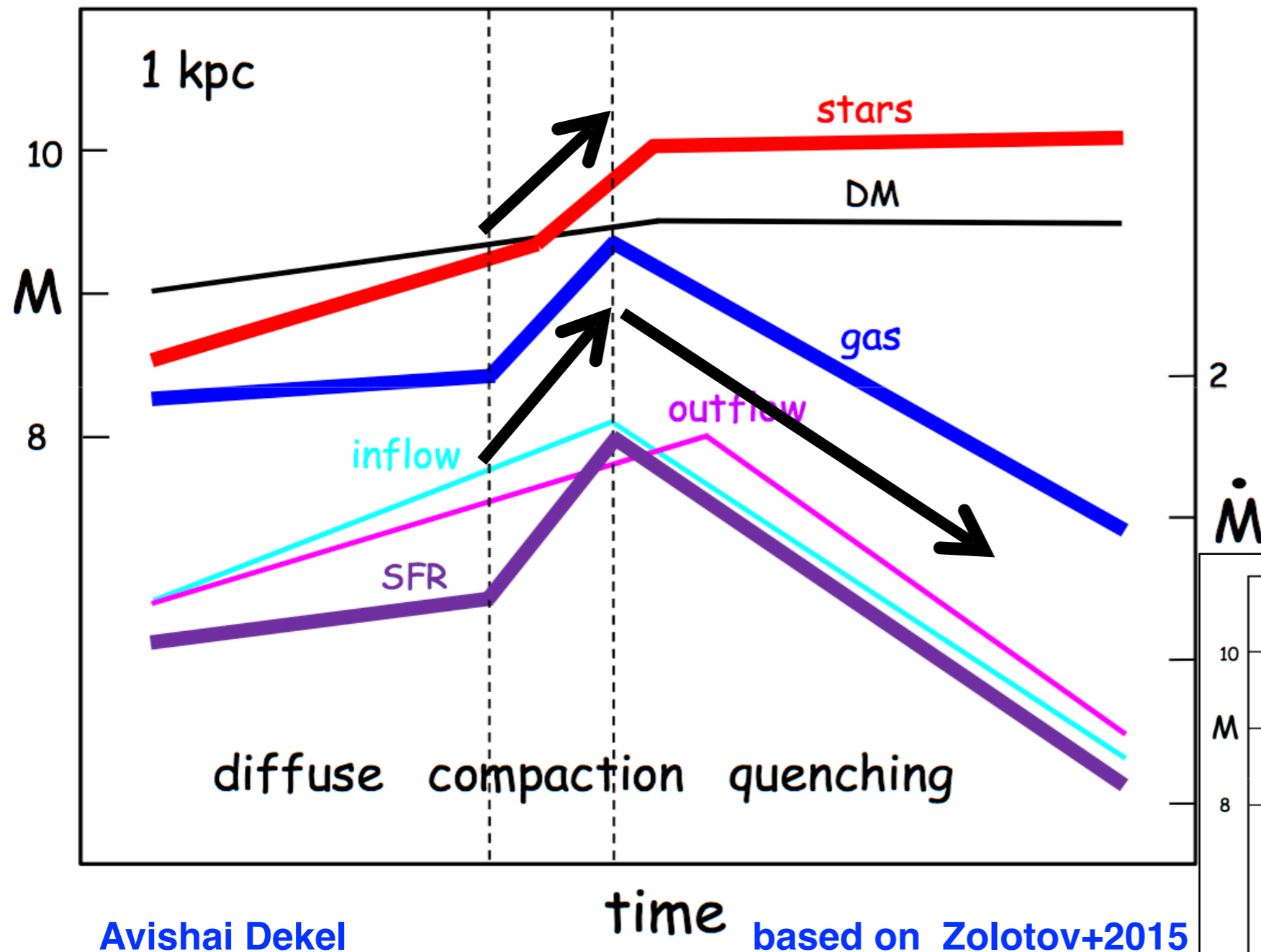


**COMPACTION** →

- minor merger
- major merger



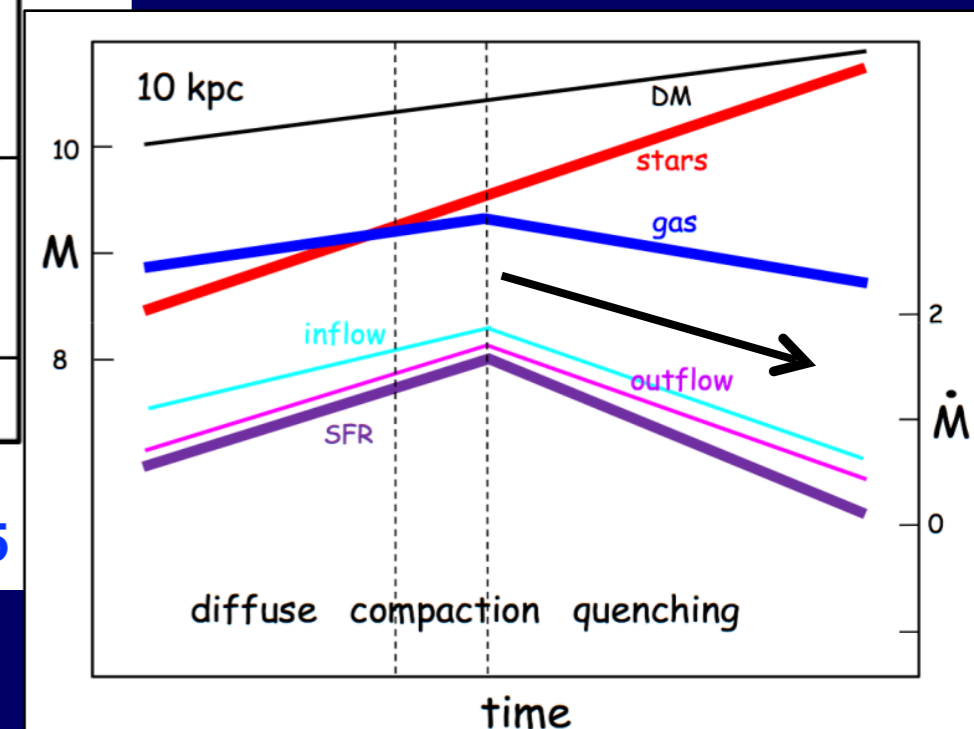
# Compaction and Quenching in the Inner 1 kpc



