

## Physics 116B- Spring 2018

### Mathematical Methods 116 A

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Notes on Tensors

#### § Scalars, Vectors, Tensors,..., Pseudo Scalars, Pseudo Vectors, Pseudo Tensors:

These are defined by the behavior under rotations and reflections of various physical quantities.

#### § Refresher on Notation and Rotations:

Let us take 3-d and write a point in space represented by  $\vec{r}$ , a vector joining it to the origin  $O$ . We will write the components of this vector in the form

$$\vec{r} = x\hat{i} + y\hat{j} + z\hat{k}$$

where  $\hat{i}$  etc are unit vectors in the three directions. For convenience we will map

$$\begin{aligned}\hat{i} &\rightarrow \hat{e}_1, & x &\rightarrow x_1 \\ \hat{j} &\rightarrow \hat{e}_2, & y &\rightarrow x_2 \\ \hat{k} &\rightarrow \hat{e}_3, & z &\rightarrow x_3\end{aligned}$$

and so our vector can be written compactly as

$$\vec{r} = \sum_j \hat{e}_j x_j \rightarrow \hat{e}_j x_j, \tag{1}$$

where we introduce the Einstein convention to simplify writing.

*In any equation with (tensor) indices, sum over any repeated index, the sum being over its natural range.*

We will take the “passive viewpoint”, i.e. keep the point we are describing fixed, and rotate the frame of reference. The new frame gives us new basis

vectors  $\hat{e}_j$  and new coordinates  $x'_j$ . This means that in the new frame of reference the *same point* can be written as

$$\vec{r} = \hat{e}'_j x'_j \quad (2)$$

Thus comparing the two equations

$$\vec{r} = \hat{e}'_j x'_j = \hat{e}_j x_j. \quad (3)$$

Note that the new frame is reached by some rotation or reflection and hence it remains orthogonal, or to be more precise, a *cartesian frame* defined by the condition

$$\hat{e}_i \cdot \hat{e}_j = \delta_{ij} = \hat{e}'_i \cdot \hat{e}'_j.$$

Here an later we use the Kronecker delta function

$$\begin{aligned} \delta_{ij} &= 1, \text{ if } i = j \\ &= 0, \text{ if } i \neq j. \end{aligned} \quad (4)$$

In order to fill in the details, I would need to express the new basis vectors in terms of the old ones. Thus we need the transformation rules

$$\begin{aligned} \hat{e}'_1 &= l_1 \hat{e}_1 + m_1 \hat{e}_2 + n_1 \hat{e}_3 \\ \hat{e}'_2 &= l_2 \hat{e}_1 + m_2 \hat{e}_2 + n_2 \hat{e}_3 \\ \hat{e}'_3 &= l_3 \hat{e}_1 + m_3 \hat{e}_2 + n_3 \hat{e}_3 \end{aligned} \quad (5)$$

Question: What is the meaning of the coefficients? (Figure)

A matrix method of describing the same transformation next. Let us rewrite the transformation of the components as

$$\begin{bmatrix} x'_1 \\ x'_2 \\ x'_3 \end{bmatrix} = A \cdot \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix},$$

where

$$A = \begin{bmatrix} l_1 & m_1 & n_1 \\ l_2 & m_2 & n_2 \\ l_3 & m_3 & n_3 \end{bmatrix}$$

We have seen that the rotation matrices  $A$  can be easily calculated for rotations about the main axes, e.g. a rotation about the  $z$  axis by angle  $\theta$  gives us

$$A_z(\theta) = \begin{bmatrix} \cos(\theta) & \sin(\theta) & 0 \\ -\sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

Similarly we can write the rotation about the old  $y$  axis

$$A_y(\gamma) = \begin{bmatrix} \cos(\gamma) & 0 & \sin(\gamma) \\ 0 & 1 & 0 \\ -\sin(\gamma) & 0 & \cos(\gamma) \end{bmatrix}.$$

In fact an important theorem, which we will not pursue here, is due to Euler who showed that *an arbitrary rotation* can be written in the form

$$R(\alpha, \beta, \gamma) = A_z''(\gamma)A_y'(\beta)A_z(\alpha)$$

where  $A_y'$  is a rotation about the *new*  $y$  axis (after performing the first  $z$  rotation) and  $A_z''$  is a rotation about the *newest*  $z$  axis (after performing the second  $y$  rotation). The three angles  $\alpha, \beta, \gamma$  are known as the Euler angles.