Avishai Dekel

inner 1 kpc

Inner 10 kpc



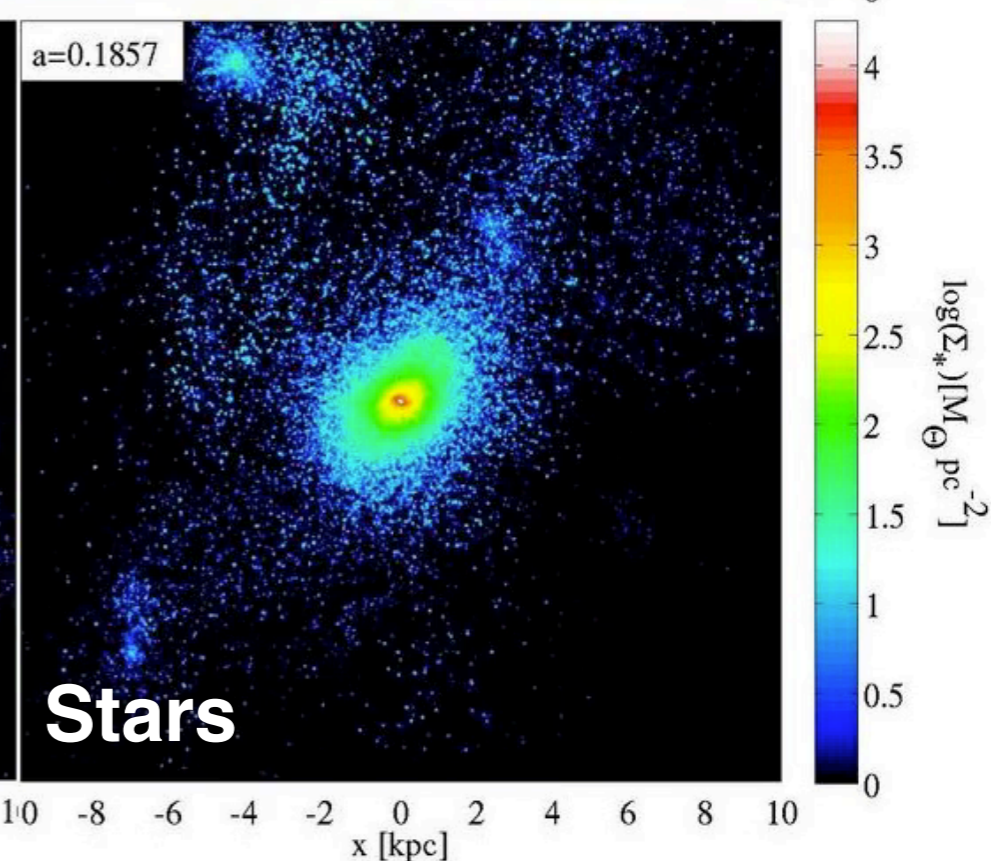
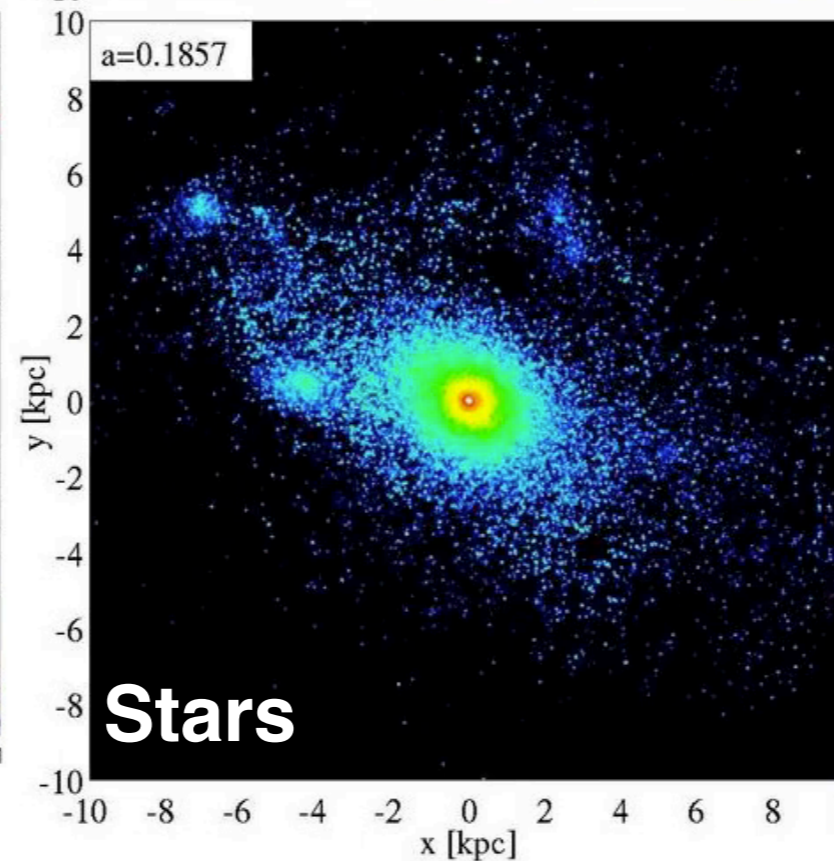
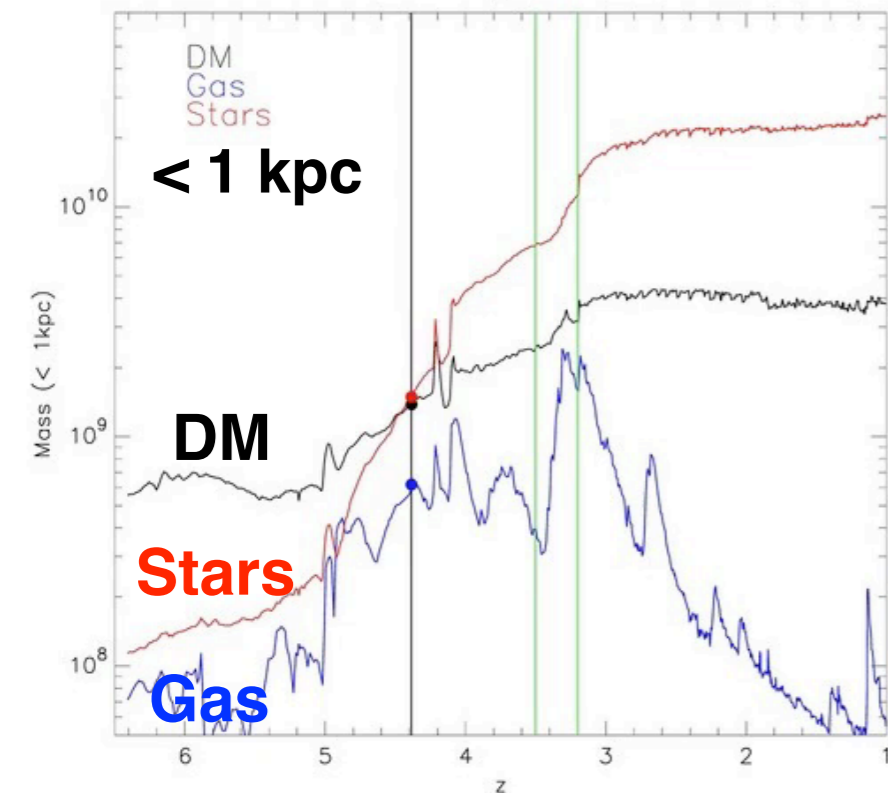
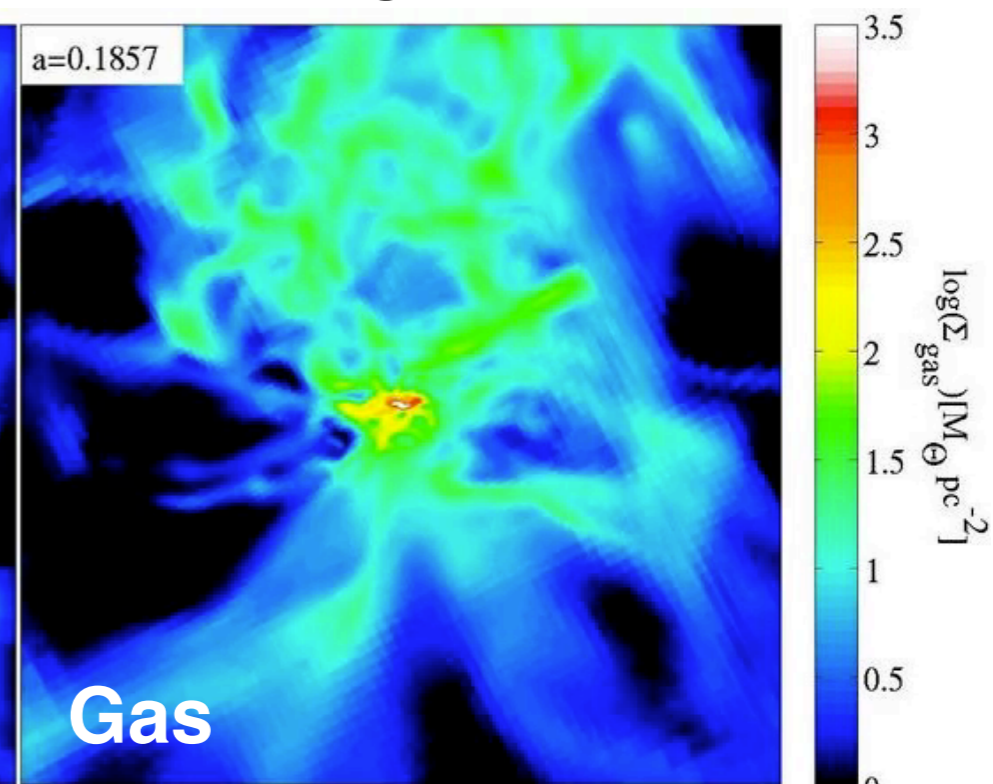
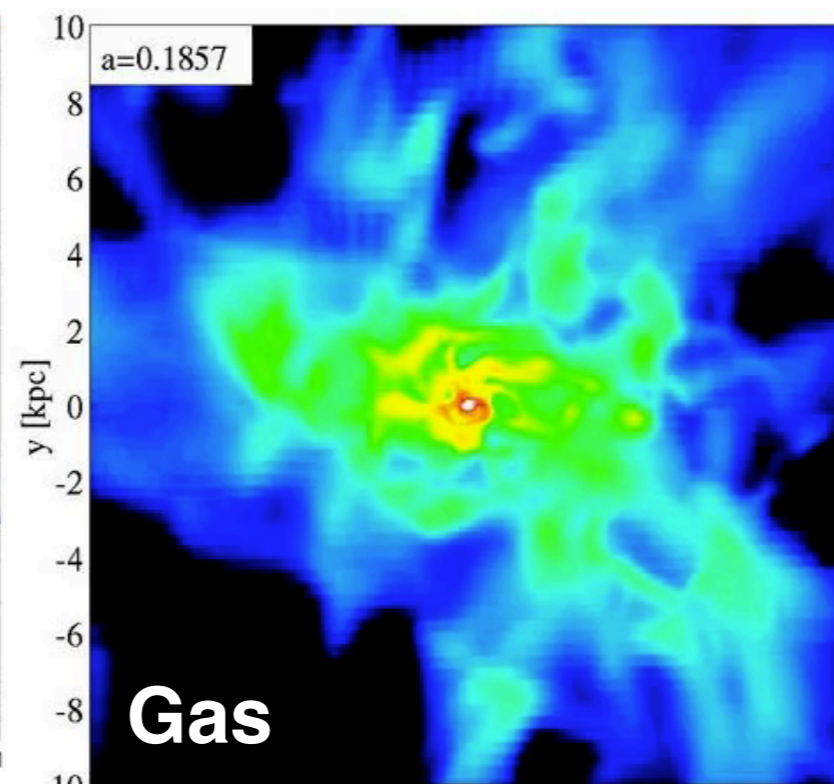
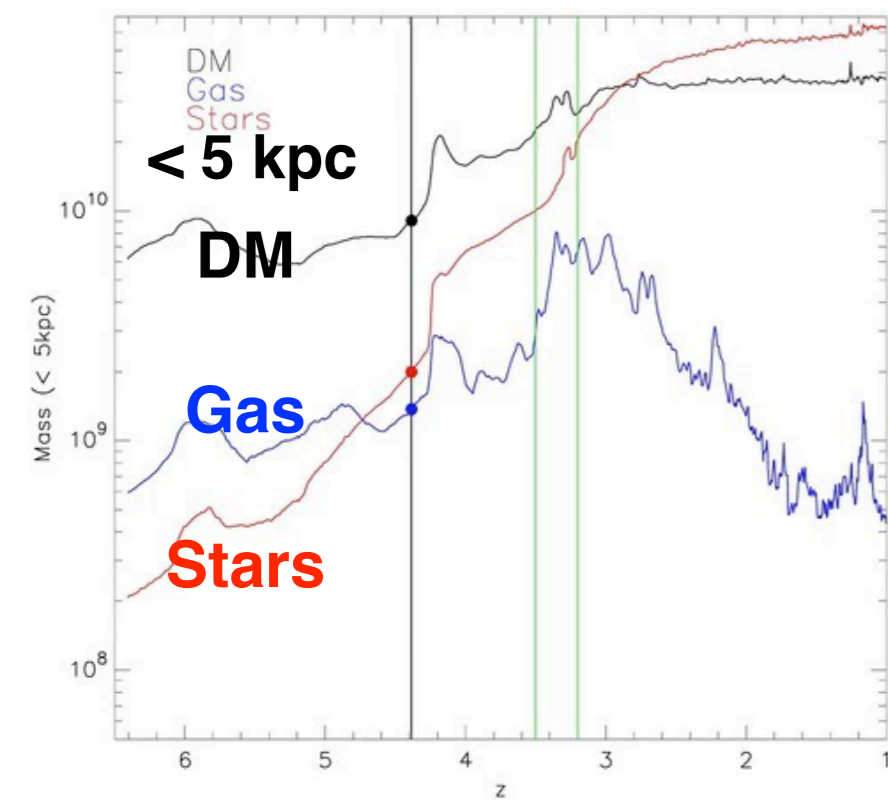
# Gen 3 VELA07-RP Animations $z = 4.4$ to $2.3$

Compaction

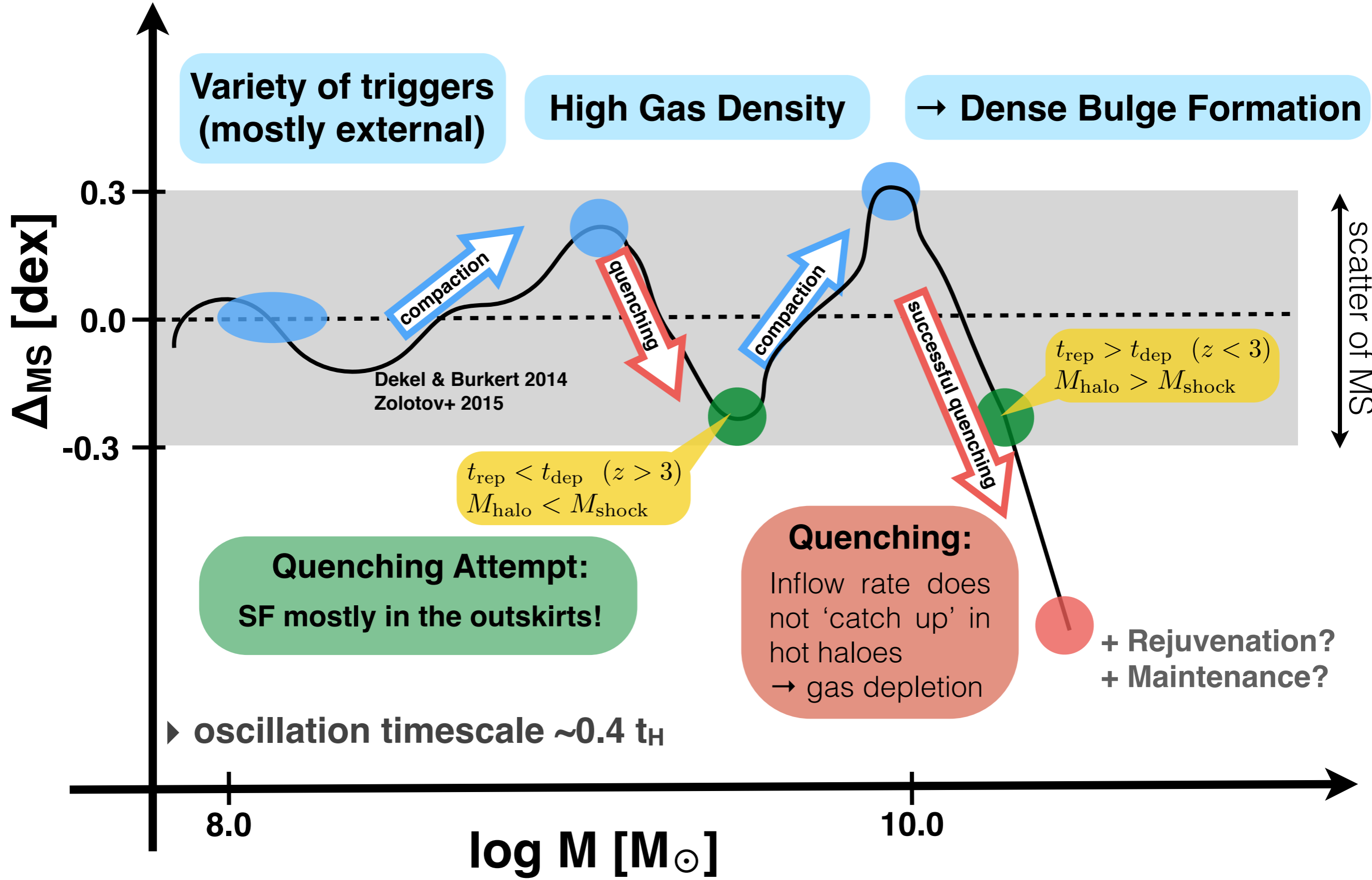


Face-on

Edge-on



# Evolution of Galaxies about the Star-Forming Main Sequence



# “Face Recognition for Galaxies”

## Deep Learning Identifies High-z Galaxies in a Central Blue Nugget Phase in a Characteristic Mass Range

Marc Huertas-Company, Joel Primack, Avishai Dekel, David Koo, Sharon Lapiner, Daniel Ceverino, Raymond Simons, Greg Snyder, et al. [ApJ 2018](#)

Cosmological zoom-in simulations model how individual galaxies evolve through the interaction of atomic matter, dark matter, and dark energy

Our VELA galaxy simulations agree with HST CANDELS observations that most galaxies start prolate, becoming spheroids or disks after compaction events

A deep learning code was trained with VELA galaxy images plus metadata describing whether they are pre-compaction, compaction, or post-compaction

The trained deep learning code was able to identify the compaction and post-compaction phases in CANDELized images

The trained deep learning code was also able to identify these phases in real HST CANDELS observations, finding that compaction occurred for stellar mass  $10^{9.5-10.3} M_{\text{sun}}$ , as in the simulations

[James Webb Space Telescope will allow us to do even better](#)

# “Face Recognition for Galaxies”

Huertas-Company,  
Primack, et al. ApJ 2018

**Pre-BN**

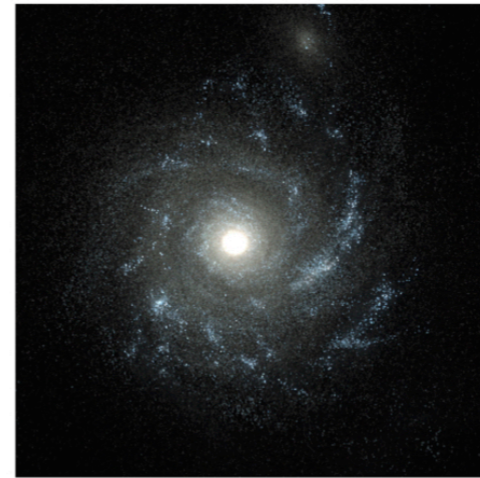
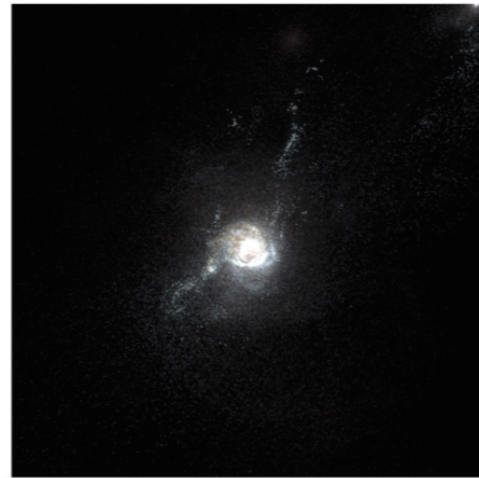
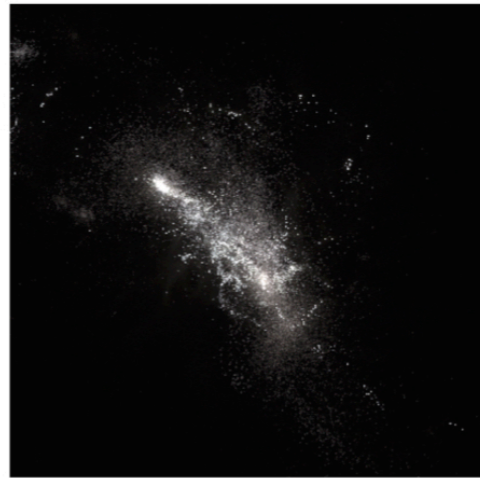
**BN**

**Post-BN**

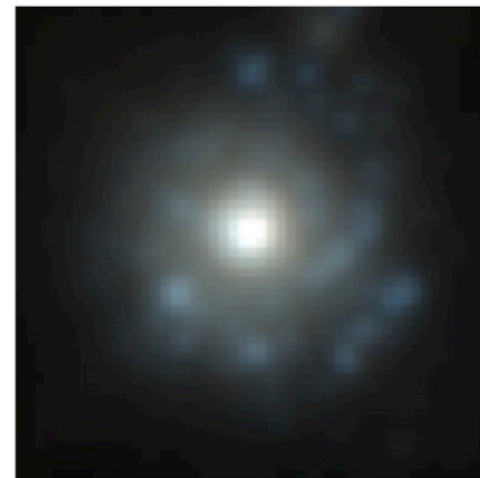
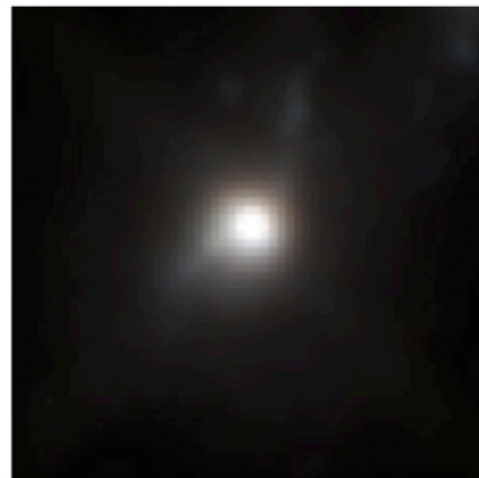
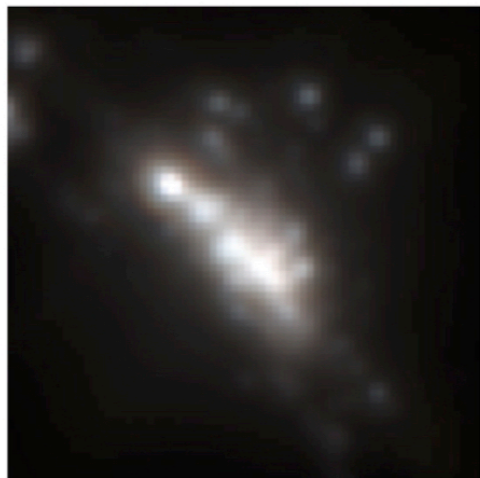
Pre-Blue-Nugget-Stage