We will bypass the details of this construction- it is the core part of a graduate course- and merely note that for all rotations

$$\text{Det}(A) = 1.$$

If we also add in a reflection, the transformation leads to matrices such as

$$\Pi = \begin{bmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Clearly the determinant is now  $-1$ .

Let us note that the rotation matrices are orthogonal,

$$A^T A = \mathbb{1}.$$

This remains true if we add reflections.

**§Reconnecting and simplifying the notation:**

In the tensor notation we map the transformation matrix

$$A \rightarrow a_{ij}$$

and

$$A^T \rightarrow a_{ji}$$

We can thus write for any vector  $\vec{V} = V_j \hat{e}_j$  the transformation  $V \leftrightarrow V'$  under A or its inverse is simply written

$$V'_i = a_{ij} V_j$$

and the inverse is

$$V_i = a_{ji} V'_j.$$

Imagine next we had an object that is the direct product of two vectors

$$Q_{ij} = V_i V_j,$$

and we will see many examples soon in the physical world. Then it will transform as

$$Q'_{ij} = a_{ik} a_{jl} Q_{kl}.$$

This is the transformation property of a second rank tensor!!

**§Definitions:** We will define various objects under proper rotations

( $DetA = 1$ ). Improper rotations ( $DetA = -1$ ) involve reflections plus rotations.

- Scalar - unchanged under proper rotations.
  - \* Energy, mass, total charge
- Vector- Transforms as a vector under proper rotations.  $V'_i = a_{ij} V_j$ 
  - \* Position vector, momentum, angular momentum (pseudo vector), dipole moment of a charge distribution
- Second Rank Tensor Transforms as a direct product of two vector under proper rotations.  $T'_{ij} = a_{ik} a_{jl} T_{kl}$ 
  - \* Strain  $\frac{\partial u_i}{\partial x_j}$ , where  $u_i$  is the displacement. Also stress, moment of inertia, quadrupole moment of a charge distribution

- Third Rank Tensor Transforms as a direct product of three vector under proper rotations.  $T'_{ijm} = a_{ik}a_{jl}a_{mn}T_{klm}$   
 \* Higher multipole moment of a charge distribution.

§**Examples of notation and contraction:**

- $a_{ii} = ?$
- $a_{ij}b_{jk} = ?$
- $\frac{\partial u}{\partial x_j} \frac{\partial x_j}{\partial x_k} = ?$
- Let us define a transformed 4th rank tensor  $T'_{ijkl} = a_{i\alpha}a_{j\beta}a_{k\gamma}a_{l\delta}T_{\alpha\beta\gamma\delta}$ .  
 What is the meaning of  $T'_{ijkj} = ?$  Reduces rank to 2 from 4.

§**Comments:**

- Tensors- matrices. Rank1-vectors, Rank2-matrices
- Symmetric and antisymmetric tensors:  $T_{ij} = \pm T_{ji}$ . Can decompose any tensor into symmetric + antisymmetric pieces.
- Can add tensors of the same rank, not otherwise.  $T_{ij} + A_l A_m Q_{limj} = ?$   
 \* BTW, is  $A_l A_m Q_{limj} = ? A_l A_m Q_{lmij}$

§ **Moment of Inertia tensor:**

This is a good example of a second rank tensor. To remind you, when a body rotates, it has angular momentum and the Newtonian equation says  $\dot{\vec{L}} = \vec{N}$  the torque. At zero torque, we must have a constant (i.e. time independent)  $\vec{L}$ . We equate this to its angular velocity  $\vec{\omega}$ , the proportionality constant is the moment of inertia

$$L_i = I_{ij}\omega_j.$$

Clearly  $I_{ij}$  must be a tensor of rank 2 to balance the equation.