Blue-Nugget-Stage

Post-Blue-Nugget-Stage



**VELA High-Res  
Sunrise Images**



**VELA HST-Res  
Sunrise Images**

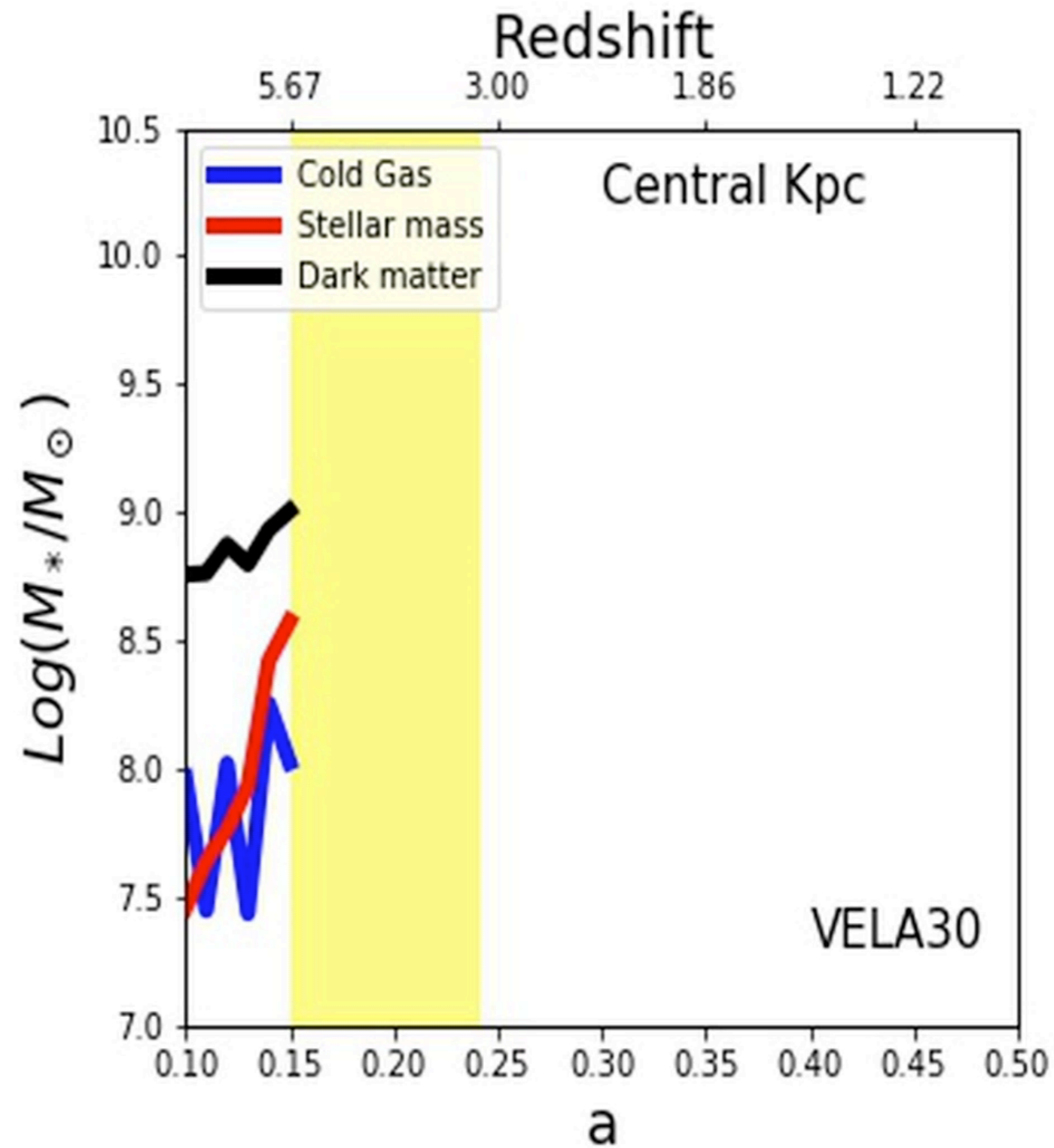


**CANDELS HST  
Images**

# “Face Recognition for Galaxies”

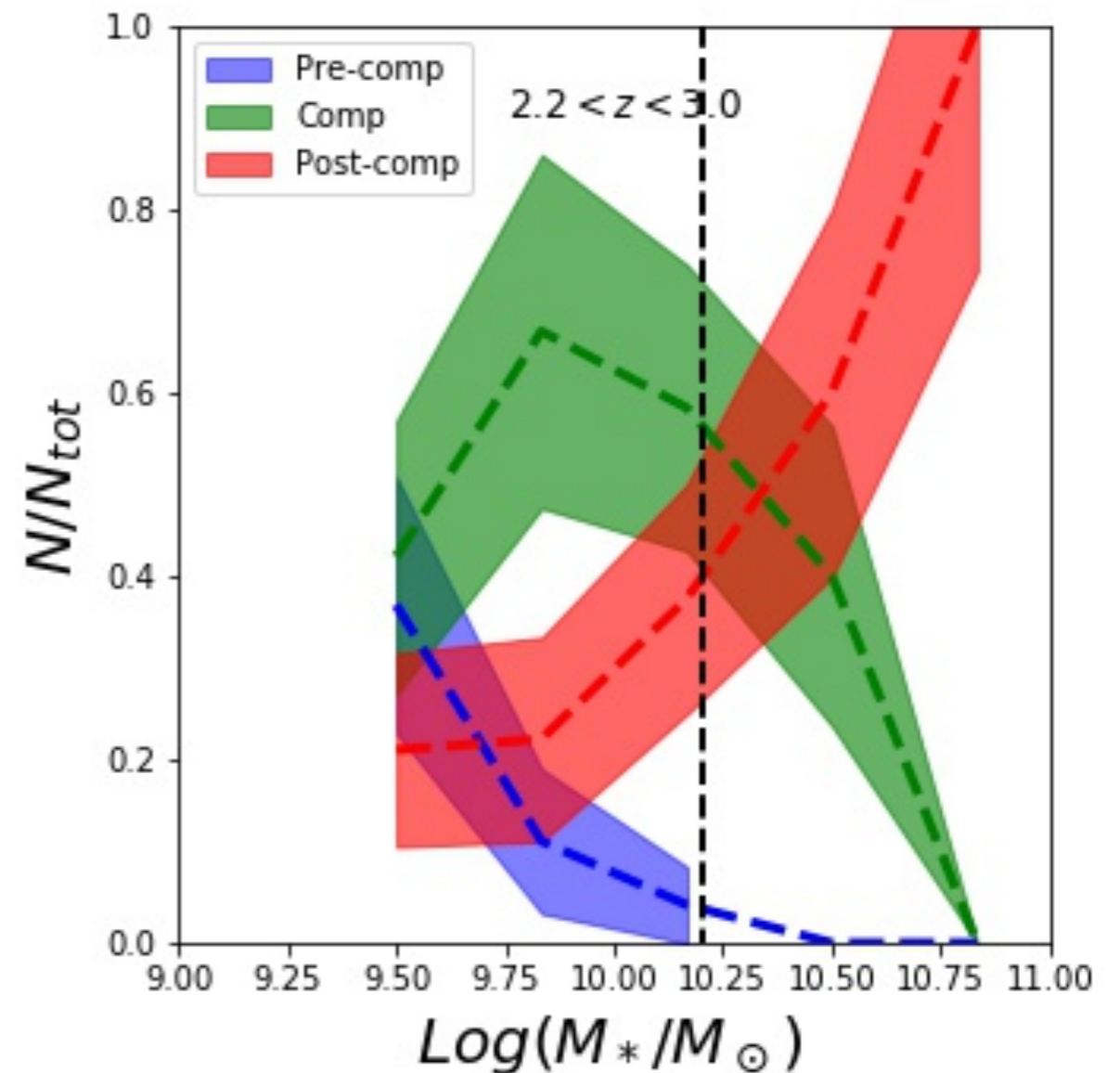
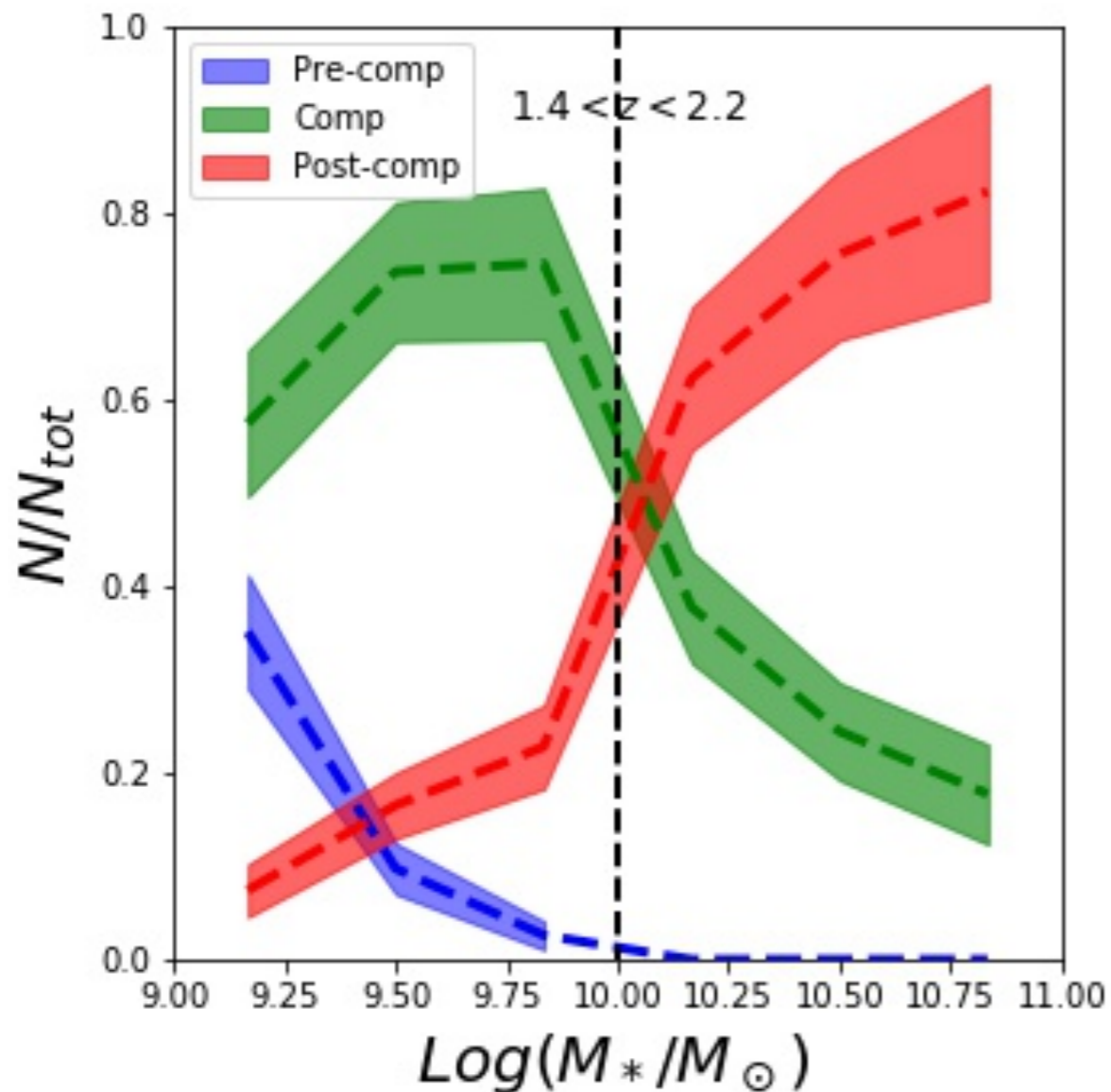
pre-BN

Age of the universe:  
1.1 billion years



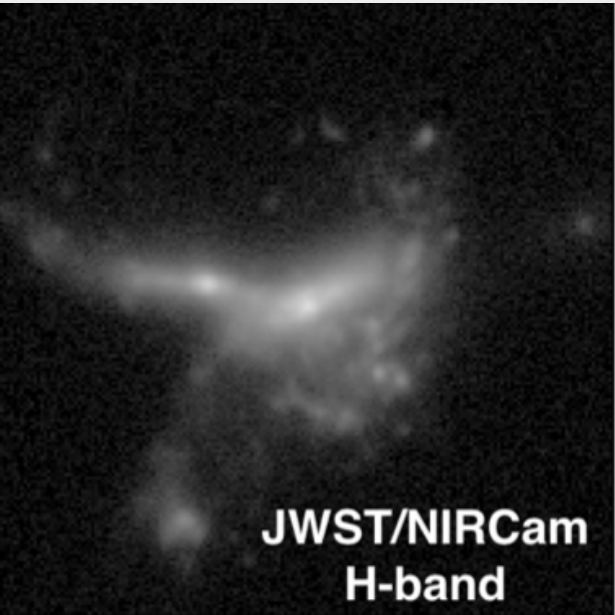
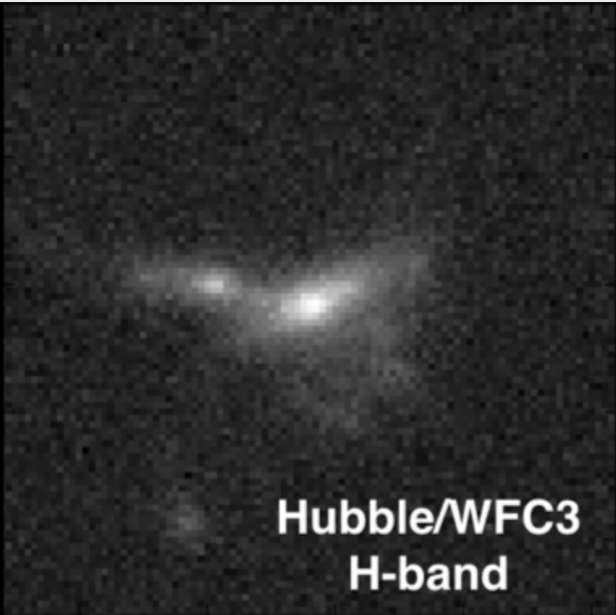


# Applying the Trained Deep Learning Code to CANDELS Galaxies

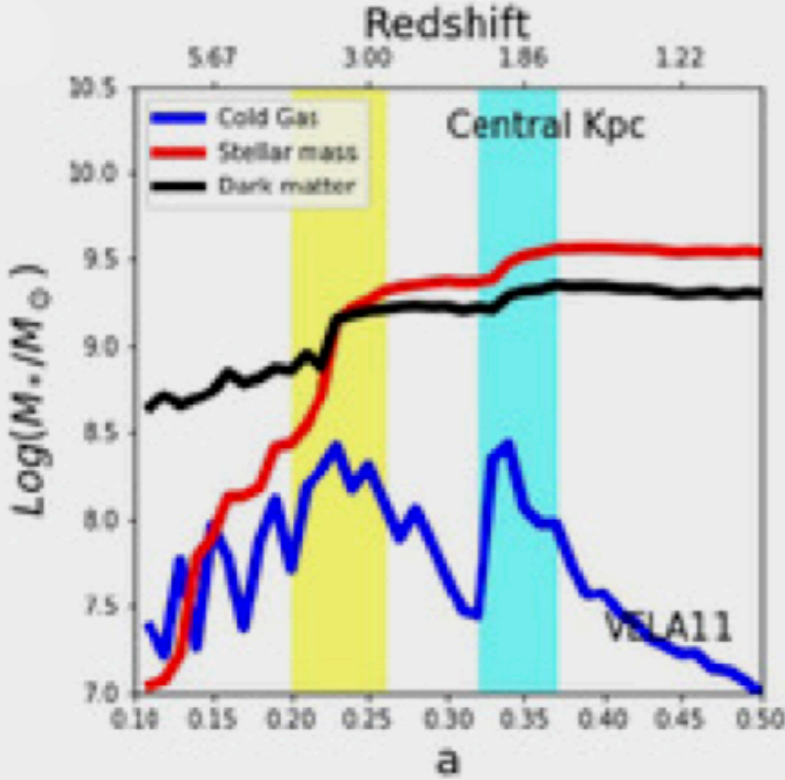


Stellar mass distributions of HST CANDELS galaxies in pre-compaction, compaction, and post-compaction phases in different redshift bins. The DL code correctly shows the temporal evolution. Galaxies in the compaction phase typically peak at stellar masses  $10^{9.5-10} M_{\text{sun}}$  at all redshifts, as in the VELA simulations.

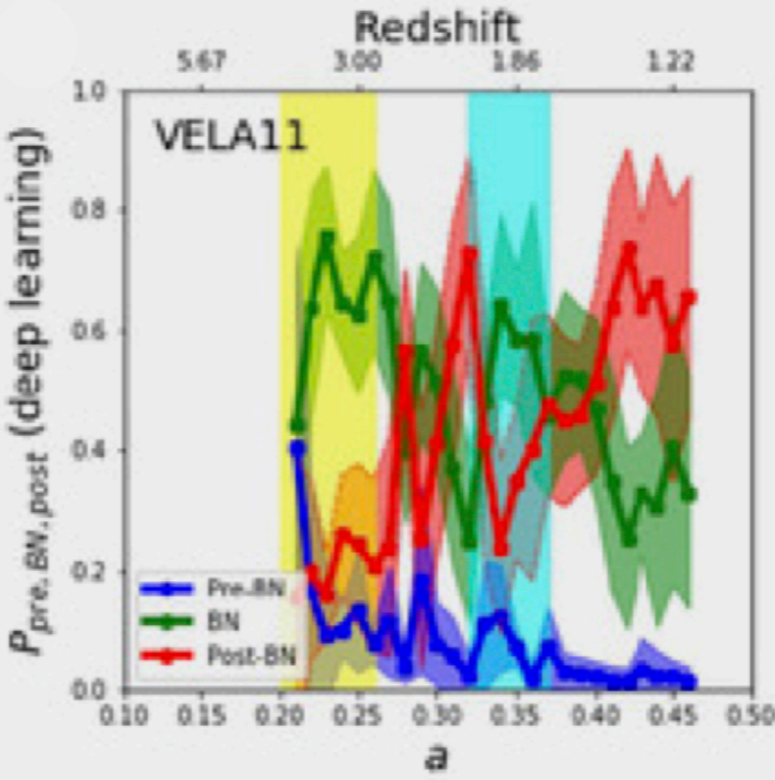
# Convolutional Neural Net (Deep Learning) Galaxy Evolution Phase Determination: HST vs. JWST