For a point mass rotating about the origin, we can be more explicit. Let the position of the mass be  $\vec{r}$ , whose magnitude is fixed (rigid rotation) but the angular position varies. Thus

$$\vec{v} = \dot{\vec{r}}, \quad \text{with } \vec{v} \cdot \vec{r} = 0, \quad \text{so that } d(\vec{r} \cdot \vec{r})/dt = 0.$$

We then define the angular velocity via

$$\vec{\omega} \times \vec{r} = \vec{v},$$

so that its dot product with  $\vec{r}$  vanishes. Hence

$$\vec{L} = m\vec{r} \times \vec{v} = m\vec{r} \times (\vec{\omega} \times \vec{r}) = m [\vec{\omega}(\vec{r} \cdot \vec{r}) - \vec{r}(\vec{\omega} \cdot \vec{r})].$$

We see later that the tensor indices help us evaluate such triple products more easily. For now let us write this in component form

$$\{L_x, L_y, L_z\} = m\{\omega_x(y^2+z^2) - \omega_y xy - \omega_z xz, \omega_y(x^2+z^2) - \omega_x yx - \omega_z xz, \omega_x(x^2+y^2) - \omega_z zx - \omega_y yx\}$$

We can read off the components of the moment of inertia tensor, which is shown to be symmetric (hence less writing!!)

$$I_{zz} = m(x^2 + y^2), \quad I_{zy} = -mzy, \quad I_{zx} = -mzx$$

For a set of particles we sum over each particle and hence

$$I_{zz} = \sum_i m_i(x_i^2 + y_i^2), \quad I_{zy} = -\sum_i m_i z_i y_i, \quad I_{zx} = -\sum_i m_i z_i x_i$$

If the body is viewed as having a density of masses

$$M = \int d^3r \rho(x),$$

then we can generalize and write

$$I_{zz} = \int d^3r m(r)(x^2+y^2), \quad I_{zy} = -\int d^3r m(r) zy, \quad I_{zx} = -\int d^3r m(r) zx.$$

**§A few problems involving the MOI:**

§Levi-Civita symbol:

{ Tullio Levi-Civita }

We define a useful tensor in 3 dimensions

$$\epsilon_{ijk} = -\epsilon_{jik} = -\epsilon_{ikj}$$

It is more completely defined by saying any permutation  $ijk = P(123)$  gives  $|\epsilon_{ijk}| = 1$  otherwise it is zero. The sign is  $\pm 1$  depending on the signature of the permutation

§It is useful for defining cross products and all kinds of vector identities.

$$\vec{A} \times \vec{B} = \vec{C}$$

implies

$$C_i = \epsilon_{ijk} A_j B_k.$$

We note that

$$\epsilon_{ijk} \epsilon_{imn} = \delta_{jm} \delta_{kn} - \delta_{jn} \delta_{km}$$

§Let us use Levi-Civita to express the triple cross:

$$\begin{aligned} [\vec{A} \times (\vec{B} \times \vec{C})]_i &= \epsilon_{ijk} A_j (\vec{B} \times \vec{C})_k \\ &= \epsilon_{ijk} \epsilon_{klm} A_j B_l C_m \end{aligned}$$

But  $\epsilon_{ijk} = \epsilon_{kij}$  by cyclic permutation, and hence we can write

$$(LHS)_i = \epsilon_{kij} \epsilon_{klm} A_j B_l C_m = (\delta_{il} \delta_{jm} - \delta_{im} \delta_{jl}) A_j B_l C_m = B_i (A_j C_j) - C_i (A_j B_j).$$

Therefore it follows that

$$\vec{A} \times (\vec{B} \times \vec{C}) = \vec{B}(\vec{A} \cdot \vec{C}) - \vec{C}(\vec{A} \cdot \vec{B}) \quad (6)$$

§It is also useful for defining the determinant in 3 dimensions.

$$Det A \epsilon_{ijk} = A_{il} A_{jm} A_{kn} \epsilon_{lmn}.$$