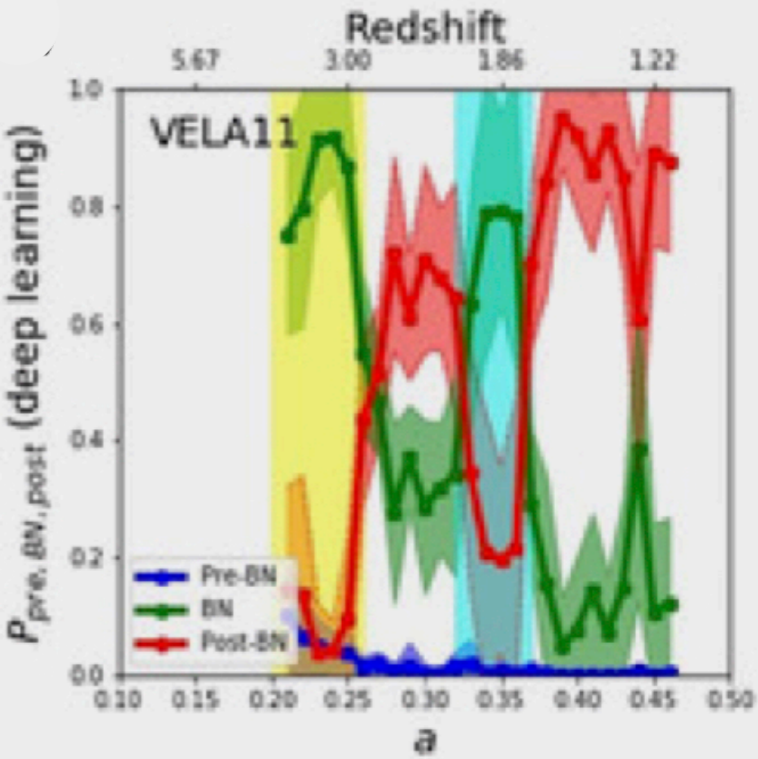
Simulation Metadata



CNN Trained with HST-like Images (3 NIR filters)



CNN Trained with JWST-like Images (3 NIR filters)



HST = Hubble Space Telescope  
JWST = James Web Space Telescope

Deep learning does much better with JWST image

A deep field image of the universe, showing a vast field of galaxies and stars against a black background. The galaxies are of various colors, including blue, yellow, and red, and are scattered across the frame. The stars are small, bright points of light, some with diffraction patterns.

# State of the Universe Report

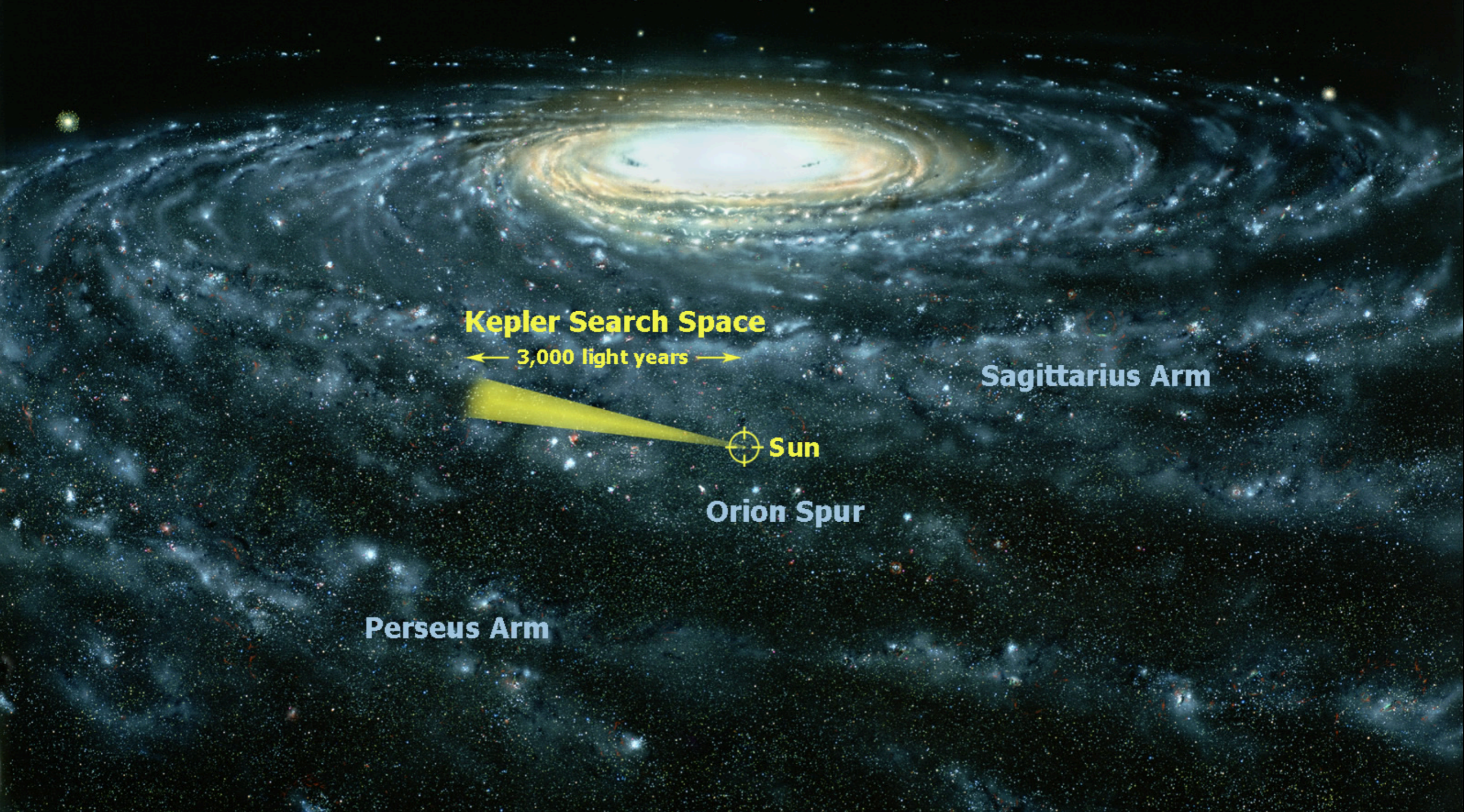
COSMOS

GALAXIES

PLANETS

We have now discovered about 4000 planetary systems, mainly using star radial velocities from ground-based telescopes and planet-star transits observed by NASA's satellites Kepler and TESS.

# Milky Way Galaxy



**Kepler Search Space**

← 3,000 light years →

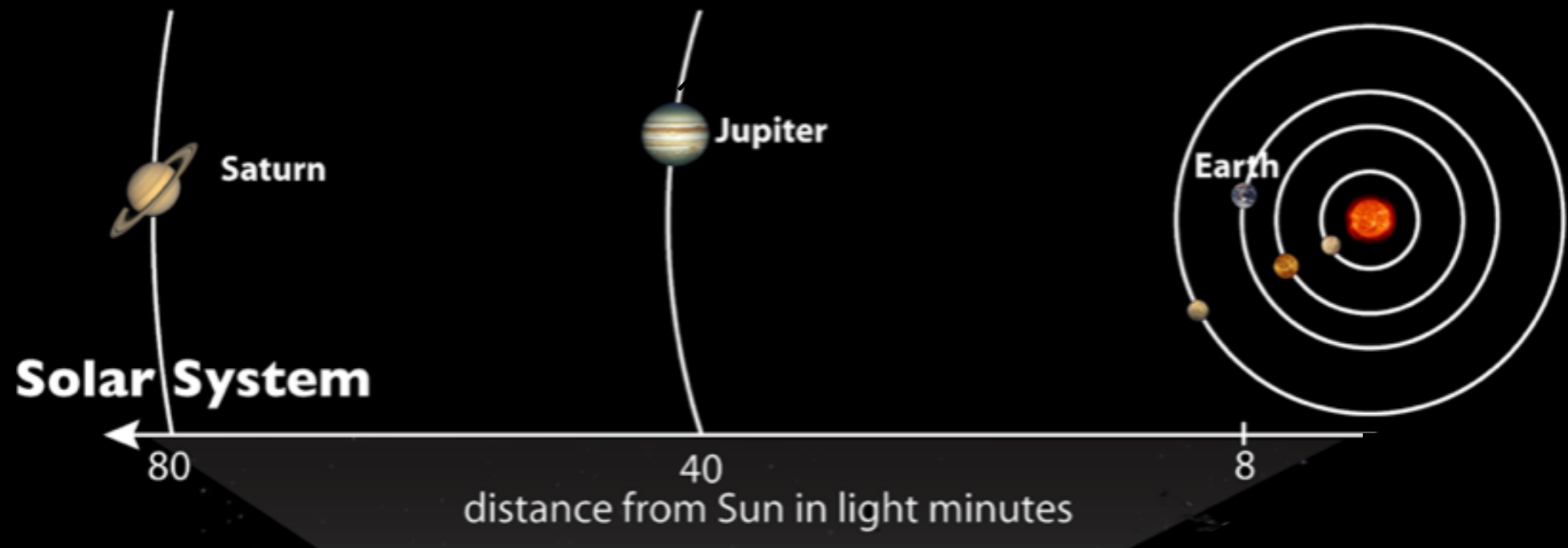
**Sagittarius Arm**

**Sun**

**Orion Spur**

**Perseus Arm**

We used to think that our system is typical, with rocky planets near our star and gas giants farther away.



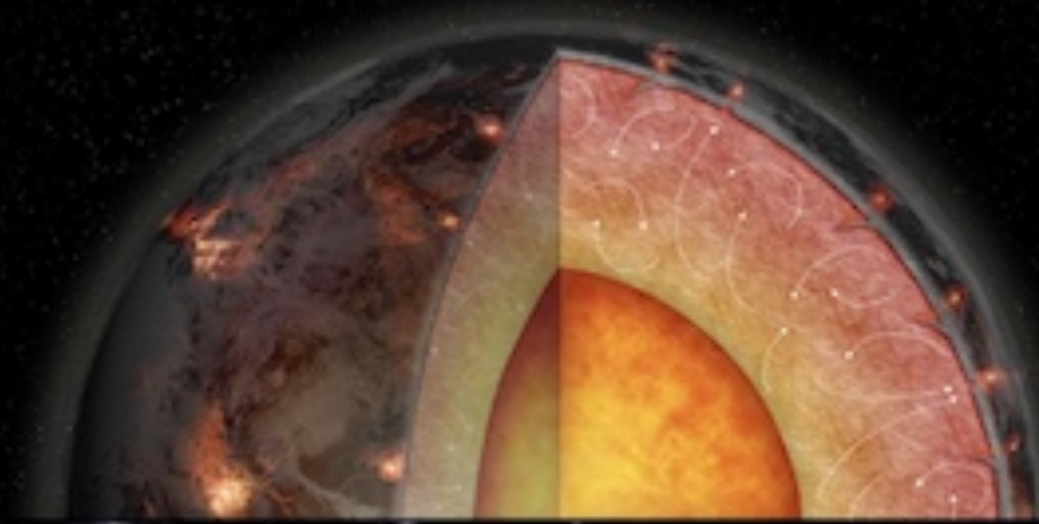
There may be **galactic habitable zones** — not too close to galaxy centers where there are frequent supernovae, nor too far where metals may be too rare to form rocky planets.

Of the ~ 4000 planetary systems astronomers have discovered, there are very few like ours, with all the planets widely spaced in nearly circular orbits. Most planetary systems are much smaller.

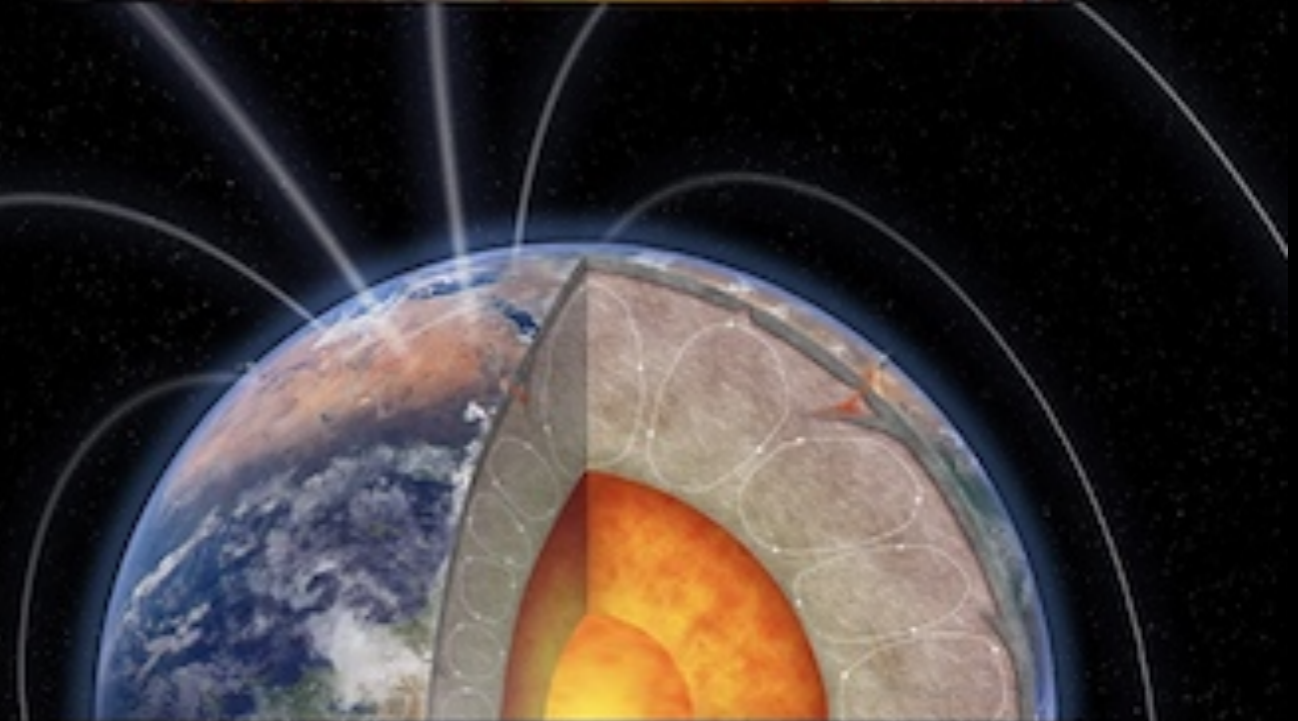
The most common type of planet seems to be 2 to 6 times Earth's mass, a "**super-Earth**". No such planet exists in our Solar System.

Some planets are in the **habitable zone around their stars** in which water would be in liquid form, but most of these planets are probably not hospitable to advanced forms of life. For one thing, they might not have an optimal abundance of the long-lived radioactive elements thorium and uranium to power a magnetic dynamo and plate tectonics. Too much Th and U would result in a lava world with frequent flood volcanism, which caused the greatest mass extinction events on Earth. **Our living Earth may be a rare "Goldilocks" planet** with just the right amount of Th and U.

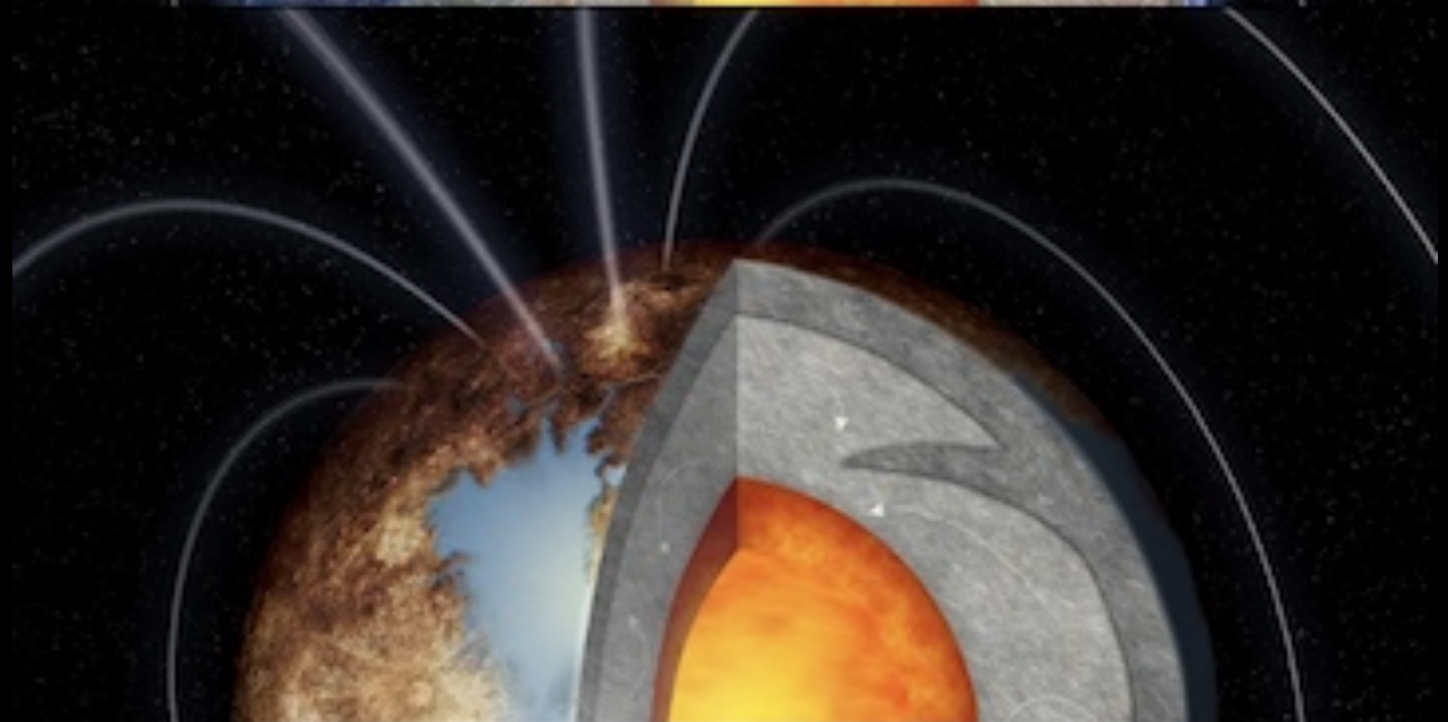
**3x Earth's Th and U**  
No magnetic dynamo &  
frequent flood volcanism



**Earth's Th and U**  
Magnetic dynamo &  
plate tectonics



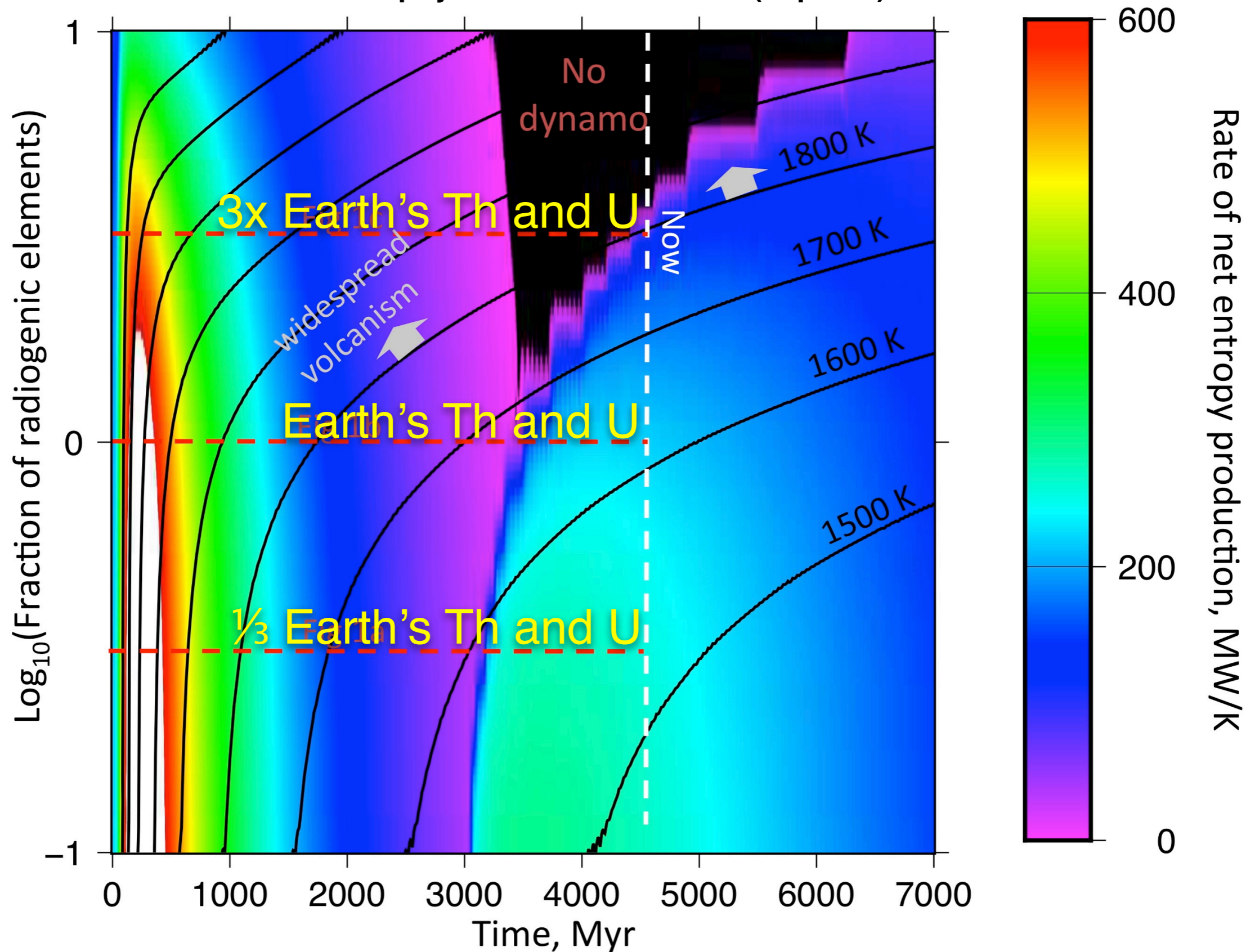
**1/3 Earth's Th and U**  
Magnetic dynamo  
but no plate tectonics



# Radiogenic Heating and its Influence on Rocky Planet Dynamos and Habitability

Francis Nimmo, Joel Primack, S. M. Faber, Enrico Ramirez-Ruiz, and Mohammadtaher Safarzadeh

Astrophysical Journal Letters (in press)





# Periodic Table of the Elements

1 H																	2 He	
3 Li	4 Be											5 B	6 C	7 N	8 O	9 F	10 Ne	
11 Na	12 Mg											13 Al	14 Si	15 P	16 S	17 Cl	18 Ar	
19 K	20 Ca	21 Sc	22 Ti	23 V	24 Cr	25 Mn	26 Fe	27 Co	28 Ni	29 Cu	30 Zn	31 Ga	32 Ge	33 As	34 Se	35 Br	36 Kr	
37 Rb	38 Sr	39 Y	40 Zr	41 Nb	42 Mo	43 Tc	44 Ru	45 Rh	46 Pd	47 Ag	48 Cd	49 In	50 Sn	51 Sb	52 Te	53 I	54 Xe	
55 Cs	56 Ba			72 Hf	73 Ta	74 W	75 Re	76 Os	77 Ir	78 Pt	79 Au	80 Hg	81 Tl	82 Pb	83 Bi	84 Po	85 At	86 Rn
87 Fr	88 Ra																	

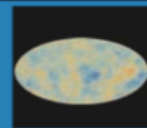
Like Th and U, the rare earth element Europium is produced by merging neutron stars

57 La	58 Ce	59 Pr	60 Nd	61 Pm	62 Sm	63 Eu	64 Gd	65 Tb	66 Dy	67 Ho	68 Er	69 Tm	70 Yb	71 Lu
89 Ac	90 Th	91 Pa	92 U	93 Np	94 Pu									

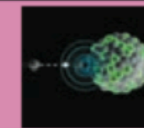
Eu is more easily detected in stellar spectra, which can predict the abundance of Th and U in the star's rocky planets

short-lived radioactive isotopes; nothing left from stars

Big Bang fusion



cosmic ray fission



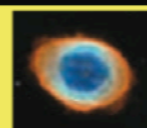
merging neutron stars?



exploding massive stars



dying low-mass stars

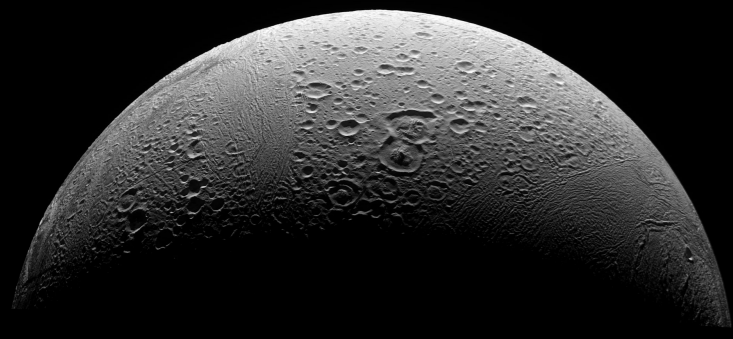


exploding white dwarfs

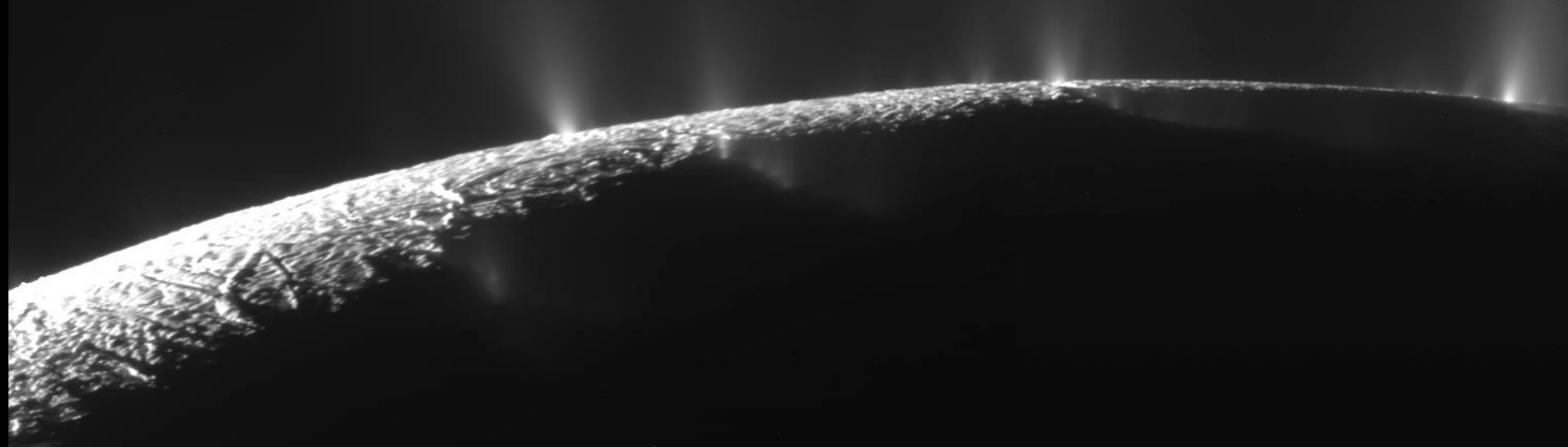


There is evidence that there was a “Late Great Bombardment” of the inner planets about 750 million years after the solar system formed. It seems likely that there was a gigantic rearrangement of the outer solar system that caused many comets to hit the inner planets about 3.8 billion years ago. Primitive microbial life got started on Earth soon after the Late Great Bombardment ended — so **primitive life may be very common in the universe, at least on planets with liquid water.** There are also moons in the outer solar system with liquid water under their icy surfaces, including Jupiter’s moon Europa and Saturn’s moon Enceladus.

Enceladus



Geysers on Enceladus  
from NASA’s Cassini spacecraft



But it took another 2 billion years for complex eukaryotic cells to develop on Earth, and complex multicellular creatures only evolved about a ½ billion years ago. **Intelligent life and science only arose once on Earth — so it may be very rare.**



New space observatories may make it possible for us to detect the effects of life on distant planets, for example by atmospheric composition. We will also keep searching for messages, and the huge Square Kilometer Array of radio telescopes being built in Australia and South Africa will help.

# Some Concluding Thoughts

## **Without Dark Matter We Wouldn't Exist**

With only the ordinary matter, the universe would be a low-density featureless soup

Dark matter started to form structures very early

Galaxies formed within bound “halos” of dark matter

Stars formed within galaxies, and stars made elements beyond hydrogen and helium: carbon, oxygen, ...

Rocky planets formed from these heavier elements

Life began and evolved on one such planet

***Dark matter is our ancestor and our friend!***

## **Science Is Much Stranger Than Fiction**

Before the discovery that most of the density of the universe is invisible, no one imagined this

***What else remains to be discovered?***